# A collection of Phonon and Electron Boltzmann Equation solvers

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#### Motivation

- Goal: Predict what happens when an electric field or thermal gradient is applied to a material
- On a macroscopic scale: electrical or thermal conductivity observed
- On a microscopic scale: electrons and phonons ("particles" representing vibrations of the atomic lattice) are influenced by the applied potential
- We want to use this microscopic information to predict the macroscopic scale effects for new materials

#### **Boltzmann Transport Equation**



We describe influence of a field as changes in particle distribution (f, where f is ~ concentration of particles with a given energy and momenta)



This is the BTE — an integro-differential equation we want to solve numerically

## **Boltzmann Transport Equation**



• We can approximate and "linearize" the BTE — then write it as a matrix problem

Scattering matrix: contains interaction information

Solution vector: distribution of particles

 $A_{\nu,\nu'}f_{\nu}^{\mathrm{EX}} = b_{\nu'}$ 

Collected single-particle terms

• If we solve for f, we know transport coeffs:

 $Q \propto (\boldsymbol{b} \cdot \boldsymbol{f}^{\mathrm{EX}}) \nabla T \longrightarrow \kappa \propto \boldsymbol{b} \cdot \boldsymbol{f}^{\mathrm{EX}}$ 

### Solution: Phoebe



- This is a computationally challenging problem to solve!
  - Calculating interactions is complicated
  - The scattering matrix is a huge, dense matrix

# Phoebe

- A collection of <u>pho</u>non and <u>electron Boltzmann equation</u> solvers
- Can predict a wide range of transport effects (taking into account both phonon and electron interactions)

#### Workflow Overview



#### In general, we calculate these transport properties by:



This is a very broad view of Phoebe workflow. For the sake of brevity, we'll consider this process for phonons. (However, we have the ability to do electrons as well.)

## Phonon-Phonon Coupling



- Ph-ph coupling is needed to understand how phonons scatter
- Calculate (real space) force constants using an external code (phono3py or ShengBTE) using forces from DFT
- Fourier transform them onto a dense mesh of particle states (v)

$$V^{(3)}(R, R', R'') \longrightarrow$$
 Fourier  
Interpolation  $\longrightarrow V^{(3)}(\nu, \nu', \nu'')$ 

(This part of Phoebe is GPU accelerated)

#### Phonon-Phonon Scattering





• Use "Fermi's golden rule" to calculate scattering rates

A phonon splits into two, or two phonons combine into one

$$P_{\nu}^{\nu',\nu''} = \frac{2\pi}{N_0\hbar^2} \left| V^{(3)} \right|^2 \bar{n}_{\nu} \left( \bar{n}_{\nu'} + 1 \right) \left( \bar{n}_{\nu''} + 1 \right) \delta \left( q - q' - q'' \right) \delta \left( \omega_{\nu} - \omega_{\nu'} - \omega_{\nu''} \right)$$

Coupling matrix elements

Occupation factors

Energy/momentum conservation via delta fns



• We build the scattering matrix, A from our scattering rates

$$A_{\nu,\nu'} = \left[ \begin{array}{c} & & & \\ &$$

Scattering rate from  $v \rightarrow v'$ , summed over all states v''

Now we return to our matrix problem, where we want f<sup>EX<sup>1</sup></sup>

$$A_{\nu,\nu'}f_{\nu}^{\mathrm{EX}}=b_{\nu'}$$





• Phoebe offers a number of ways to solve this problem





Relaxation Time Approximation:

- Approximate A using only its diagonal.
- Solve is now trivial, but approx is inaccurate in some situations.





Iterative/Variational Solvers:

- Iterative: iterate on f as a geometric series, until convergence
- Variational: iterate using CG method to find f which maximizes κ[f]





#### **Relaxons Solver:**

- Direct diagonalization for solve
- Provides more microscopic information than iterative solvers





Demonstration for bulk silicon. Experiment: Phys. Rev. 134, A1058–A1069 (1964).

## Functionality Overview



Phonon Transport

#### **Electronic Transport**

Input Codes: ShengBTE, phono3py Scattering: Ph-ph, boundary, isotope Solvers: RTA, iterative, variational, relaxons (+ Wigner correction)

Observables: K<sub>lattice</sub>, specific heat, phonon viscosity

Input Codes: QE Scattering: El-ph (+polar), boundary Solvers: CRTA, RTA, iterative, variational, relaxons (+ Wigner correction), EPA

Observables:  $\sigma$ ,  $\kappa_{el}$ ,  $S_d$ , specific heat, electron viscosity

# Functionality Overview State Phoebe

- An open-source code, written in object-oriented c++
- Designed for HPC systems:
  - MPI-OpenMP hybrid parallelism
  - Memory-distributed computing via ScaLAPACK
  - GPU (Kokkos) accelerated calculation of scattering rates
- (See the tutorials and documentation links on next page.)



## Acknowledgements DOE CSGF







**Prof. Boris** 

Kozinsky



Andrea

Cepellotti



Jenny Coulter



Anders

Johansson



Natalya Fedorova

**Phoebe** 

https://github.com/mir-group/phoebe https://phoebe.readthedocs.io/

