



A Novel Formulation of a Finite Element-Based Particle-in-Cell Method

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Outline

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Summary



Motivation

Motivation

- Particle in cell methods play an important role in simulating plasma.
- Application in high powered electronics, accelerators, Z-machines, etc.
- Various codes have been around since the 1970's
- Mostly Finite Difference Time Domain (FDTD) based

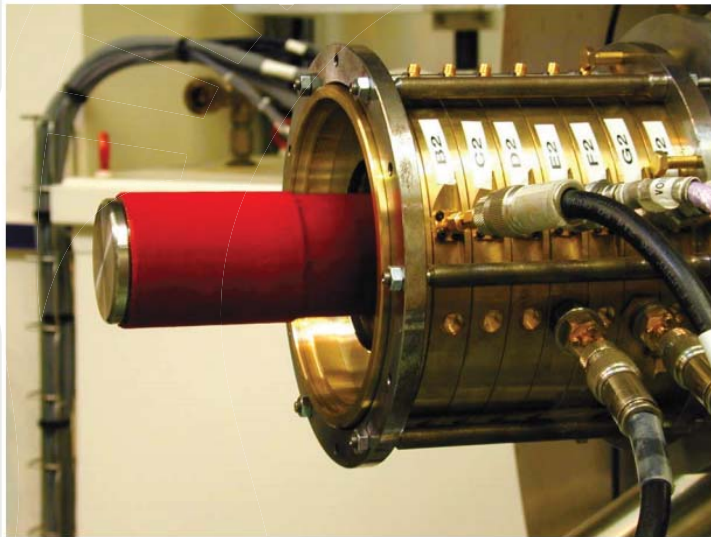


Figure 1: Magnetically insulated line oscillator cathode.²



Figure 2: Cavity magnetron by Mr. John Cummings CC-BY-SA 2.0

²Cousin et al., “Gigawatt emission from a 2.4-GHz compact magnetically insulated line oscillator (MILO)”.



PIC Review

Particle in Cell: Overview

Simulate the behaviour of charge density $\rho(r, t)$ and current density $\mathbf{J}(r, t)$

$$\rho_\alpha(t, \mathbf{r}) = q_\alpha \int f_\alpha(t, \mathbf{r}, \mathbf{v}) dv$$

$$\mathbf{J}_\alpha(t, \mathbf{r}) = q_\alpha \int \mathbf{v} f_\alpha(t, \mathbf{r}, \mathbf{v}) dv$$

The distribution function $f_\alpha(t, \mathbf{r}, \mathbf{v})$ obeys the Vaslov equation

$$\frac{\partial f_\alpha}{\partial t} + \mathbf{v} \cdot \nabla f_\alpha + \mathbf{a} \cdot \nabla_v f_\alpha = 0 \quad (1)$$

To create Maxwell-Vaslov equation

$$\mathbf{a} = \frac{q_\alpha}{m_\alpha} [\mathbf{E} + \mathbf{v} \times \mathbf{B}]$$

PIC Cont.

Fields must obey Maxwell's equations

$$\nabla \times \mathbf{E}(\mathbf{r}, t) = -\frac{\partial \mathbf{B}(\mathbf{r}, t)}{\partial t} \quad (2a)$$

$$\nabla \times \frac{\mathbf{B}(\mathbf{r}, t)}{\mu} = \frac{\partial \epsilon \mathbf{E}(\mathbf{r}, t)}{\partial t} + \mathbf{J}(\mathbf{r}, t) \quad (2b)$$

$$\nabla \cdot \mathbf{B}(\mathbf{r}, t) = 0 \quad (2c)$$

$$\nabla \cdot \epsilon \mathbf{E}(\mathbf{r}, t) = \rho(\mathbf{r}, t) \quad (2d)$$

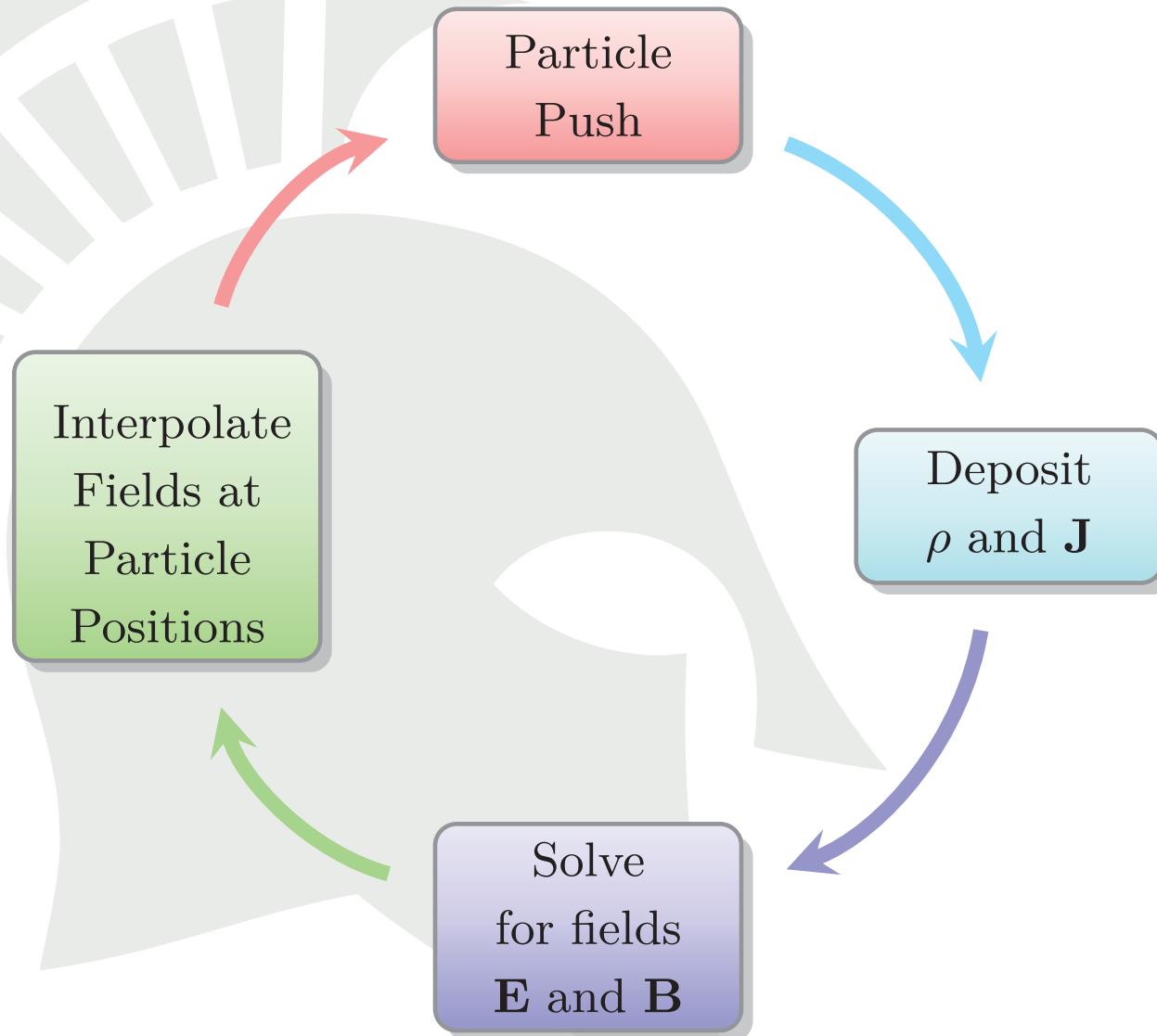
with boundary conditions

$$\hat{n} \times \mathbf{E}(\mathbf{r}, t) = \Psi_E(\mathbf{r}, t) \quad (3a)$$

$$\hat{n} \cdot \mathbf{B}(\mathbf{r}, t) = \Psi_B(\mathbf{r}, t) \quad (3b)$$

PIC Cont.

Self consistent solution, implies (a) map sources, (b) solve MEs, (c) updating particle position, (d) repeat



Classical PIC - FDTD

- Relies on Yee cell
 - places \mathbf{E} and \mathbf{H} on staggered grid edges
 - places \mathbf{B} and \mathbf{D} on staggered grid faces
 - Charge continuity is satisfied
 - No spurious charges are deposited at surfaces

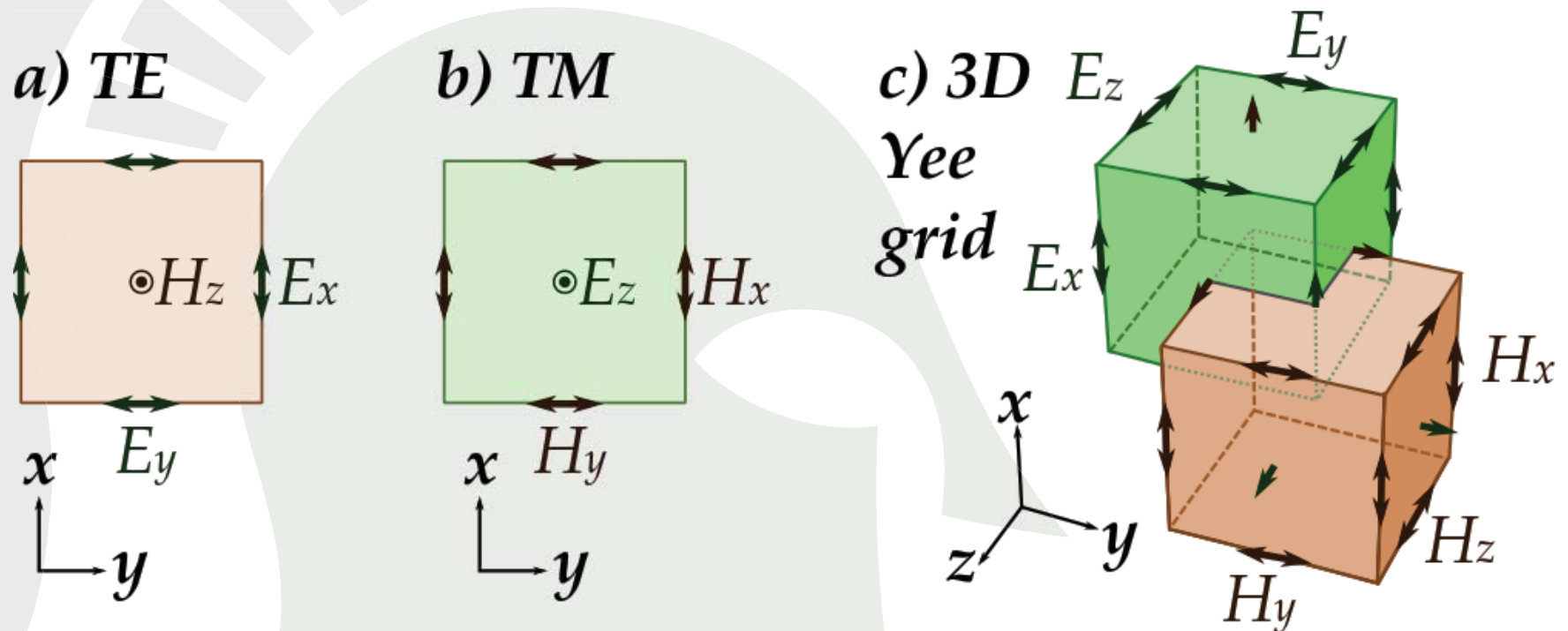


Figure 3: Staggered Yee grids (a-b 2D. c 3D. From https://en.wikipedia.org/wiki/Finite-difference_time-domain_method)

Classical PIC - Challenges

- Irregular boundaries are challenging
 - popular-cut cell techniques
- Small time step sizes
- Higher order time and space discretization
- Tempting to invoke FEM

Discretize the vector wave equation

$$\nabla \times \nabla \times \mathbf{E} + \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = -\mu \frac{\partial \mathbf{J}}{\partial t}$$

- TD FEM is challenging
 - Nullspace that grows as $t\nabla\phi$
 - Charge deposition at boundaries
 - Correct mapping were not derived to satisfy charge continuity discretely
 - Several years of unsuccessful efforts

- Discretize Maxwell's equations instead
- Use different basis functions to represent field and flux quantities
→ mixed representation
- Method developed by
 - Bossavit, Kettunen
 - Monk
 - White
- Application to PIC was pioneered by Sonnendrucker and Tiexeira in 2D



Mixed FEM PIC

Discretized E-B FEM

- The electric field \mathbf{E} and magnetic flux density \mathbf{B} are discretized with curl-conforming ($\mathbf{W}^{(1)}$) and divergence-conforming ($\mathbf{W}^{(2)}$) basis functions respectively

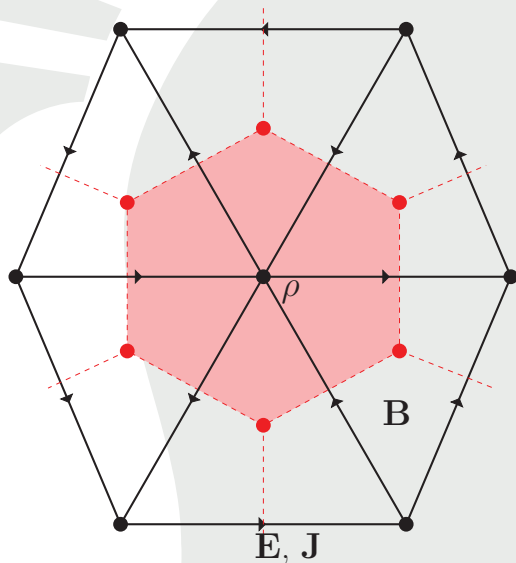


Figure 4: 2D triangular mesh and its dual for **EB** scheme

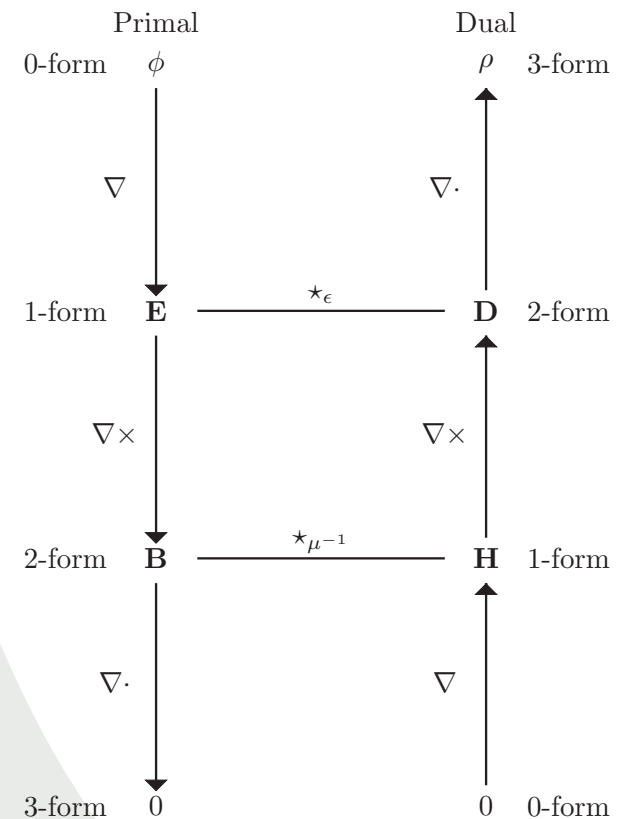


Figure 5: Tonti Diagram of **EB** scheme

Discretized E-B FEM

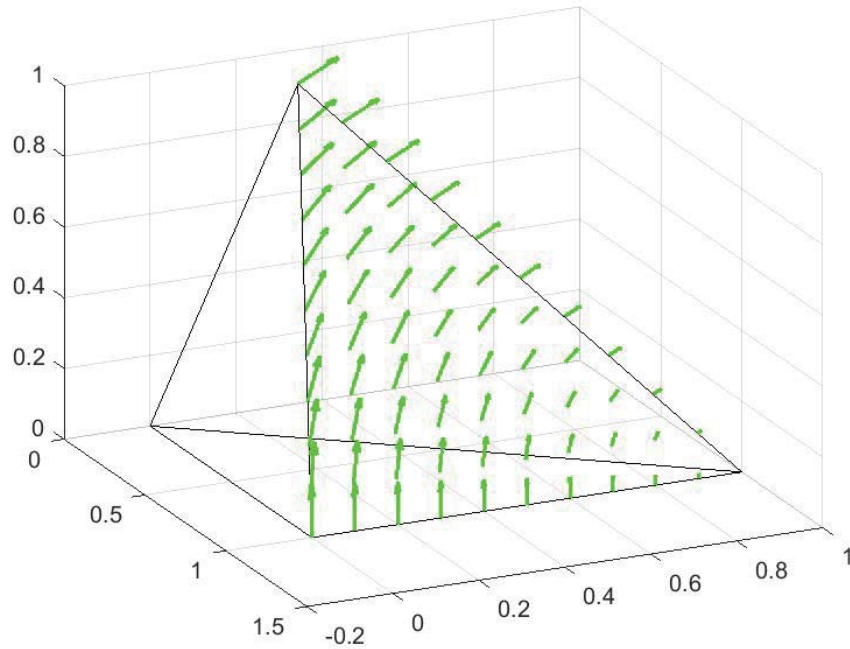


Figure 6: Whitney edge element (for fields). Maintains only *tangential* continuity.

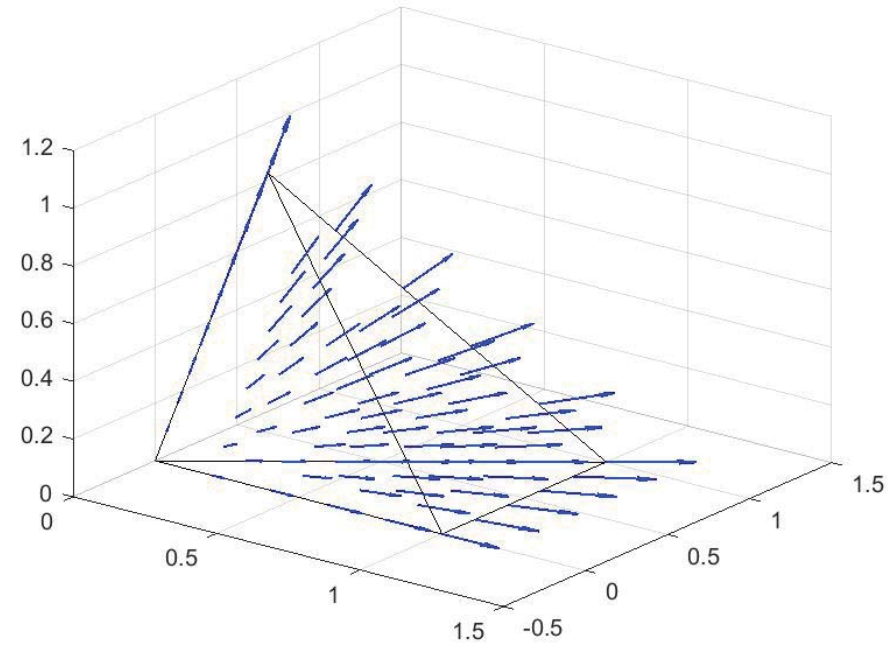


Figure 7: Whitney face element (for fluxes). Maintains only *normal* continuity.

$$[D_c]^T [\star_{\mu^{-1}}] \mathcal{B} = \frac{\partial [\star_{\varepsilon}] \mathcal{E}}{\partial t} + \mathcal{J}_{EB} \quad (4a)$$

$$[D_c] \mathcal{E} = -\frac{\partial \mathcal{B}}{\partial t} \quad (4b)$$

Staggering Faraday's Law and Ampere's law in time to yield the time marching scheme

$$\mathcal{B}^{n+1/2} = \mathcal{B}^{n-1/2} - \Delta_t [D_c] \mathcal{E}^n \quad (5a)$$

$$[\star_{\varepsilon}] \mathcal{E}^{n+1} = [\star_{\varepsilon}] \mathcal{E}^n + \Delta_t ([D_c]^T [\star_{\mu^{-1}}] \mathcal{B}^{n+1/2} - \mathcal{J}_{EB}^{n+1/2}) \quad (5b)$$

Current Mapping (neglecting α)

Solution to Vlasov-Maxwell equation has the form

$$f(\mathbf{r}, \mathbf{p}, t) = \sum_s N_s \delta(\mathbf{r} - \mathbf{r}_s(t)) \delta(\mathbf{p} - \mathbf{p}_s(t)) \quad (6)$$

The charge and current densities are defined as

$$\rho(\mathbf{r}, t) = q \int d\mathbf{p} f(\mathbf{r}, \mathbf{p}, t) = q \sum_s N_s \delta(\mathbf{r} - \mathbf{r}_s(t)) \quad (7a)$$

$$\mathbf{J}(\mathbf{r}, t) = q \int d\mathbf{p} \mathbf{v}_s(t) f(\mathbf{r}, \mathbf{p}, t) = q \sum_s N_s \mathbf{v}_s(t) \delta(\mathbf{r} - \mathbf{r}_s(t)) \quad (7b)$$

Current Mapping

Charge conservation requires that

$$\begin{aligned}\partial_t \rho(\mathbf{r}, t) &= \\ &= q \int d\mathbf{p} \partial_t f(\mathbf{r}, \mathbf{p}, t) \\ &= q \sum_s N_s \partial_t \delta(\mathbf{r} - \mathbf{r}_s(t)) \\ &= -q \nabla \cdot \sum_s N_s \mathbf{v}_s(t) \delta(\mathbf{r} - \mathbf{r}_s(t)) \\ &= -\nabla \cdot \mathbf{J}(\mathbf{r}, t)\end{aligned}\tag{8}$$

Current Mapping

- Both ρ and \mathbf{J} should be measured by dual space function that are in turn represented in terms of functions in the primal grid.

$$\begin{aligned}\partial_t \langle \lambda_i, \rho \rangle &= \\ &= -\langle \lambda, \nabla \cdot \mathbf{J} \rangle \\ &= \langle \nabla \lambda_i, \mathbf{J} \rangle\end{aligned}$$

In the EB scheme

$$\partial_t \tilde{\rho} = -[D_g]^T \mathcal{J}_{EB}$$

- discrete divergence matrix on the primal grid is equivalent to the transpose of the discrete gradient matrix, $[D_g]$, which has entries of 0 if an edge is not connected to a node, and 1 or -1 if the edge points into or out of a node respectively.

Current Mapping

The measurement of the continuity equation and the particle current must be consistent.

$$\partial_t \tilde{\rho} = \int dV \nabla \lambda \cdot \mathbf{J}$$

Basis definition for Electric Field

$$\mathbf{W}_{ij}^1 = \lambda_j \nabla \lambda_i - \lambda_i \nabla \lambda_j$$

Note that

$$\nabla \lambda_i = \mathbf{W}_{ij}^1 + \mathbf{W}_{ik}^1 + \mathbf{W}_{il}^1$$

and therefore, an operator that sums the particle current measured by the Whitney edge elements would yield a consistent scheme.

Note that the discrete divergence matrix sums the contribution of edges to the nodes where the charge is measured.

This is *independent* of the representation of \mathbf{J} .

D-H Finite Element Method

- The choice of discretization for the **EB** scheme represents the electric field and magnetic flux density correctly
- The source quantities are all represented indirectly through a mapping from the dual mesh to the primal mesh.
- Works well under **small time step sizes and linear geometry and basis description; Note $\nabla \cdot \mathbf{B} \neq 0$**
- **Question:** Can we reformulate this to avoid these bottlenecks

D-H Finite Element Method

- Solution: **D-H** Mixed FEM
- Current density and charge density now represented on primal grid
- Ampere's Law tested with divergence-conforming basis set;
Faraday's law tested with curl-conforming basis set

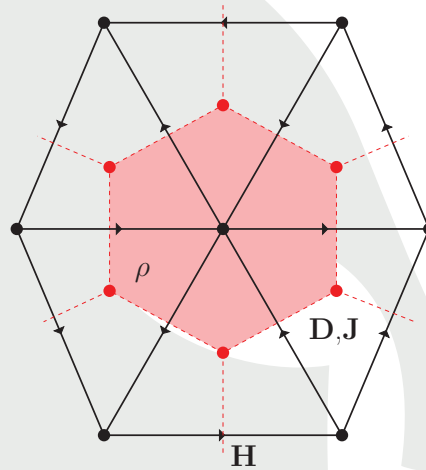


Figure 8: 2D triangular mesh and its dual for **DH** scheme

D-H Finite Element Method

We seek to solve

$$\nabla \times \frac{\mathbf{D}(\mathbf{r}, t)}{\epsilon} = -\frac{\partial \mu \mathbf{H}(\mathbf{r}, t)}{\partial t} \quad (9a)$$

$$\nabla \times \mathbf{H}(\mathbf{r}, t) = \frac{\partial \mathbf{D}(\mathbf{r}, t)}{\partial t} + \mathbf{J}(\mathbf{r}, t) \quad (9b)$$

$$\nabla \cdot \mu \mathbf{H}(\mathbf{r}, t) = 0 \quad (9c)$$

$$\nabla \cdot \mathbf{D}(\mathbf{r}, t) = \rho(\mathbf{r}, t) \quad (9d)$$

with boundary conditions

$$\hat{n} \times \mathbf{H}(\mathbf{r}, t) = \mathbf{J}_s(\mathbf{r}, t) \quad (10a)$$

$$\hat{n} \cdot \mathbf{D}(\mathbf{r}, t) = \rho_s(\mathbf{r}, t) \quad (10b)$$

$$[D_c]^T [\star_{\epsilon-1}] \mathcal{D} = -\frac{\partial [\star_{\mu}] \mathcal{H}}{\partial t} \quad (11a)$$

$$[D_c] \mathcal{H} = \frac{\partial \mathcal{D}}{\partial t} + \mathcal{J}_{DH} \quad (11b)$$

The same staggering in time is used in this formulation, yielding

$$[\star_{\mu}] \mathcal{H}^{n+1/2} = [\star_{\mu}] \mathcal{H}^{n-1/2} - \Delta_t [D_c]^T [\star_{\epsilon-1}] \mathcal{D}^n \quad (12a)$$

$$\mathcal{D}^{n+1} = \mathcal{D}^n + \Delta_t ([D_c] \mathcal{H} - \mathcal{J}_{DH}) \quad (12b)$$

Current Mapping

- From current continuity

$$\partial_t \langle \lambda_i, \rho \rangle = \langle \nabla \lambda_i, \mathbf{J} \rangle$$

- In the DH scheme, we write

$$\partial_t \tilde{\rho} = -[D_d] \mathcal{J}_{DH}$$

- Divergence matrix $[D_d]$ has entries of 1 or -1 if a face normal points out of or into a tetrahedron, respectively. Otherwise, the value is zero.
- Trivial to define loop and star structures for \mathbf{D} and co-tree for \mathbf{H} to properly capture the rotational and irrotational components of the velocity.

Current Mapping

- The discrete current mapping in the DH scheme is defined as

$$\begin{aligned}\mathcal{J}_{DH,i} &= q \int dS \hat{\mathbf{n}}_i \cdot \mathbf{v}_p(t) \delta(\mathbf{r} - \mathbf{r}_p(t)) \\ &= q \hat{\mathbf{n}}_i \cdot \mathbf{v}_p(t)\end{aligned}$$

if Ampere's Law is discretized by testing with face normals.

- Alternatively, Ampere's law can be tested with the divergence-conforming basis set $\mathbf{W}^{(2)}$ such that

$$\begin{aligned}\mathcal{J}_{DH,i} &= q \int d\Omega \mathbf{W}_i^{(2)}(\mathbf{r}) \cdot \mathbf{v}_p(t) \delta(\mathbf{r} - \mathbf{r}_p(t)) \\ &= q \mathbf{W}_i^{(2)}(\mathbf{r}_p(t)) \cdot \mathbf{v}_p(t)\end{aligned}$$

Current Mapping

The measurement of the continuity equation and the particle current must be consistent. Consider for the lowest order scheme, where surface testing is done for Faraday's law.

$$\int dV \partial_t \rho = - \int dV \nabla \cdot \mathbf{J}$$
$$\partial_t \tilde{\rho} = - \int dS \hat{n} \cdot \mathbf{J}$$

Again, the measurement scheme of the current is consistent with the measurement of the continuity equation.

Here, the discrete divergence matrix sums contributions from each face for a particular tetrahedron.

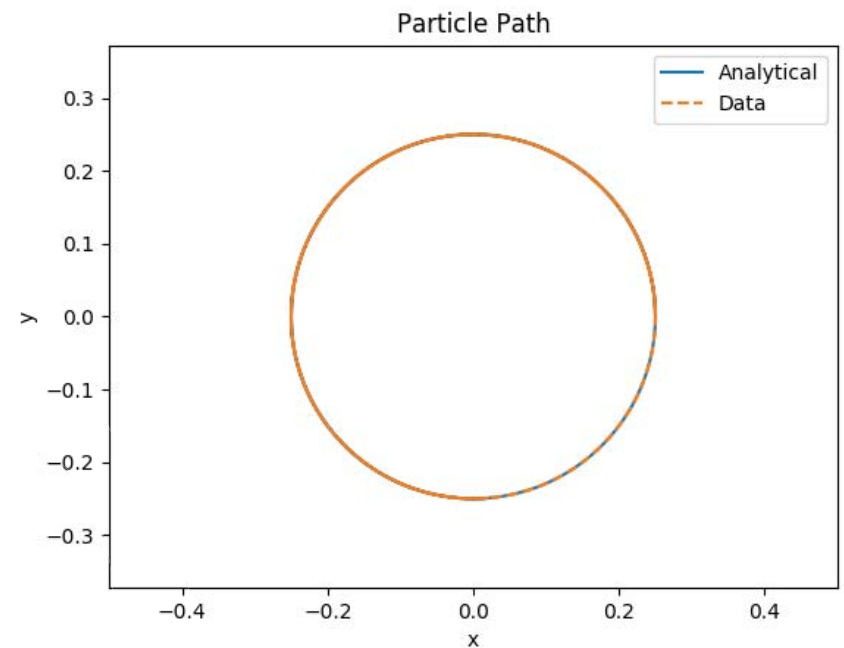
Again, this is *independent* of the representation of \mathbf{J} .



Results

Basic Particle Motion

- Investigate single particle motion to validate particle pusher.
- Uniform external magnetic flux density $B = 6.82272 \cdot 10^{-5} \hat{z}$ Wb/m^2 is applied with no electric field.
- Macro particle consists of a single electron
- Initial velocity $\mathbf{v} = 3 \cdot 10^6 \hat{y}$ and position $\mathbf{r} = [0, 0.25, 0]$ which results in a gyro-radius of 0.5 m.



Basic Particle Motion

- Error in particle motion with $dt = 46.1ps$ is around 10^{-4}
- Error for a single particle are dominated by boris push

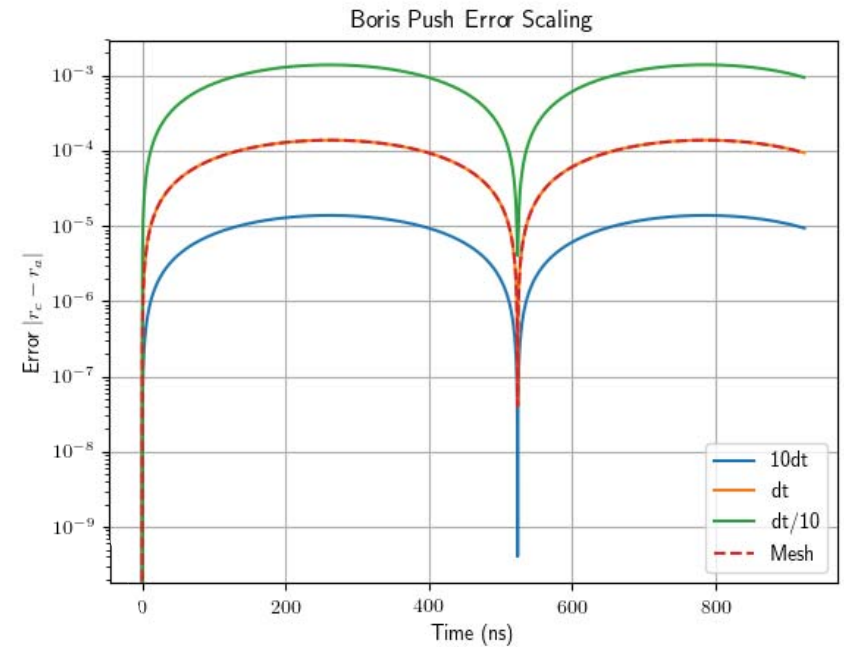


Figure 9: Basis Particle Motion - Error in position over time $|r_c - r_a|$

Basic Particle Motion - DH

Same experiment setup using the **DH** scheme with similar results with two different methods of defining the current mapping

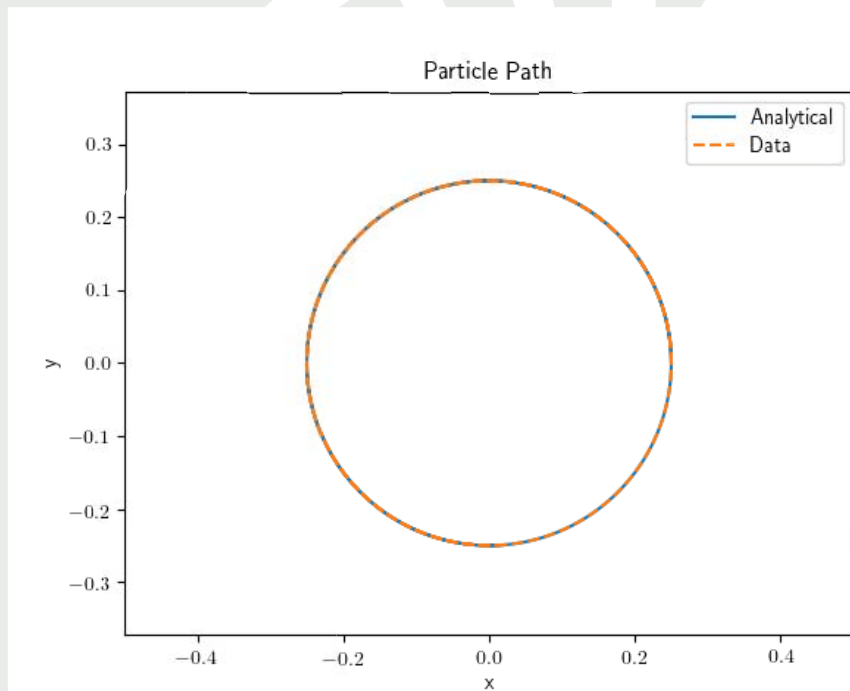


Figure 10: Basic Particle Motion - for DH scheme with current tested by surface normals

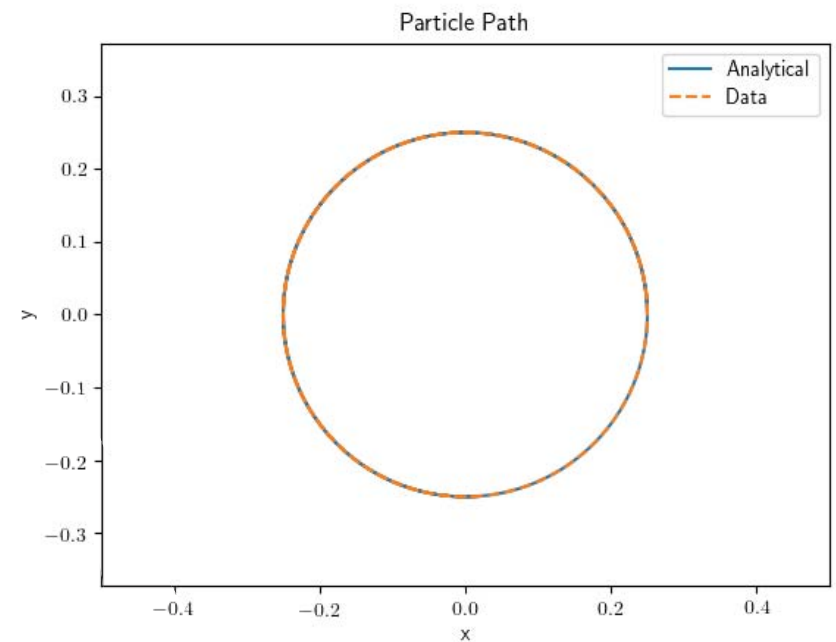


Figure 11: Basic Particle Motion - for DH scheme with current tested by $\mathbf{W}^{(2)}$

Basic Particle Motion - DH

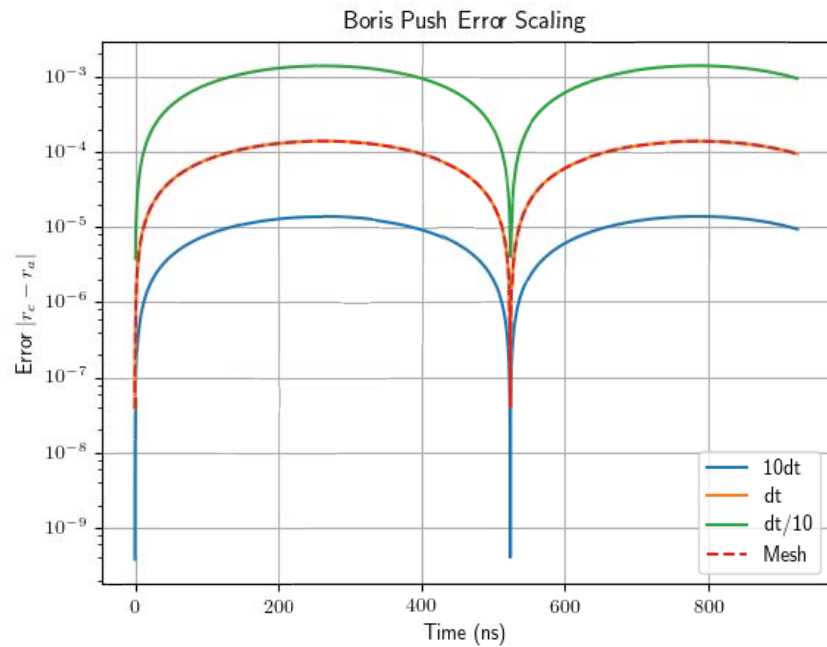


Figure 12: Basic Particle Motion - Error in position over time $|r_c - r_a|$ for DH scheme with current tested by surface normals

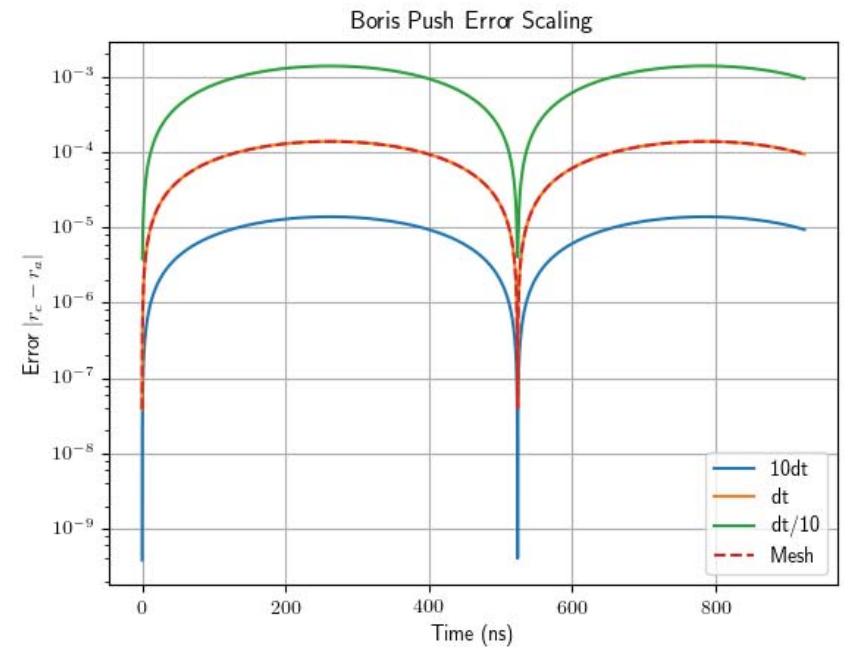


Figure 13: Basic Particle Motion - Error in position over time $|r_c - r_a|$ for DH scheme with current tested by $\mathbf{W}^{(2)}$

Single particle motion with the **DH** scheme for 200,000 time steps

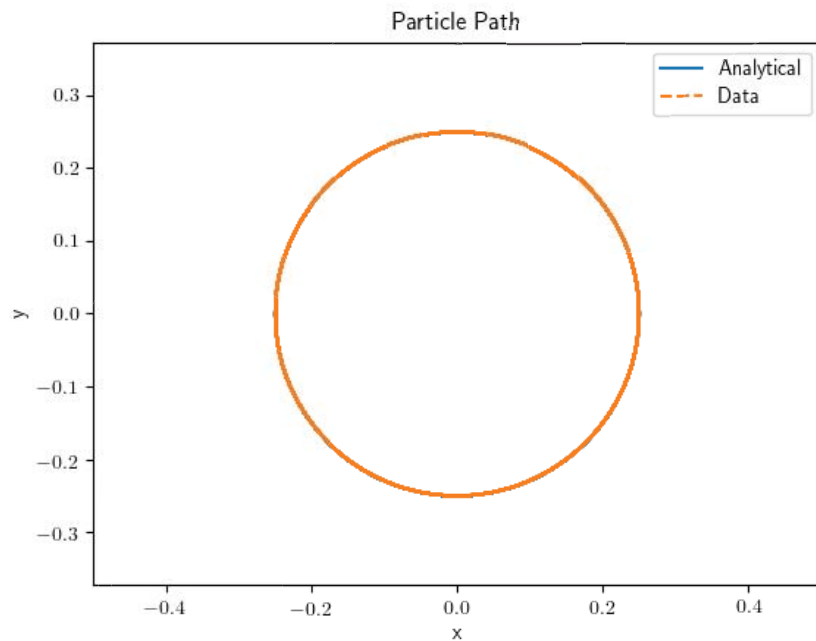


Figure 14: Basic Particle Motion - for DH scheme with current tested by surface normals

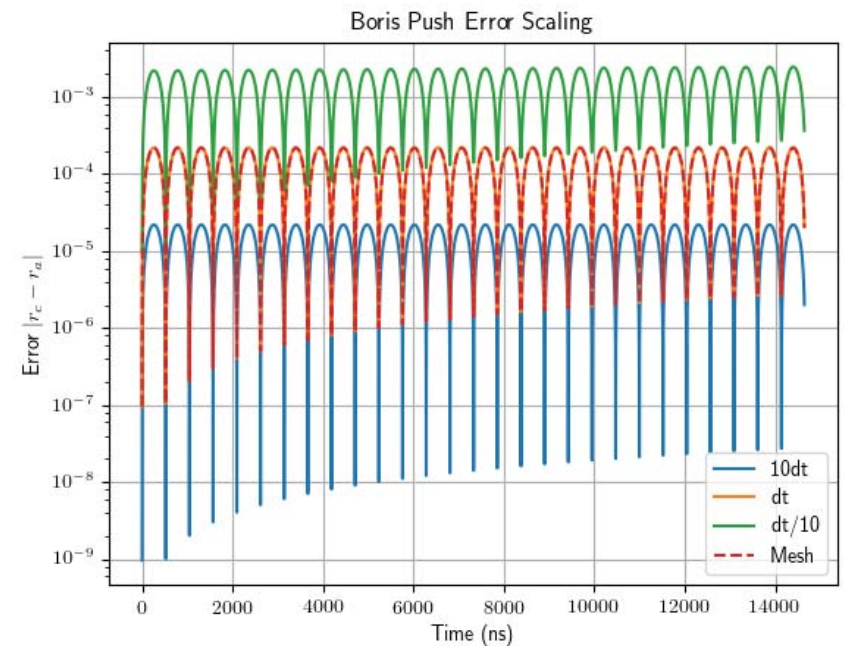


Figure 15: Basic Particle Motion - Error in position over time $|r_c - r_a|$ for DH scheme with current tested by surface normals

Plasma Ball

Adiabatic plasma expansion in a vacuum with initial thermal velocity maxwellian.

- Radius 0.05 m
- Centered in 1x1x1 m PEC box
- ions and electrons overlap at $t=0$

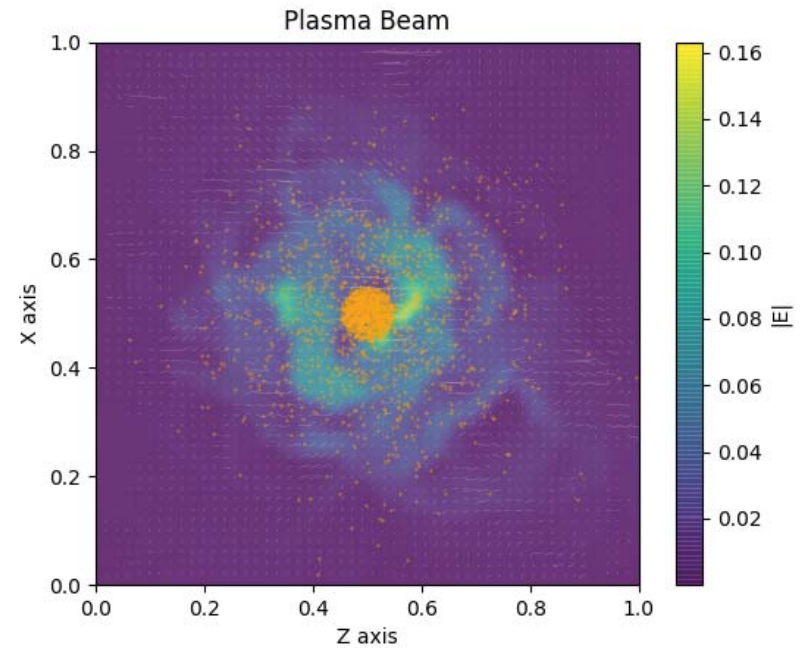


Figure 16: Plasma ball test case - ions located at the center with electrons moving outward at $t = 64.6$ ns

Particle Beam

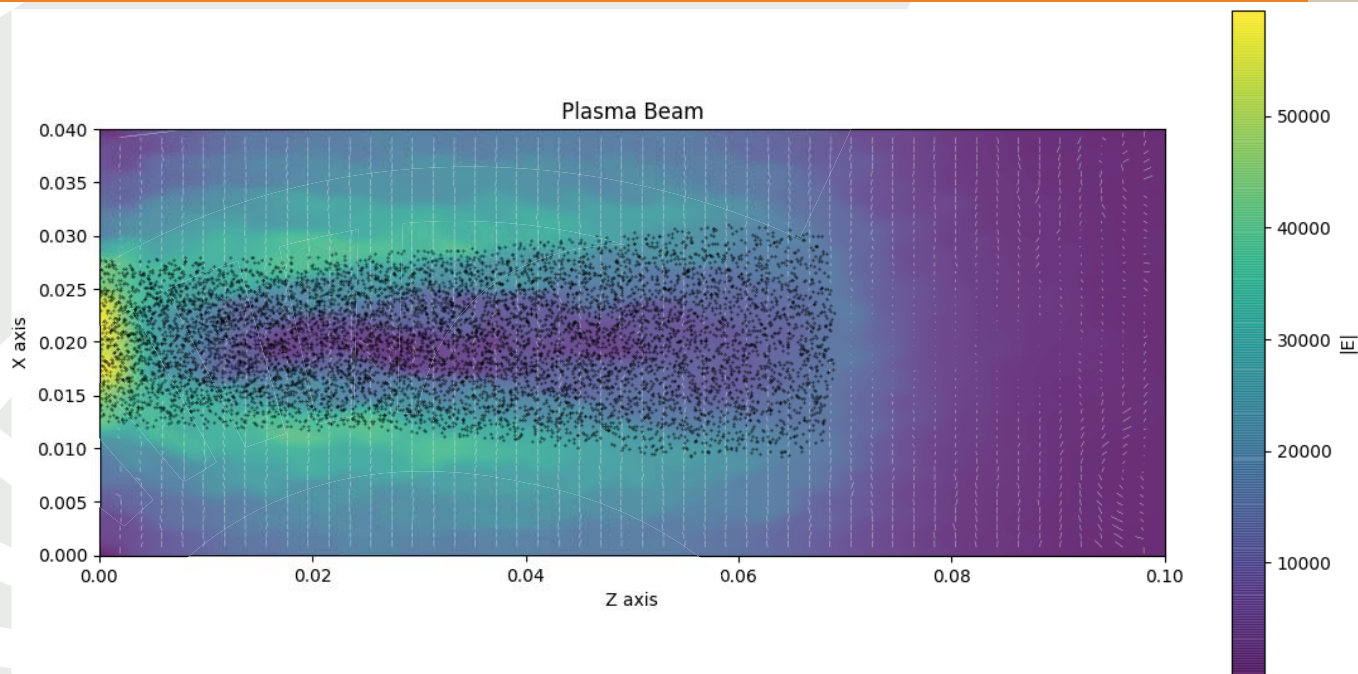


Figure 17: Particle beam test case with electric field magnitude plotted.

Particle beam entering cylindrical tube with PEC boundary conditions

- Beam Current 1A
- Beam Voltage 7.107kV ($\approx 49 \cdot 10^6$ m/s)
- Beam Radius 8 mm
- Macro-particle size 1,040,251
- Time Step 0.5 (ns)
- Mesh Size 0.1 meters
- PEC cylinder geometry radius of 0.04 m and length 0.1 m

Plasma Beam-Validation (?)

- Validation of FEMPIC and XOOPIIC (2D code) beam case.
- Particle current continuity equation satisfied.

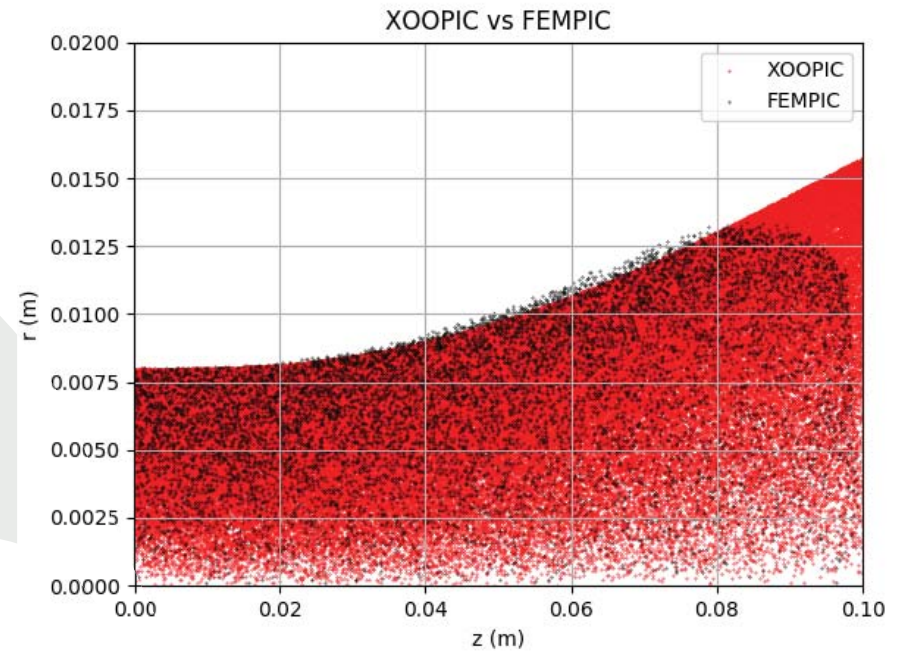


Figure 18: Particles in r-z compared with XOOPIIC (red)

Plasma Beam - Current Continuity

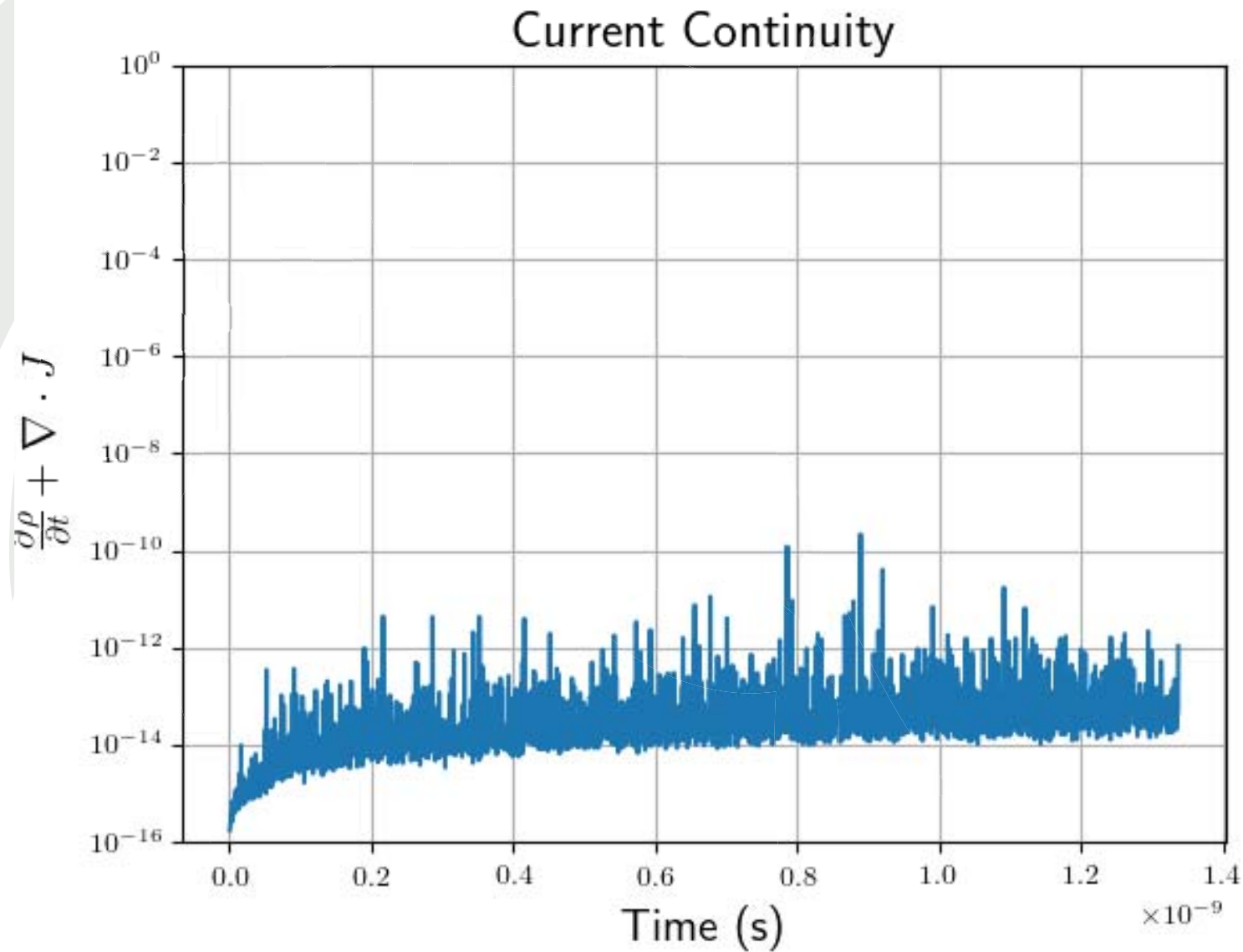


Figure 19: Continuity equation at each time step



Summary

Summary

- Rigorous definition of current mapping for Whitney spaces
- Formulation of a new method
- Developing a sequence of 3D benchmark tests
- All test run so far show proper satisfaction of Gauss' law (and therefore, current continuity)
- No divergence cleaning
- **Things to do**
 - Develop a sequence of more benchmark tests
 - Extend to periodic system
 - Develop quasi-Helmholtz decompositions

Acknowledgement



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