

Electromagnetic Fluctuations in Microstructured Geometries

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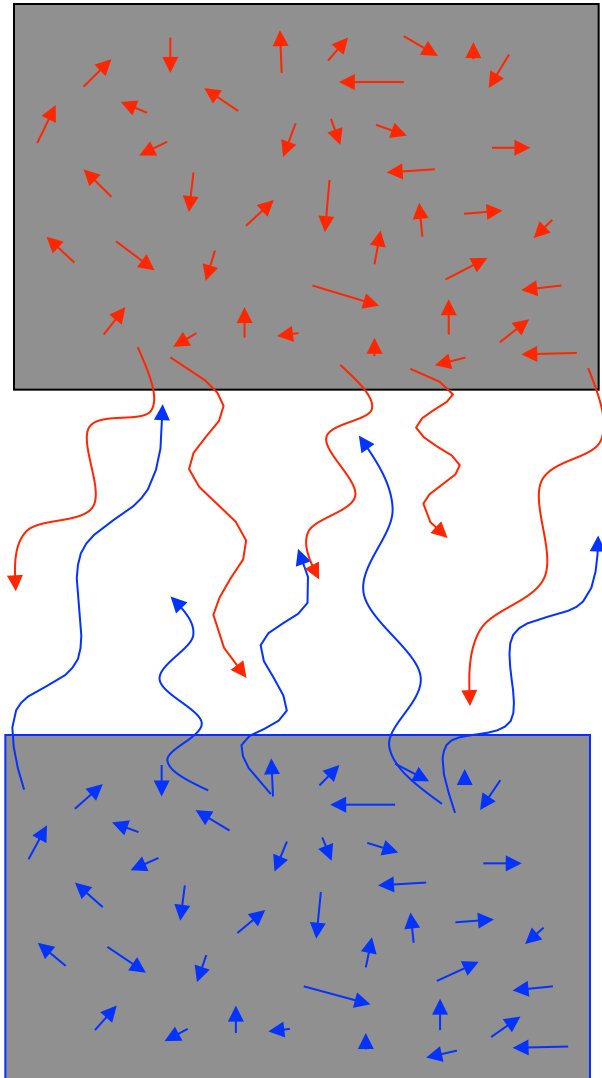


Marko Loncar, Federico Capasso (Harvard)
Steven G. Johnson, John D. Joannopoulos (MIT)



Alexander P. McCauley, Homer Reid, Jacob White (MIT)
David Woolf, Pui-Chuen Hui, Eiji Iwase (Harvard)

Electromagnetic Fluctuations



All matter is filled with constantly **fluctuating current** sources...

... radiating photons
= **fluctuating fields** everywhere
at **all frequencies**
[*even at **zero temperature**
due to quantum effects*]

causing nearby **matter to interact**

EM-fluctuation interactions are:

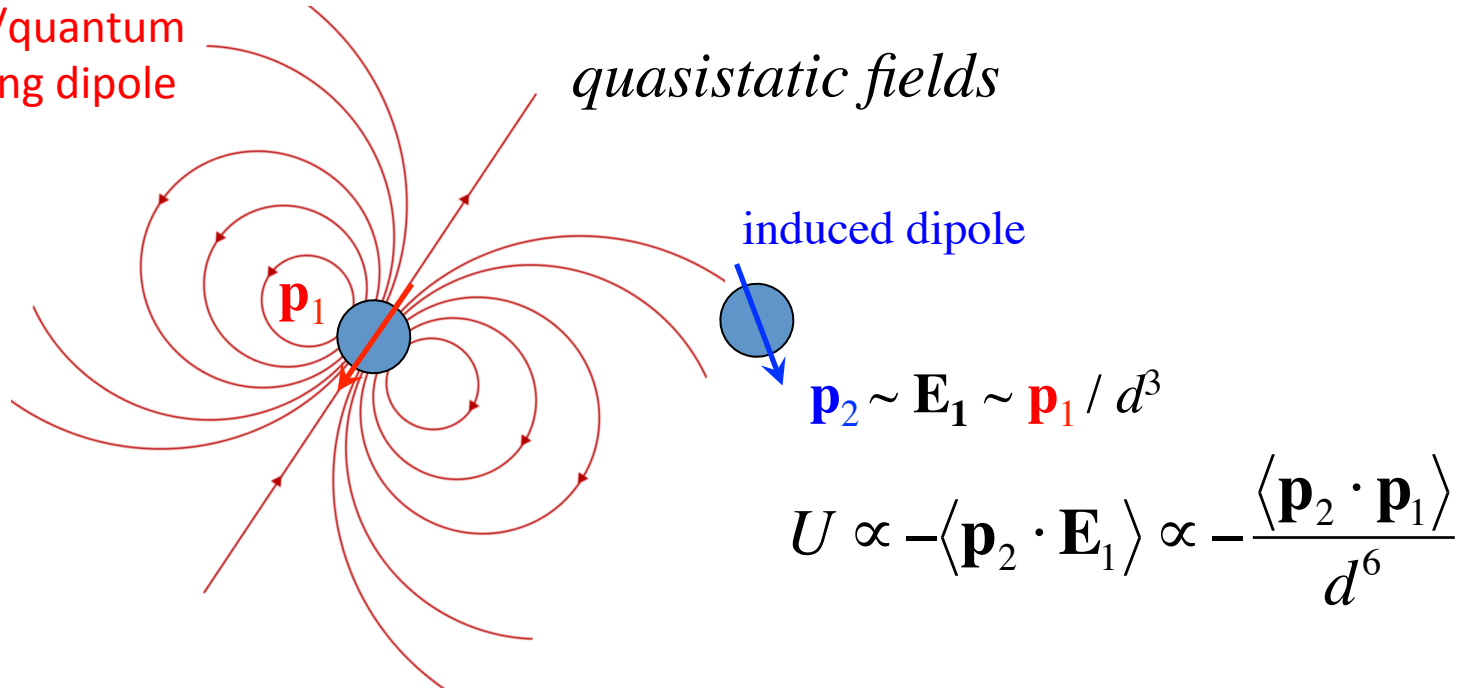
- Increasingly important in several contexts
 - as devices reach *sub- μm scales*
- Surprisingly hard to solve mathematically
 - even *two-cylinder* problems fully solved only recently
- Increasingly solvable by translation into classical wave problems.
 - many recent developments
- Effects of new geometries are exciting and ~ unexplored.

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van der Waals Forces

thermal/quantum
fluctuating dipole



dipole–dipole correlation (**statistics of fluctuations**)

$$\langle \mathbf{p}_2 \cdot \mathbf{p}_1 \rangle_\omega \sim \Theta_T(\omega) = \underbrace{\frac{1}{2} + \frac{\hbar\omega}{e^{\hbar\omega/kT} + 1}}_{\text{Planck spectrum}} = \coth\left(\frac{\hbar\omega}{2kT}\right)$$

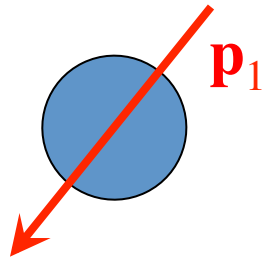
Planck spectrum ($T=0$ means only quantum fluctuations)

van der Waals Forces: Approximations

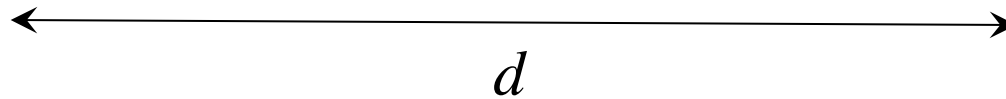
- **Small separations** only (e.g. < 10 s of nm)
= neglect **wave effects**
- **Dilute / weakly-polarizable** only
= neglect **multiple scattering**

Casimir–Polder Forces

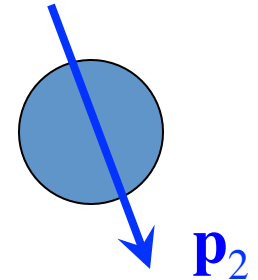
fluctuating dipole



finite speed of light = wave effects



induced dipole

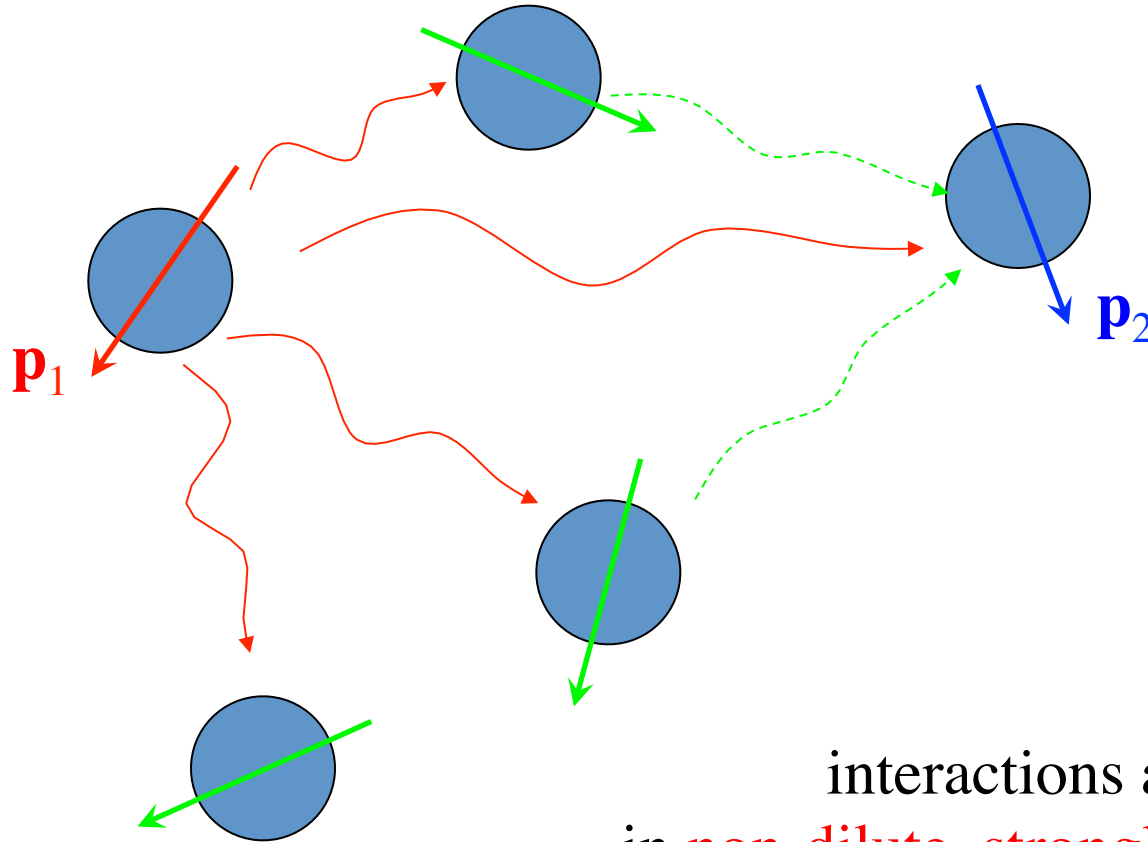


for separations \gg resonant wavelength

$$U \sim -\frac{1}{d^7} \quad \Rightarrow \quad F \sim -\frac{1}{d^8}$$

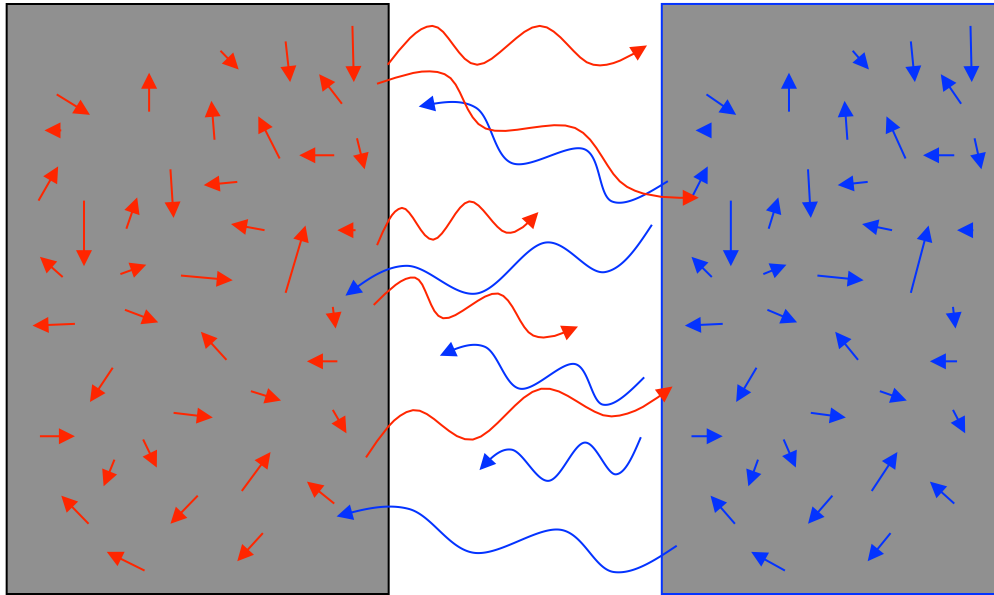
... in general, **not a simple power law**, because **polarizability is frequency-dependent** (dispersion)

Multiple scattering



interactions are **modified**
in **non-dilute, strongly polarizable** media
= **liquids/solids with large index** contrasts

Casimir Forces (Macroscopic Bodies)

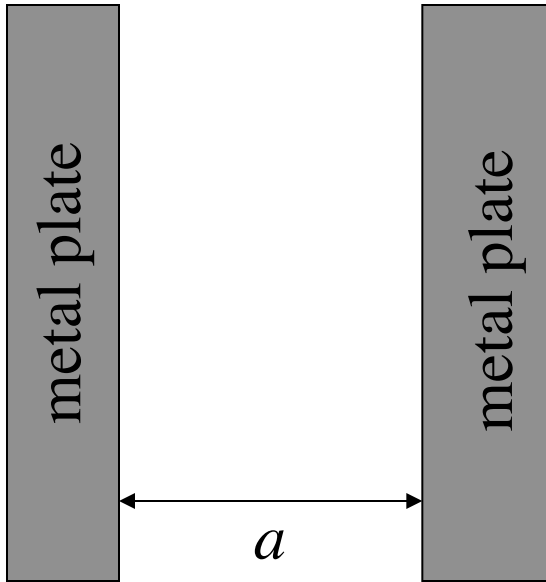


many interacting dipoles

EM field must satisfy **boundary conditions** at material interfaces
...**designable fluctuations!**

The Casimir Force

[H. Casimir, 1948]



Parallel, **neutral, perfect-metal plates**,
separation a

$$\frac{F}{A} = -\frac{\pi^2 \hbar c}{240 a^4}$$

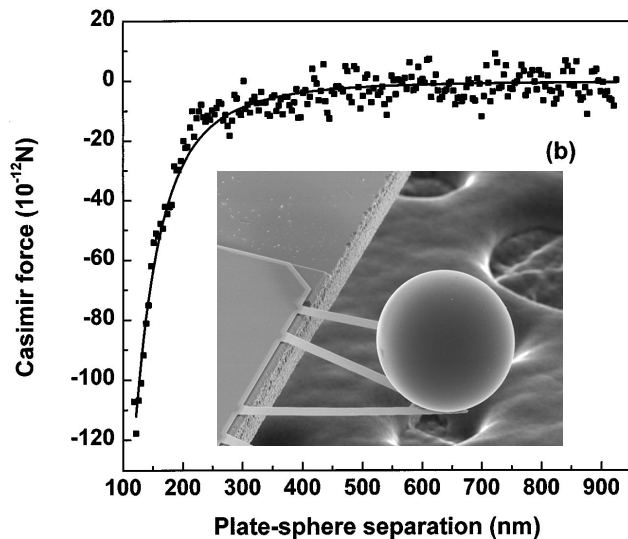
attractive force,
monotonic decreasing

- 10^{-7} N for $a=1\mu\text{m}$, $A=1\text{cm}^2$
- 1atm for $a \sim 100\text{nm}$, $A=1\mu\text{m}^2$

Experimental Progress

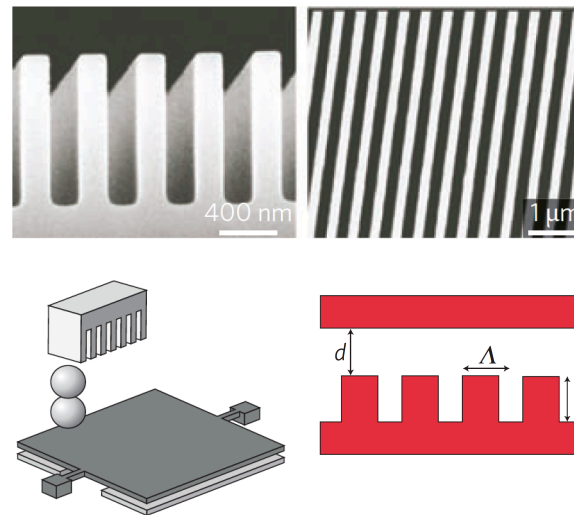
- Recently verified via **high precision experiments** ($\sim 5\%$ accuracy)
- Experiments beginning to explore more **complex geometries**

sphere-plate



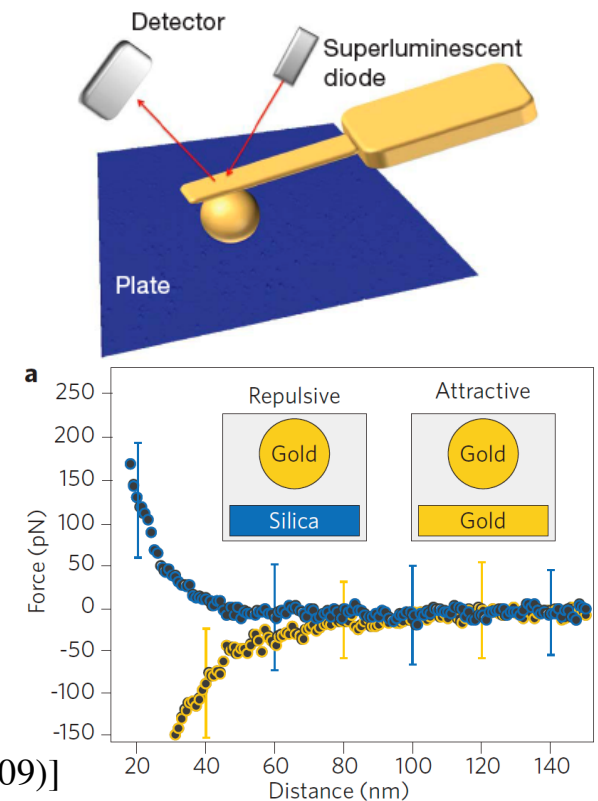
[U. Mohideen *et. al.* **PRL**, **81** (1998)]

trenches



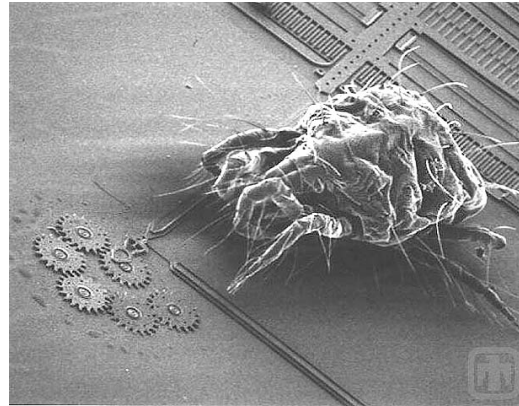
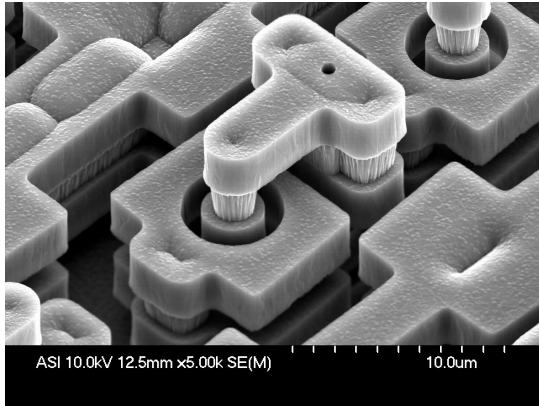
[H. B. Chan *et. al.*
PRL **101**, 030401,(2008)]

fluid experiments



[Munday *et. al.*
Nature, **157** (2009)]

Micromechanical Devices

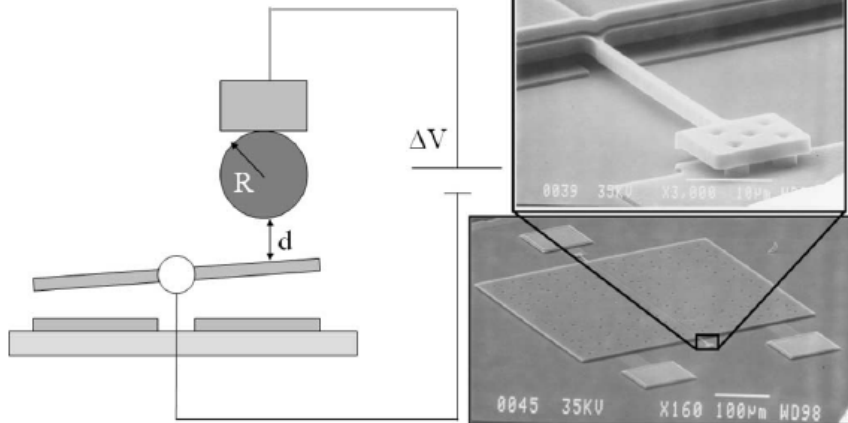


attractive Casimir force
causes **stiction**

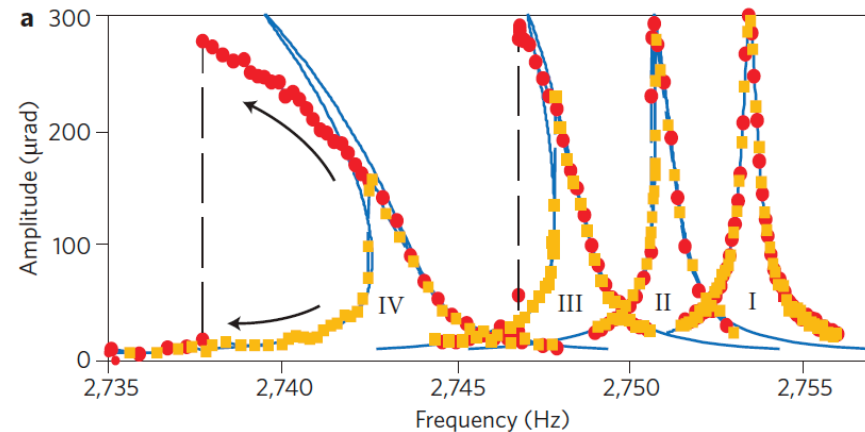
reduce stiction
design the force?

nonlinear oscillator

$$m\ddot{x} + \gamma\dot{x} + kx + \text{[blue circle]} = \Delta V$$



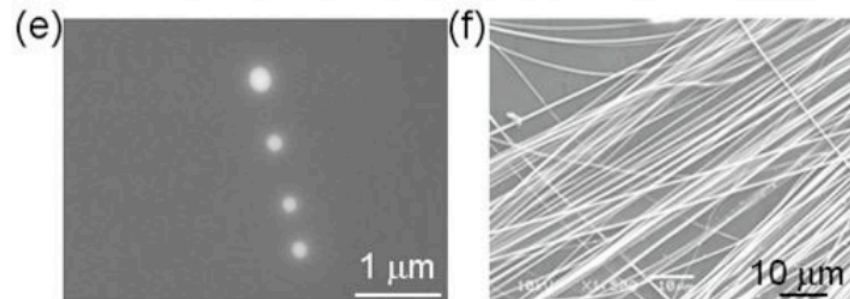
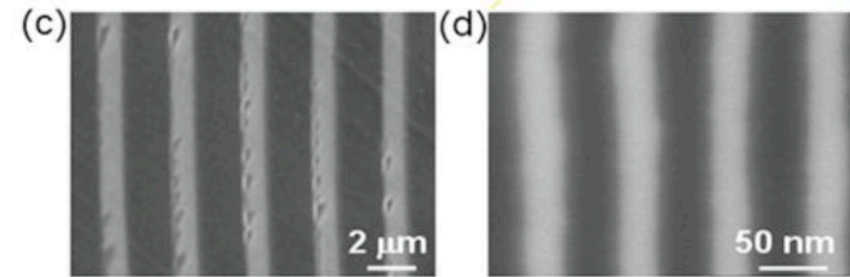
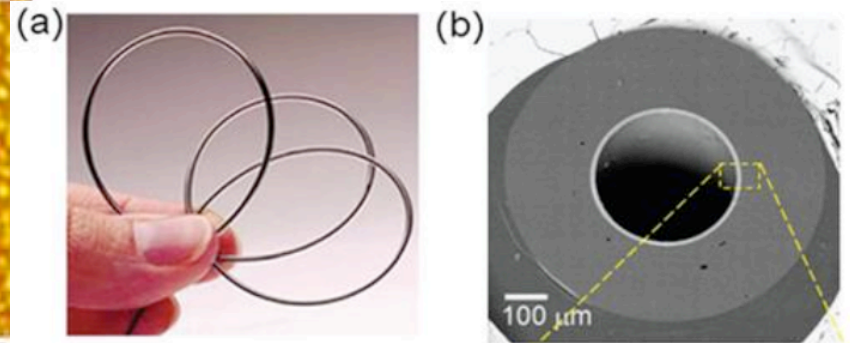
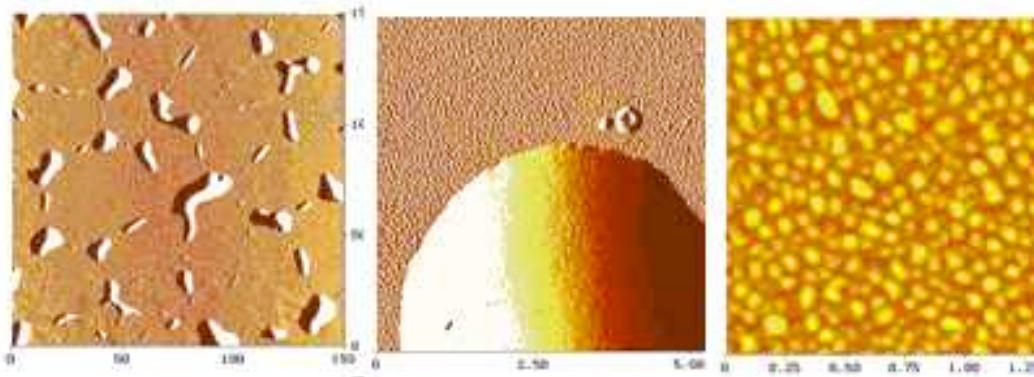
frequency response at different d



De-wetting of Thin Fluid Films

thin polymer films on Si

glass/polymer drawn fibers



[P. Müller, Tech. Univ. Munich]

Many researchers **investigating** “van der Waals” forces (e.g. as competition for surface tension)

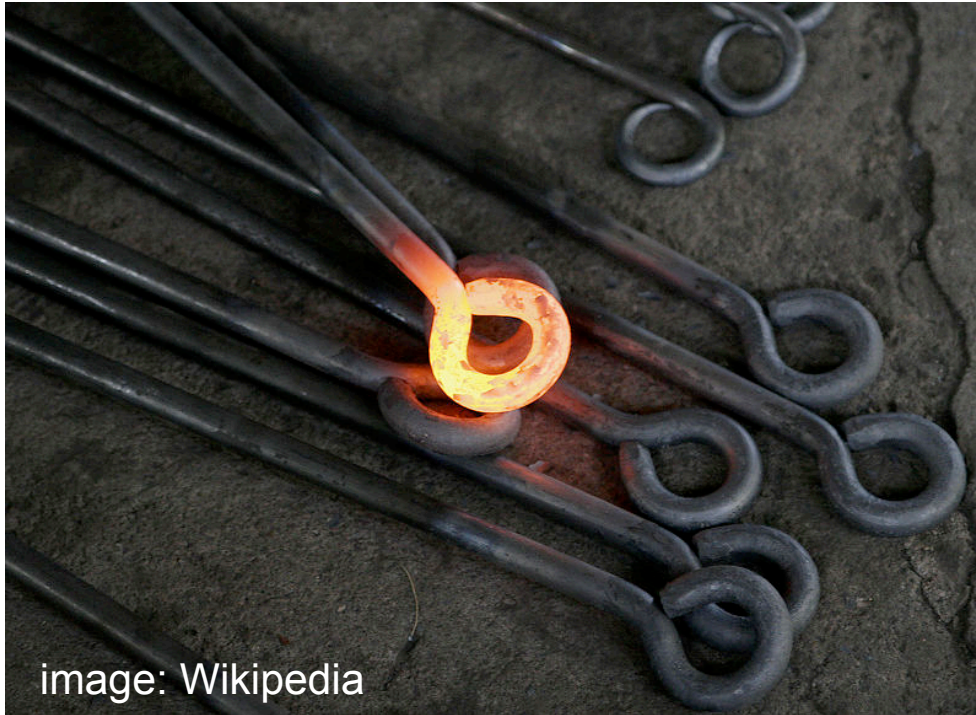
...

but **additive “vdW” power laws are often fundamentally invalid (for non-planar interfaces)**

[D. S. Deng, Y. Fink, MIT]

Radiative Transport

Far-field: **Black/grey body radiation**



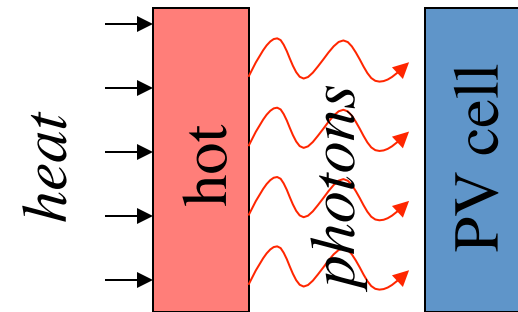
Easy to compute by Kirchhoff's law:
emissivity = absorptivity

< 1 ... limited by black body

... **greatly modified by λ -scale structure**

Near-field (evanescent)
thermal transport can
exceed black-body limit

e.g. for future
thermo-photovoltaic systems?



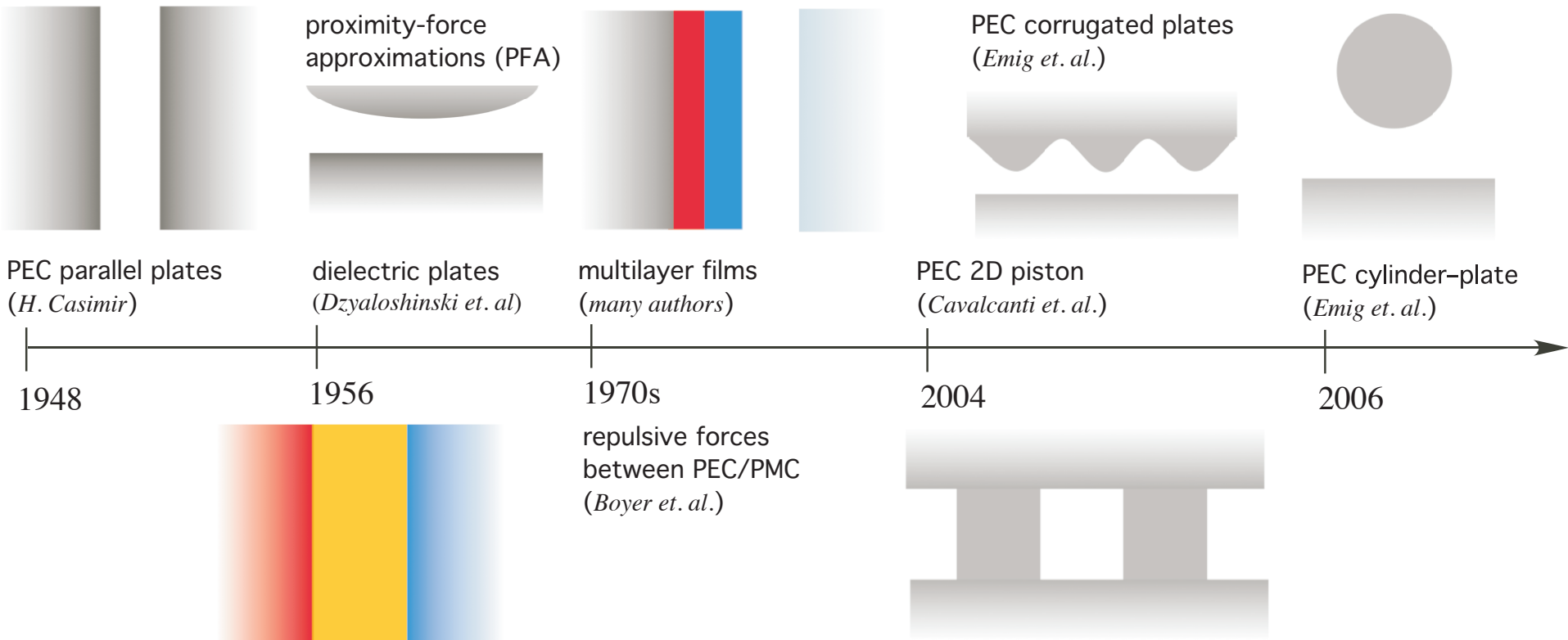
difficulty:

current near-field theory
only for planes/spheres

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 - even *two-cylinder* problems fully solved only recently
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Selected *Pre-2007* Theoretical Work



two-cylinders problems fully solved only five years ago

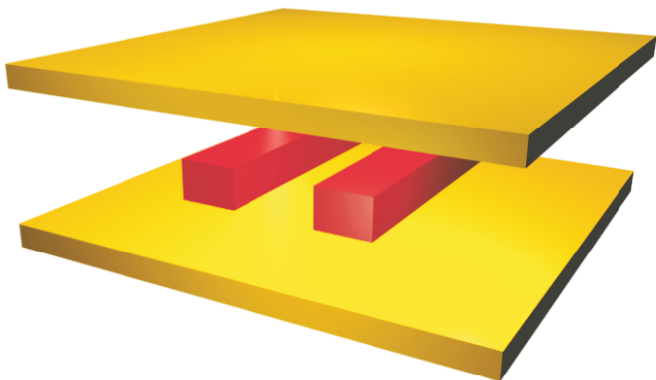
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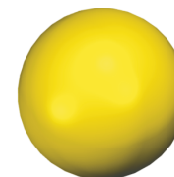
Selected Recent Theoretical Structures

multi-body geometries

[Rodriguez *et. al.* 2007]

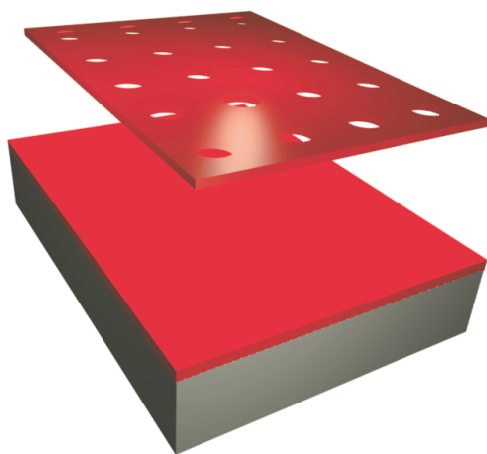


trench [Lambrecht *et. al.* 2009]



sphere-plate

[Maia Neto *et. al.* 2008]



PhC membranes

[Rodriguez *et. al.* 2010]



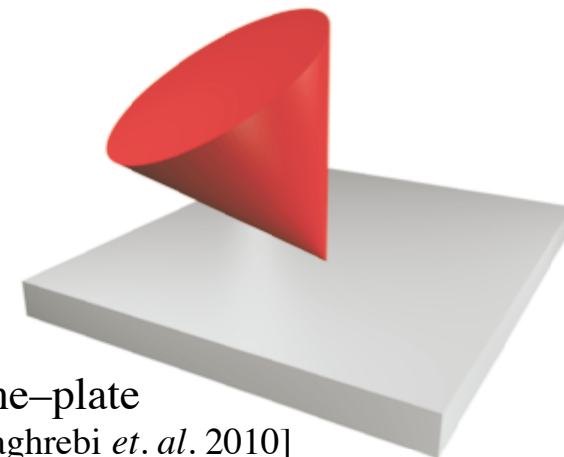
hockey pucks

[Reid *et. al.* 2010]



ellipse-plate (with hole)

[Levin, Rodriguez *et. al.* 2010]

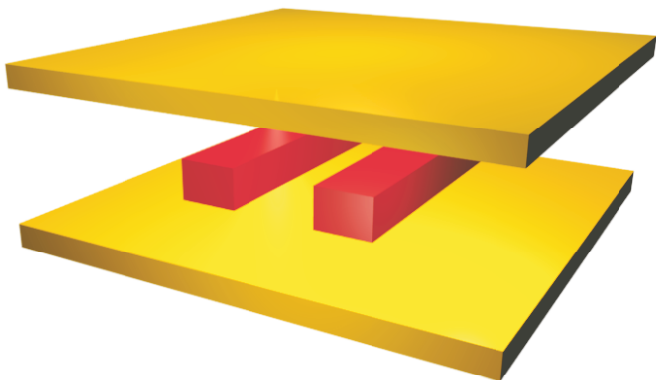


cone-plate

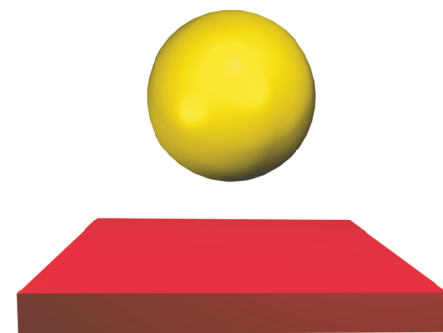
[Maghrebi *et. al.* 2010]

Selected Recent Theoretical Structures

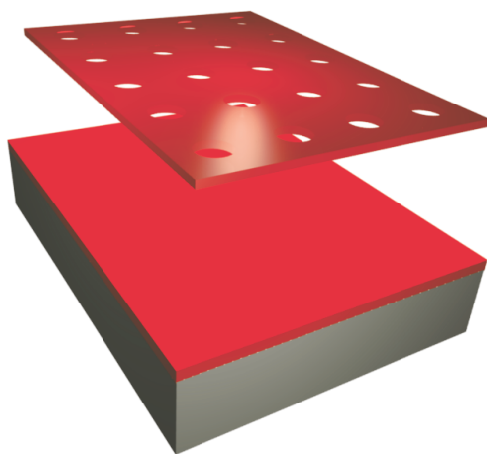
multi-body geometries
[Rodriguez *et. al.* 2007]



trench [Lambrecht *et. al.* 2009]



sphere-plate
[Maia Neto *et. al.* 2008]



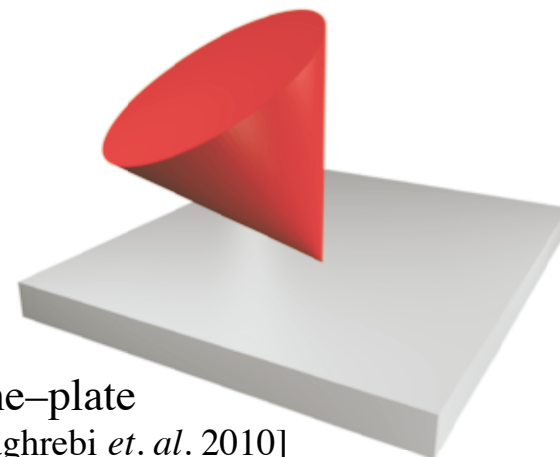
PhC membranes
[Rodriguez *et. al.* 2010]



hockey pucks
[Reid *et. al.* 2010]



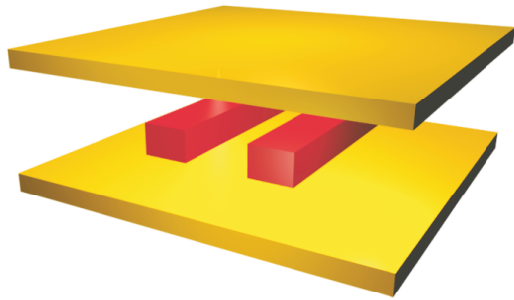
ellipse-plate (with hole)
[Levin *et. al.* 2010]



cone-plate
[Maghrebi *et. al.* 2010]

Theoretical Progress

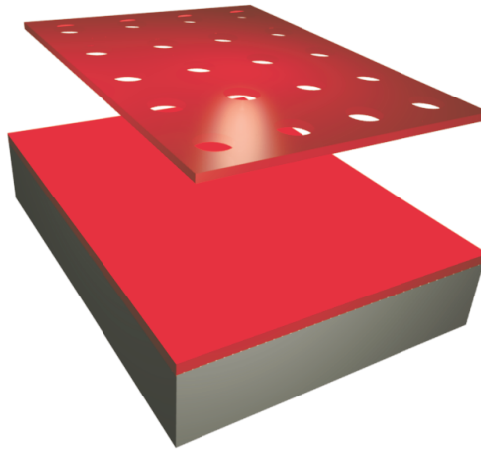
non-monotonic
multi-body effects



[Rodriguez *et al.* *PRL* **104**, 7303 (2007);
PRA **76**, 032106 (2007)]

interplay of Casimir
and optomechanical forces

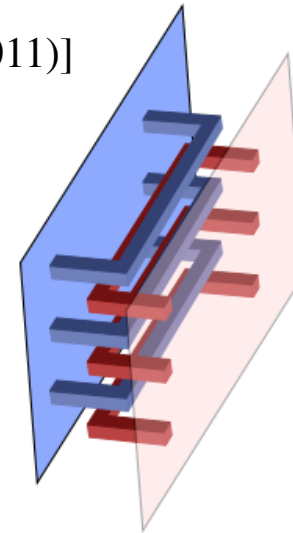
[Rodriguez *et al.* *APL*, **98** 194105 (2011)]



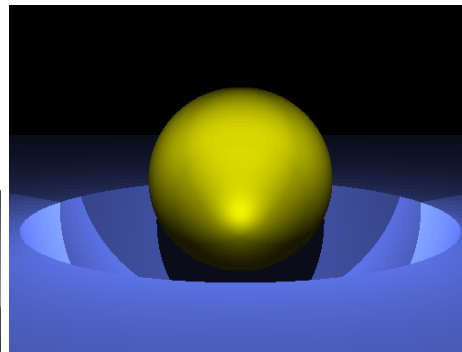
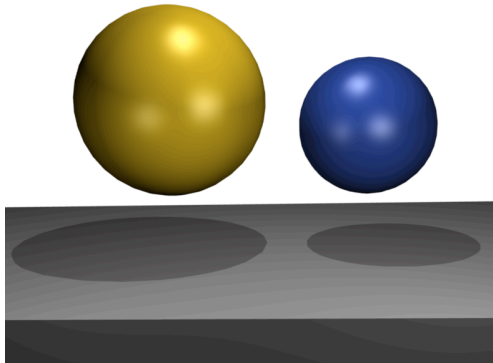
repulsive forces

glide
symmetric
geometries

[Rodriguez *et al.*,
PRA **97**
160401 (2008)]



stable suspension of objects

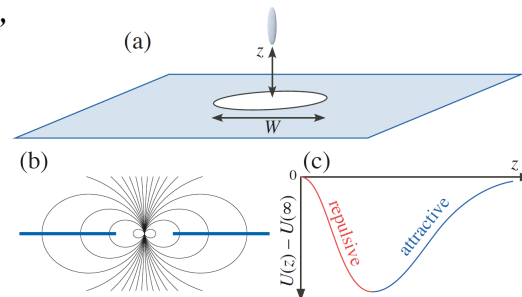


[Rodriguez, *et al.* *PRL* **101** 190404 (2008);
PRL **104** 160402; *PRL* **105** 060401 (2010)]

[McCauley, Rodriguez *et al.*
PRA **97** 160401 (2008)]

repulsive
plate-hole

[Levin,
Rodriguez *et al.*,
PRL **105**,
090403 (2010)]



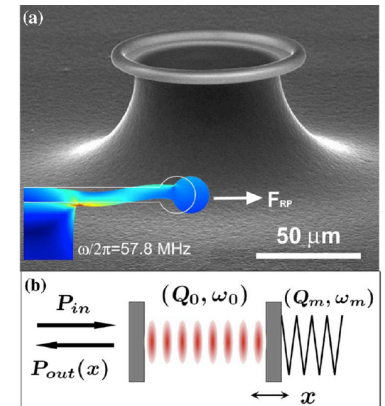
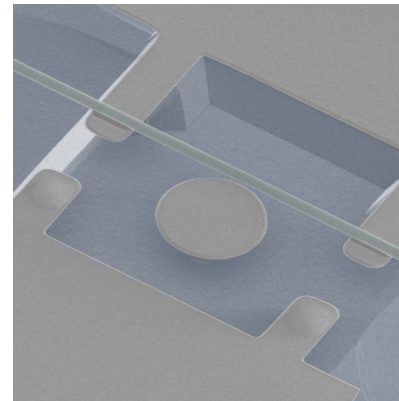
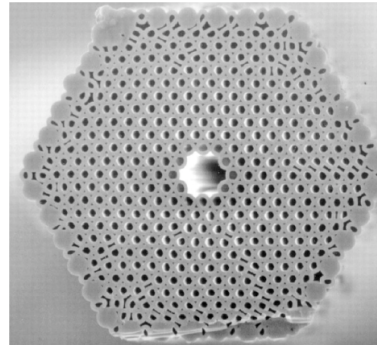
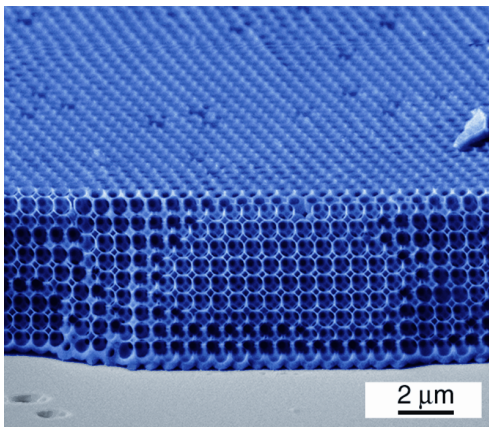
Is this problem really that hard?

non-interacting bosons — linear Maxwell-like PDEs,
continuum material models
polynomial complexity

- Surprisingly hard to solve numerically
 - solution can easily involve solving PDE's 10,000's of times
 - increasingly solvable by translation into classical wave problems (many recent developments)
- Which PDE you solve makes a huge difference
 - many equivalent formulations
 - ... which are well suited for numerics?

[Rodriguez *et al* *PRA* 76, 032106 (2007)]

goal: exploit
mature, scalable methods
from classical EM
for arbitrary geometries/materials



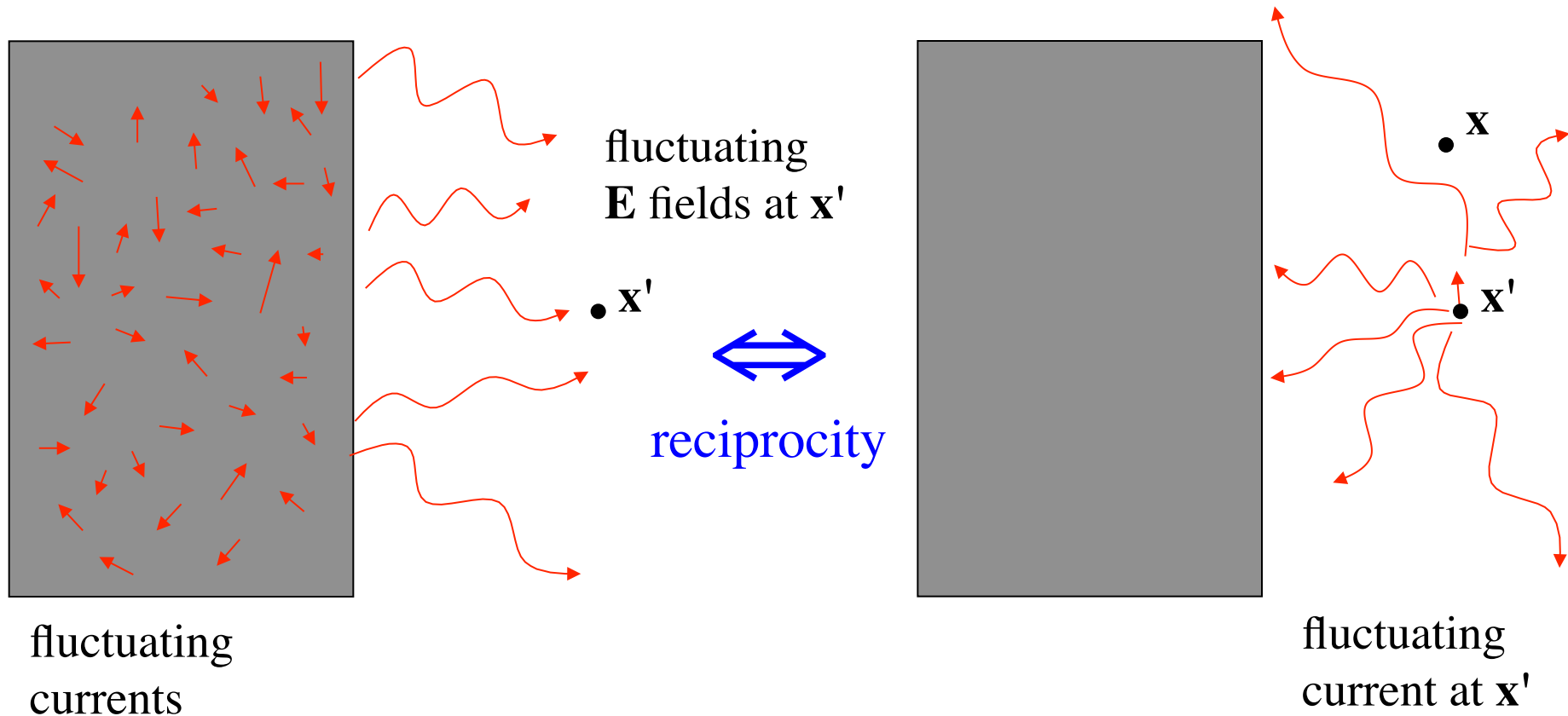
...need to relate quantum fluctuations
to classical nanophotonics?

One ~ accessible formulation (~1960):

Connecting **fluctuations**
to **dissipation** & Green' s functions

to get e.g. mean E^2 and H^2
...compute energy densities, stresses, ...

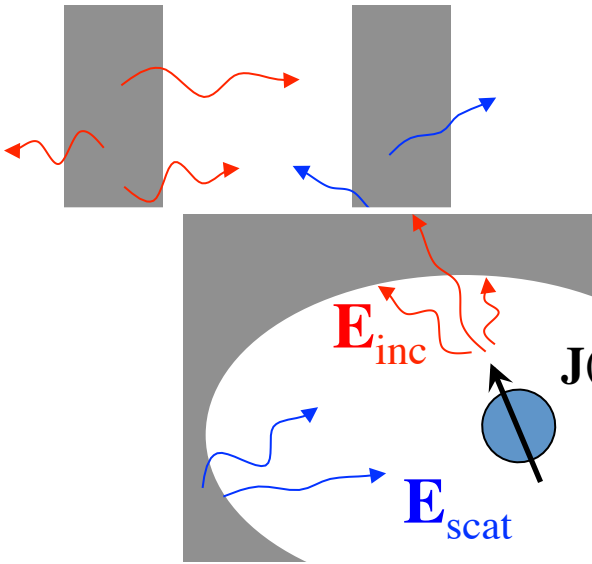
Why do Green's functions appear?



equilibrium \Rightarrow **standard thermal statistics**

...mean value of $\mathbf{E}(\mathbf{x})\mathbf{E}(\mathbf{x}') \sim$ field at \mathbf{x} from current at \mathbf{x}'
 \sim **Green's function**

Scattering and Green's Functions



sum average energy density in all space

$$U \sim \int_0^\infty d\omega \int_V d^3\mathbf{x} \langle \mathbf{E}(\mathbf{x})^2 \rangle_\omega + \mathbf{H}^2 \text{ terms}$$

electric response due to dipole current

$$\begin{aligned} \mathbf{E}_{\text{tot}}(\mathbf{x}) &= \mathbf{E}_{\text{inc}}(\mathbf{x}) + \mathbf{E}_{\text{scat}}(\mathbf{x}) \\ &\sim i\omega G(\omega; \mathbf{x}, \mathbf{x}') \end{aligned}$$

classical “photon” Green's function

$$[\nabla \times \nabla \times - \omega^2 \varepsilon(\mathbf{x}, \omega)] G_{ij}(\omega, \mathbf{x}, \mathbf{x}') = \delta(\mathbf{x} - \mathbf{x}') \hat{e}_j$$

Fluctuation–Dissipation Theorem

[e.g. Lifshitz, Pitaevskii & Dzyaloshinskii, ~1960]

current–current correlation

~ Planck distribution

$$\Theta(\omega, T) = \coth(\hbar\omega/kT)$$

$$\langle E_i(\mathbf{x}) E_j(\mathbf{x}') \rangle_\omega = \frac{1}{2} \text{Re} \langle E_i(\mathbf{x}) J_j(\mathbf{x}') \rangle_\omega$$

$$= \text{Re}[-i\omega G_{ij}(\omega; \mathbf{x}, \mathbf{x}')] \cdot \langle J_i(\mathbf{x}) J_j(\mathbf{x}') \rangle$$

$$= \hbar\omega^2 \text{Im} G_{ij}(\omega; \mathbf{x}, \mathbf{x}') \text{ as } T \rightarrow 0^+$$

Computing Green's Functions

solve Maxwell's equations in a localized basis:

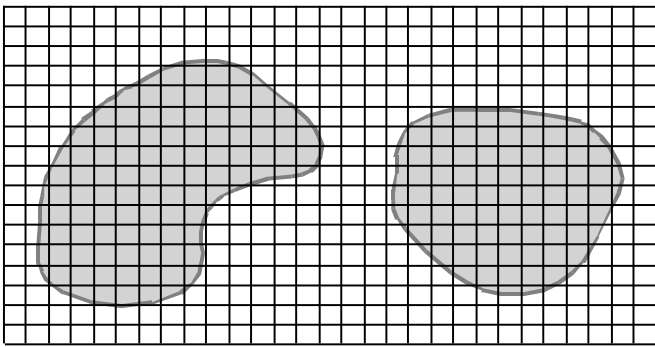
...a standard problem in classical electromagnetism

$$\frac{\partial \mu \mathbf{H}}{\partial t} = -\nabla \times \mathbf{E}$$

$$\frac{\partial \epsilon \mathbf{E}}{\partial t} = \nabla \times \mathbf{H} - \mathbf{J}$$

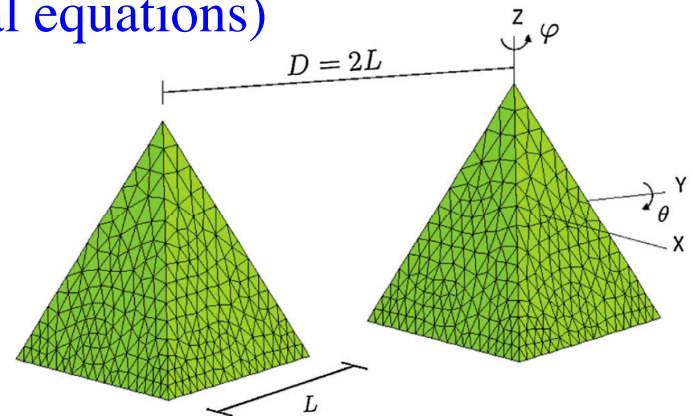
- choice of basis functions depends on problem
- ultimately, solving linear equation $\mathbf{A}\mathbf{x} = \mathbf{b}$

finite differences



[A. Rodriguez, S. G. Johnson (2007)]

boundary element methods
(integral equations)



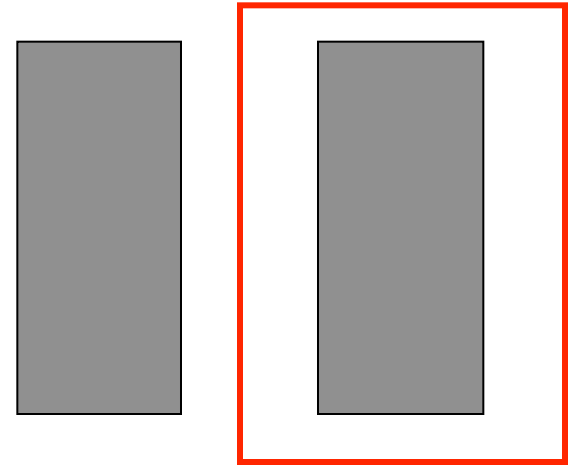
[H. Reid, Jacob White, S. G. Johnson (2009)]

Stress Tensors from Green's Functions

[Pitaevskii & Dzyaloshinskii, ~1960]

$$F = \int_0^\infty d\omega \oint_S \langle \vec{T} \rangle \cdot d\vec{A}$$

stress tensor $\sim \langle \mathbf{E}^2 \rangle + \langle \mathbf{H}^2 \rangle$ terms



surface S

fluctuation-dissipation theorem

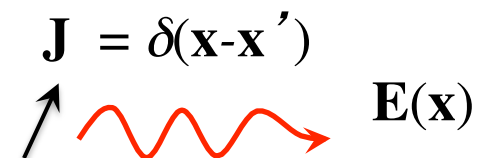
$$\langle E_i(\mathbf{x}) E_j(\mathbf{x}') \rangle_\omega = \hbar \omega^2 \text{Im} G_{ij}(\omega, \mathbf{x}, \mathbf{x}') \times \coth(\hbar \omega / 2kT)$$

for $T > 0$
(similar for H correlations)

classical “photon” Green's function

$$[\nabla \times \nabla \times - \omega^2 \varepsilon(\mathbf{x}, \omega)] G_{ij}(\omega, \mathbf{x}, \mathbf{x}') = \delta(\mathbf{x} - \mathbf{x}') \hat{e}_j$$

electric response
to current source

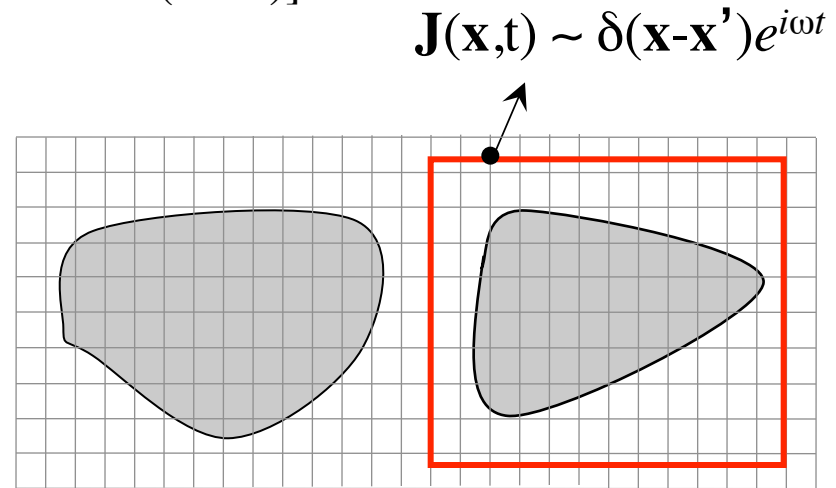


The Finite Difference Method

[Rodriguez *et al* *PRA* 76, 032106 (2007)]

$$F = \int_0^{\infty} d\omega \oint_S \langle \vec{T} \rangle \cdot d\vec{A}$$

stress tensor $\sim \langle \mathbf{E}^2 \rangle + \langle \mathbf{H}^2 \rangle$ terms



surface surrounding body S

simplest approach

- for every frequency ω :
- for every point \mathbf{x} on the surface S :
- compute classical Green's function

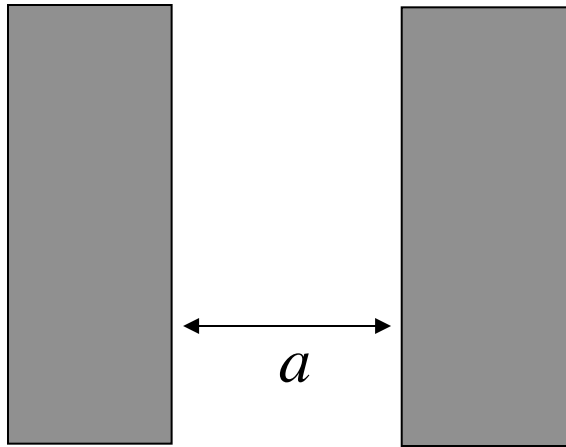
$$[\nabla \times \nabla \times -\omega^2 \epsilon(\mathbf{x}, \omega)] G_{ij}(\omega, \mathbf{x} - \mathbf{x}') = \delta(\mathbf{x} - \mathbf{x}') \hat{e}_j$$

= Green's function
or \mathbf{E} at \mathbf{x} from current at \mathbf{x}
= solving linear system

$$A\mathbf{y} = \mathbf{b}$$

$$A^{-1} = \frac{1}{\nabla \times \nabla \times -\omega^2 \epsilon(\mathbf{x}, \omega)}$$

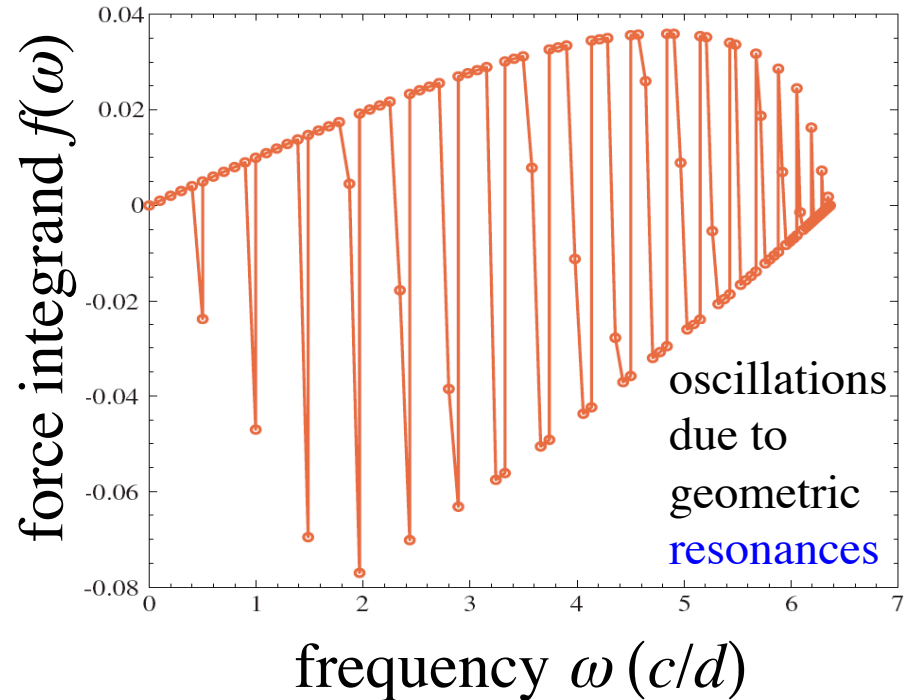
Problems with real frequencies



$$F = \int_0^{\infty} d\omega f(\omega)$$

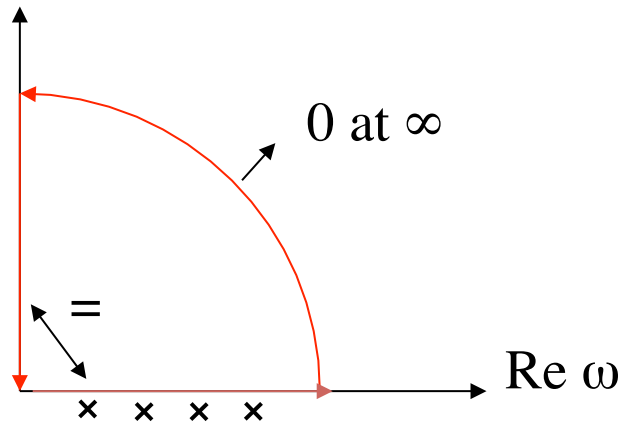
integrand $f(\omega)$ is ill-behaved

- **wildly oscillating**
- **broad bandwidth** contributions up to Nyquist frequency



Complex frequency: Wick rotation

$\text{Im } \omega = i\xi$



vacuum Green's function:

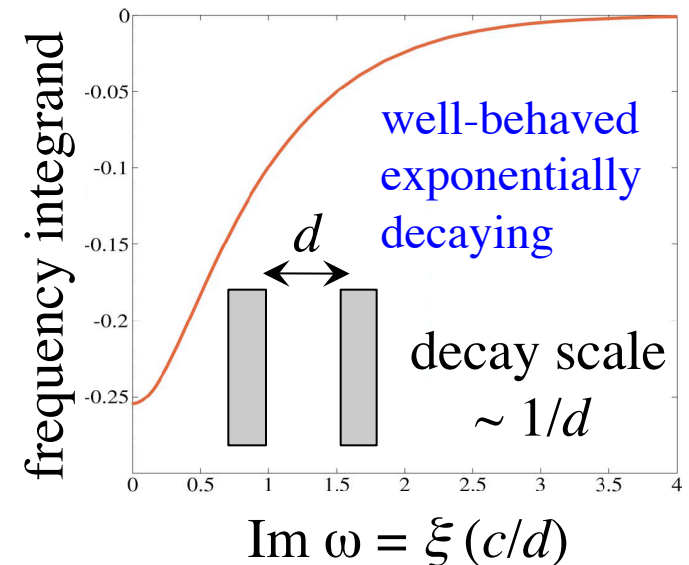
$$G_{\omega} \sim \frac{e^{i\omega r/c}}{r} \rightarrow G_{i\xi} \sim \frac{e^{-\xi r/c}}{r}$$

exponentially decaying
non-oscillatory
no resonance/interference

causality \Rightarrow poles only in lower-half plane

Wick rotation (contour integration):
real ω to imaginary $\omega \rightarrow i\xi$
— move contour away from poles
(same integration result)

[standard analytical technique,
critical for numerics]



Wick Rotations

standard and especially nice numerical problem:

— inverting real-symmetric positive-definite (conjugate-gradient)

[Rodriguez *et. al.* *PRA* **76**, 032106 (2007)]

$$\frac{1}{\nabla \times \nabla \times -\omega^2 \epsilon(\mathbf{x}, \omega)} \quad \omega \rightarrow i\xi \quad \frac{1}{\nabla \times \nabla \times + \xi^2 \epsilon(\mathbf{x}, i\xi)}$$

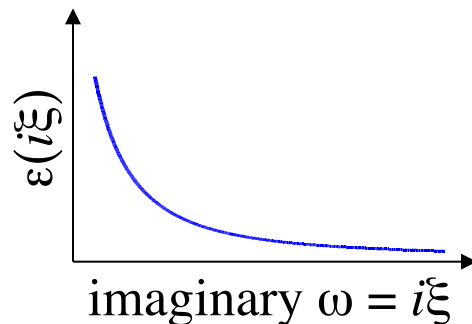
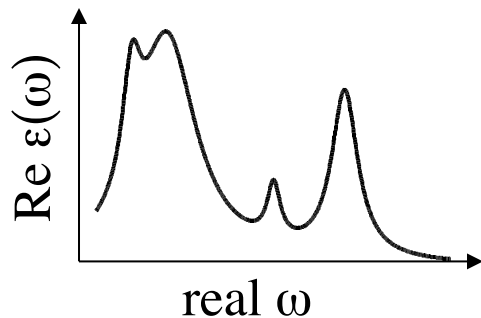
$\epsilon(\mathbf{x}, i\xi)$ is purely real

unusual in classical nanophotonics:

— cannot rely on geometric/material resonances

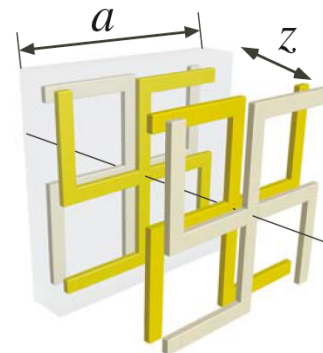
[Rodriguez *et. al.* *PNAS* **106** 6883 (2010)]

material resonances



geometric resonances

(*e.g.* chiral metamaterials)



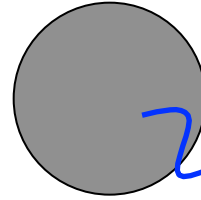
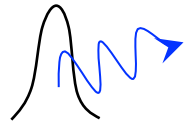
— achieving negative ϵ , μ
over narrow bandwidth
 \Rightarrow little change in force

[McCauley *et. al.*
PRB **82**, 165108 (2010)]

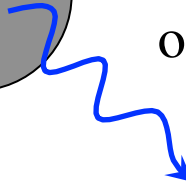
Computing Forces in the Time Domain

want response
integrated over
broad range of
frequencies

pulse
 $\delta(t)$ at \mathbf{x}'



frequency response =
Fourier transform
of scattered field $\mathbf{E}(\mathbf{x}, \omega)$



FDTD solvers widespread (off the shelf), efficient and versatile
*e.g. anisotropic dielectrics, many types of boundary
conditions, parallelizable*

need to perform Wick rotation...in time!

Wick-rotated Green's function $\mathbf{G}(i\xi)$:

field $\mathbf{E}(\mathbf{x})$ in response to *exponentially growing* current in time $\mathbf{J}(\mathbf{x}, i\xi) \sim \delta(\mathbf{x}-\mathbf{x}')e^{\xi t}$

Complex Frequencies in Real Time?

Green's function inverts: $\nabla \times \nabla \times - \omega^2 \varepsilon(\omega, \mathbf{x})$

ω and ε appear together!

complex contour deformation

\Rightarrow mapping from $\omega \rightarrow \xi f(\xi)$ is *equivalent* to
leaving ω unchanged and instead changing material:

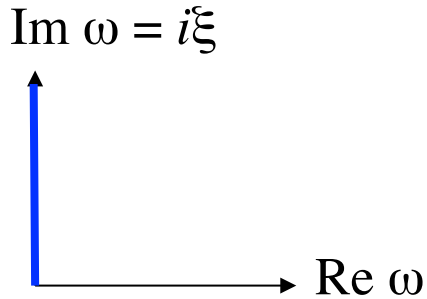
$$\omega \rightarrow \xi$$

$$\varepsilon(\omega, \mathbf{x}) \rightarrow f(\xi)^2 \varepsilon(\xi f(\xi), \mathbf{x})$$

can obtain all the advantages of complex-frequency but
for real frequency/time with transformed materials

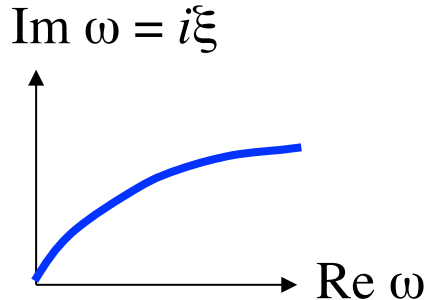
note: ξ promoted from contour parameter to real frequency

Wick Rotations in the Time Domain



Wick rotations $f(\xi) = i$ gain media
 $\omega \rightarrow i\xi$ \longleftrightarrow $\epsilon(\omega) \rightarrow -\epsilon(\xi)$
*exponentially growing solutions
 if negative at **all** frequencies*

different contour?



$$\omega \rightarrow \xi \sqrt{1 + \frac{i\sigma}{\xi}} \longleftrightarrow \epsilon \rightarrow \left(1 + \frac{i\sigma}{\xi}\right) \epsilon$$

*time domain: real-frequency response in **conductive medium***

$$\left. \begin{aligned} \frac{\partial \mu \mathbf{H}}{\partial t} &= -\nabla \times \mathbf{E} \\ \frac{\partial \epsilon \mathbf{E}}{\partial t} &= \nabla \times \mathbf{H} - \sigma \epsilon \mathbf{E} - \mathbf{J} \end{aligned} \right\}$$

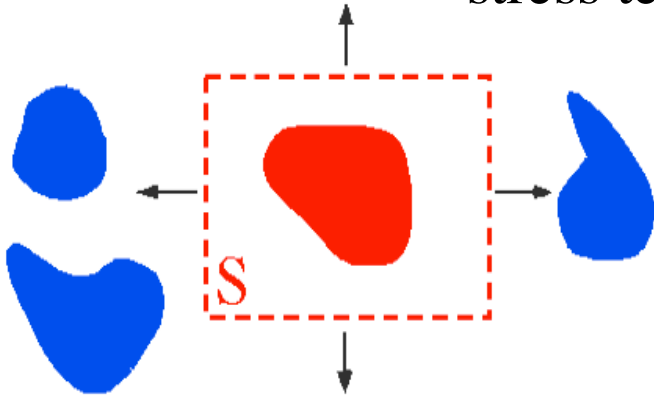
most off-the-shelf FDTD software
 already supports conductive media:

- frequency domain $\sim 10^5$ CPU hours
- time domain $\sim 100-10^3$ CPU hours

Stress tensor in Time-domain

$$\text{stress tensor}(x) \sim \int_0^{\infty} g(\omega)G(\omega, x)d\omega = \int_0^{\infty} \hat{g}(-t)\hat{G}(t, x)dt$$

(Fourier transforms)

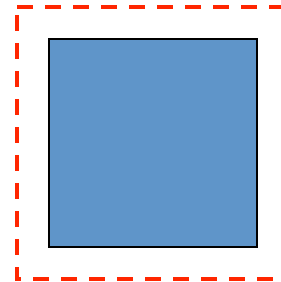
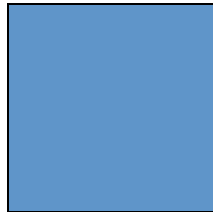


geometry-independent kernel

geometry-dependent Green's function: field at x from source at x

Finite-difference *time*-domain simulation for each point on S to get the *entire spectrum's* contribution to stress tensor at that point

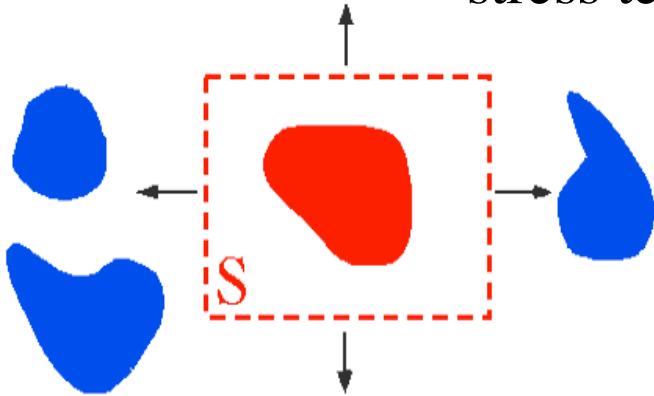
example structure:



Stress tensor in Time-domain

$$\text{stress tensor}(x) \sim \int_0^{\infty} g(\omega)G(\omega, x)d\omega = \int_0^{\infty} \hat{g}(-t)\hat{G}(t, x)dt$$

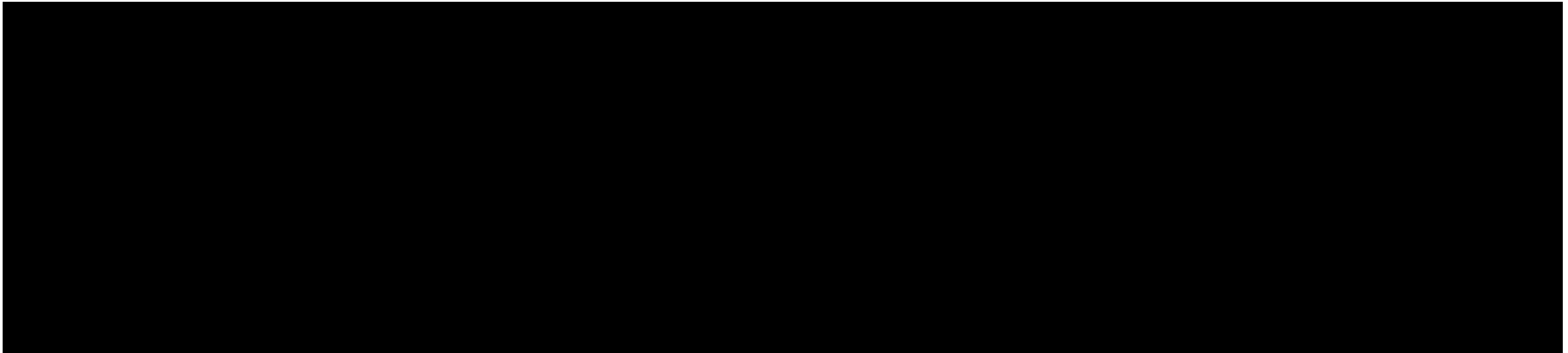
(Fourier transforms)



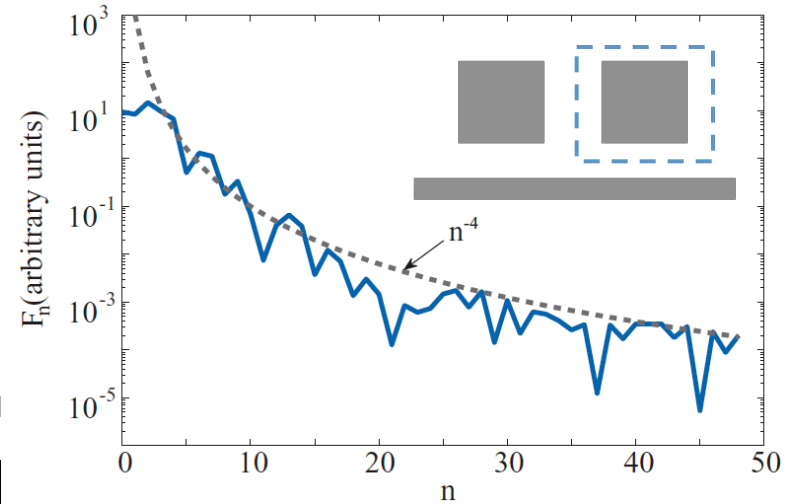
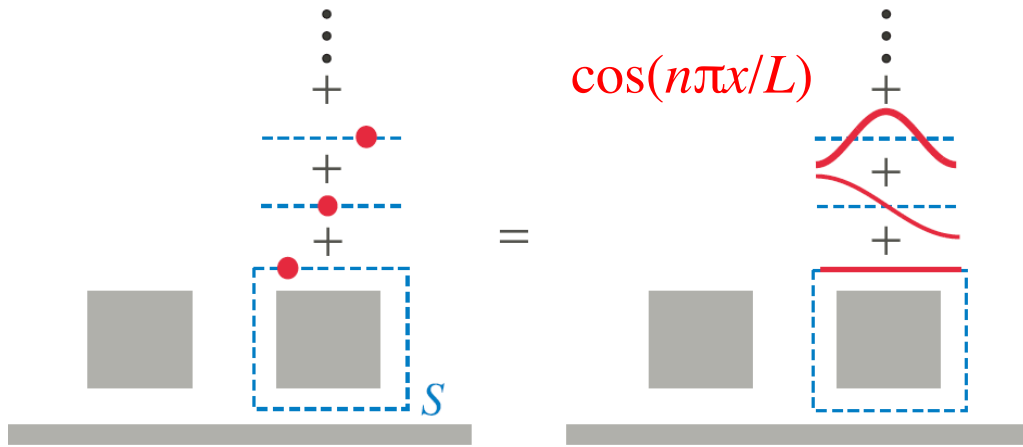
geometry-
independent
kernel

geometry-
dependent
Green's function:
field at x from source at x

Finite-difference *time*-domain simulation for each point on S
to get the *entire spectrum*'s contribution to stress tensor at that point

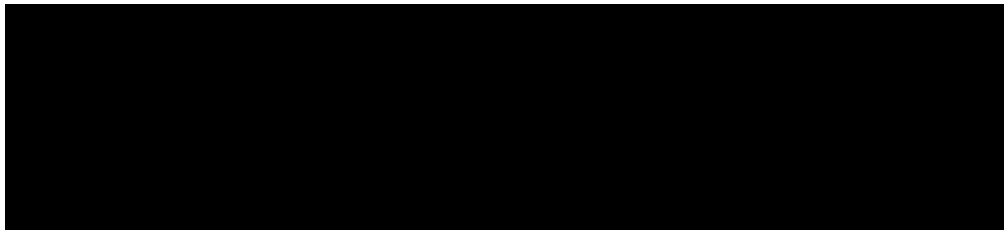


One more trick...replace point sources with Fourier surfaces

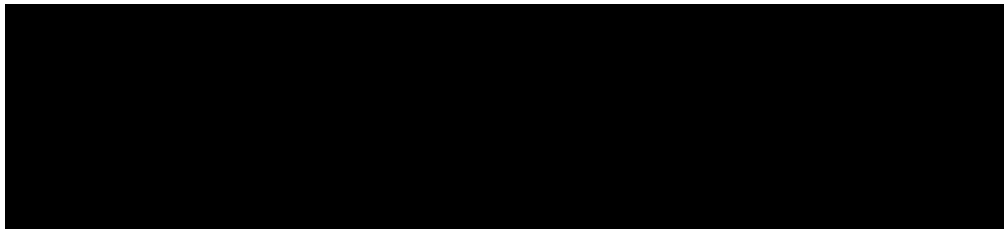


- rapid convergence $\sim 1/n^4$
- need ~ 10 sources

n=0



n=4



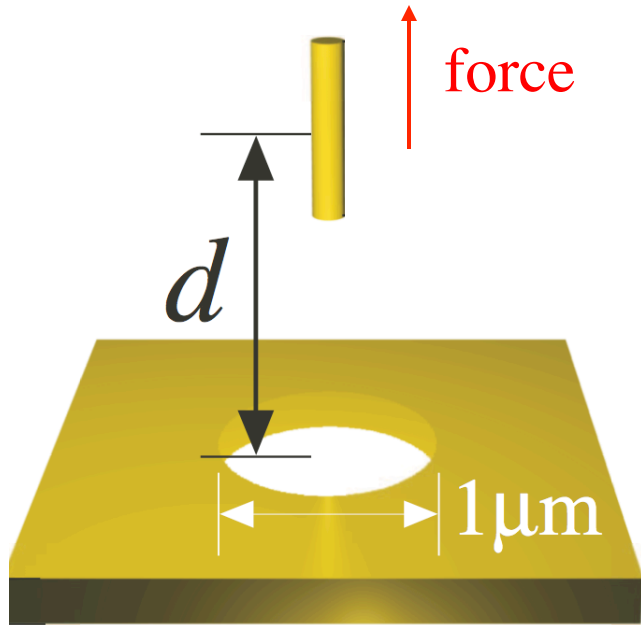
EM-fluctuation interactions are:

- Increasingly important in several contexts
 - as devices reach sub- μm scales
- Surprisingly hard to solve mathematically
 - even *two-cylinder* problems fully solved only recently
- Increasingly solvable by translation into classical wave problems.
 - many recent developments
- Effects of new geometries are **exciting and ~ unexplored.**

... almost any geometry you can
imagine is unstudied ...

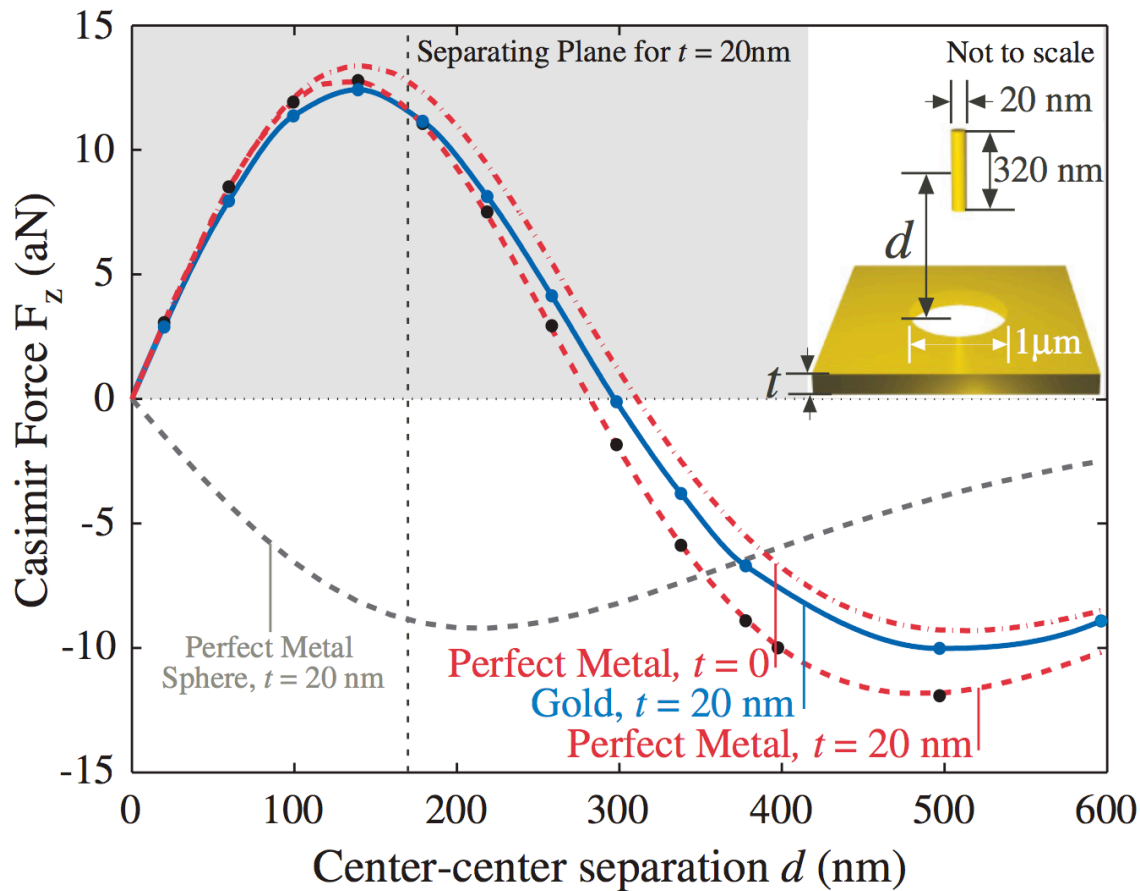
How much can we alter the effect?

A repulsive geometry in vacuum



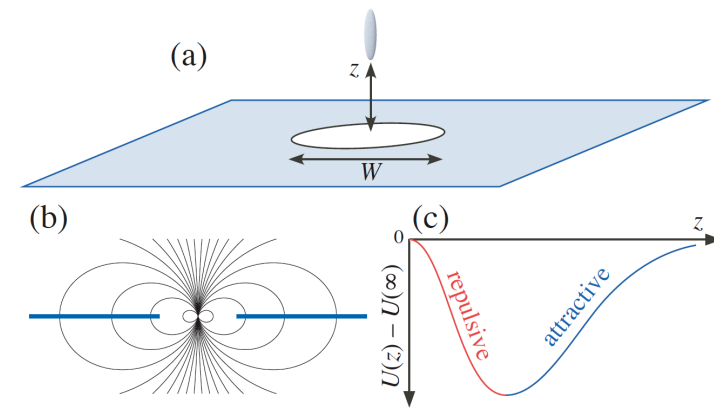
simple: an elongated “needle”
above a metal plate with a hole

A repulsive geometry in vacuum



needle is repelled,
sphere is attracted

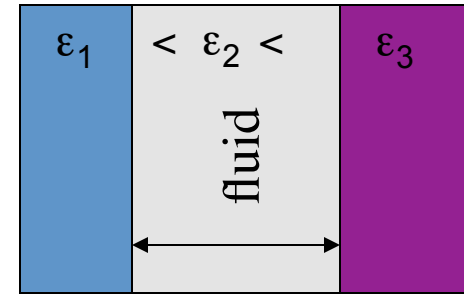
... why?



Repulsion and stability via materials?

Theorem 1: [Kenneth, *PRL* 2006]

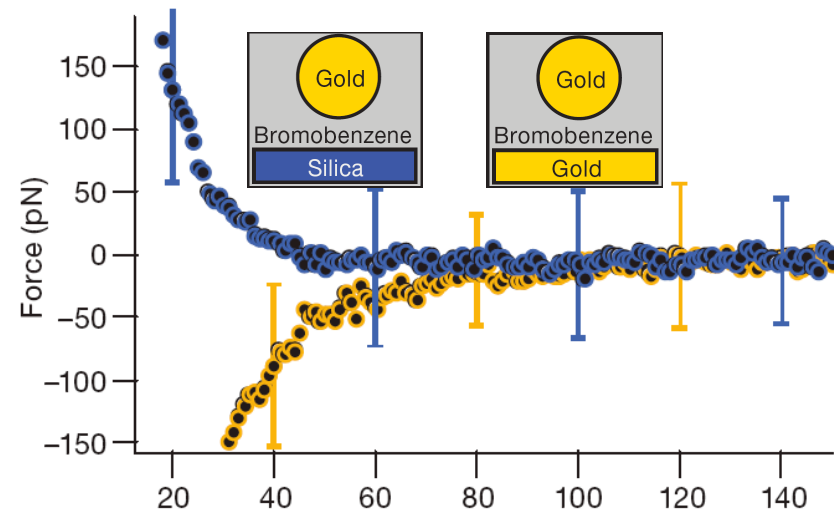
Repulsion impossible
for mirror-symmetric
geometries



[theory: Dzyaloshinskii, 1961]

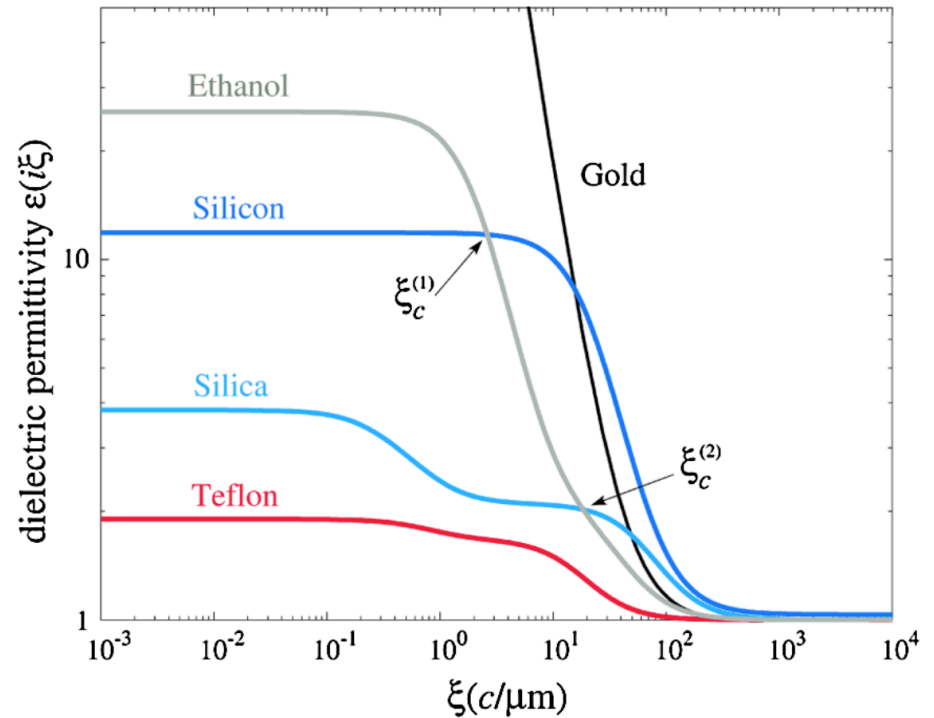
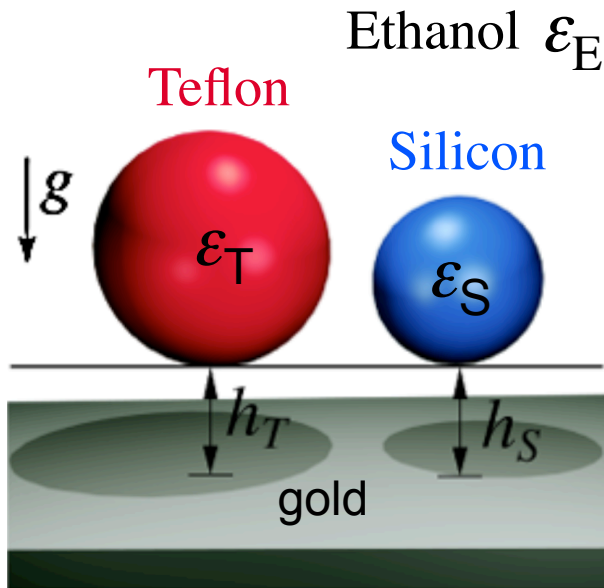
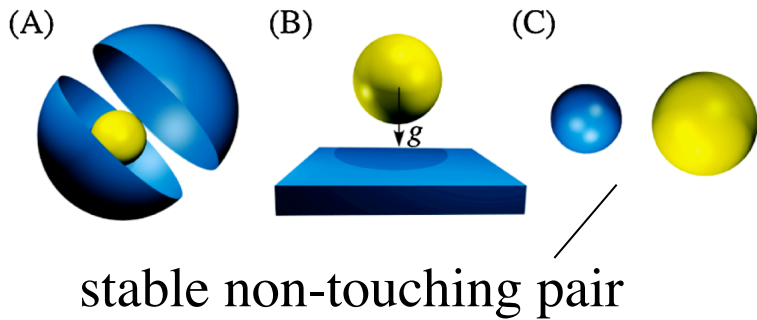
Theorem 2: [Rahi, *PRL* 2010

stable equilibrium impossib
for metals/dielectrics in vac



[experiment: Munday 2009]

Stability via Material Dispersion



← large separation →

→ small separation ←

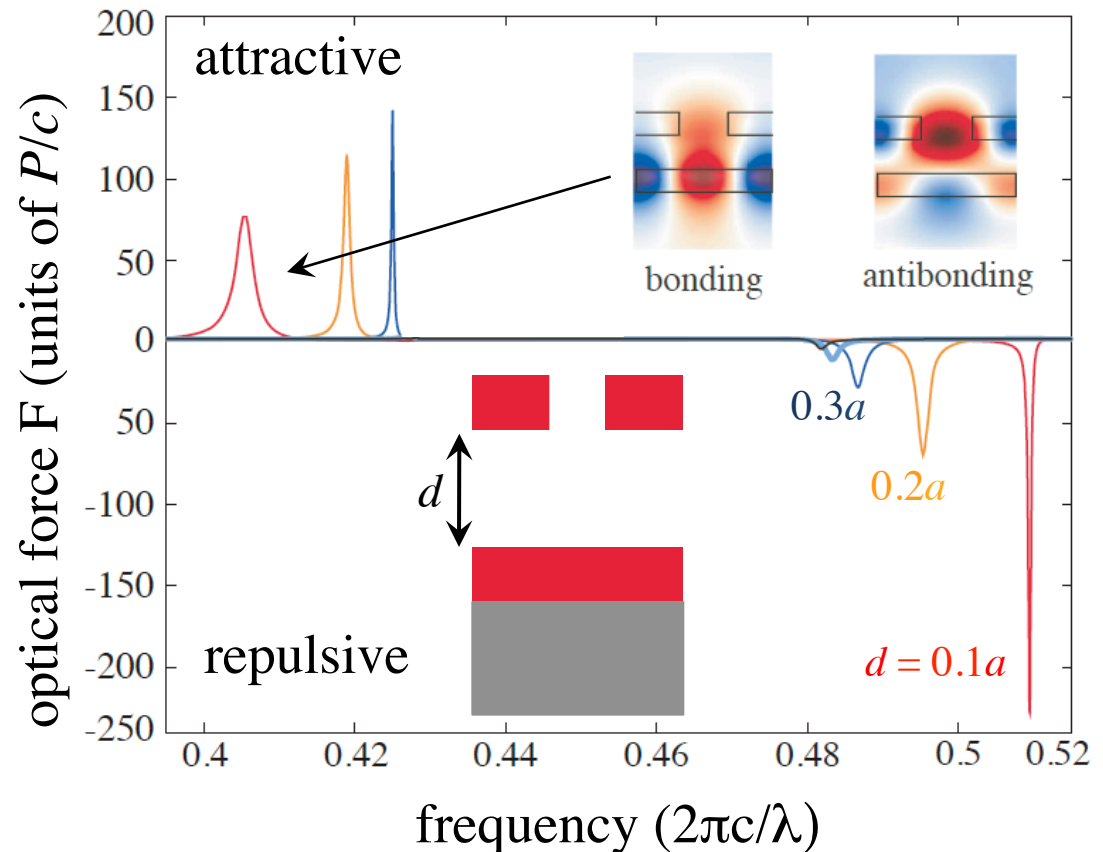
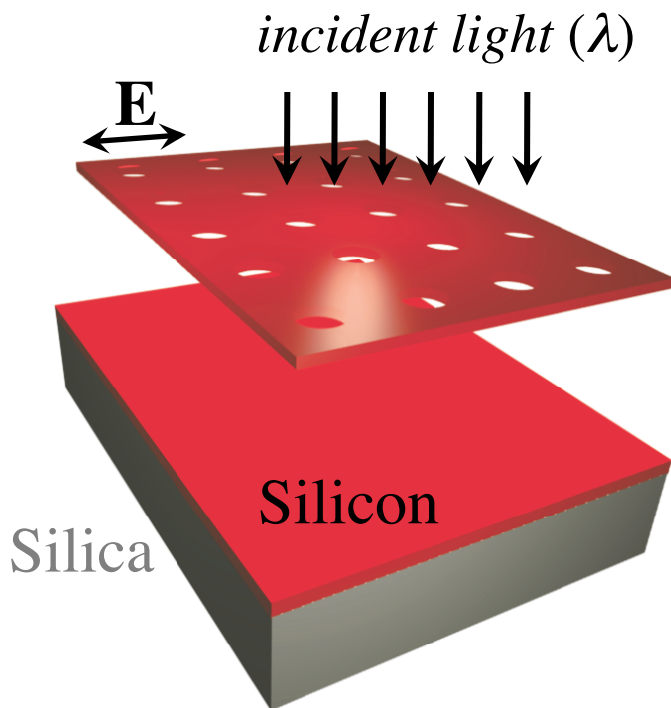
$\epsilon_E > \epsilon_S > \epsilon_T$
attraction

$\epsilon_S > \epsilon_E > \epsilon_T$
repulsion

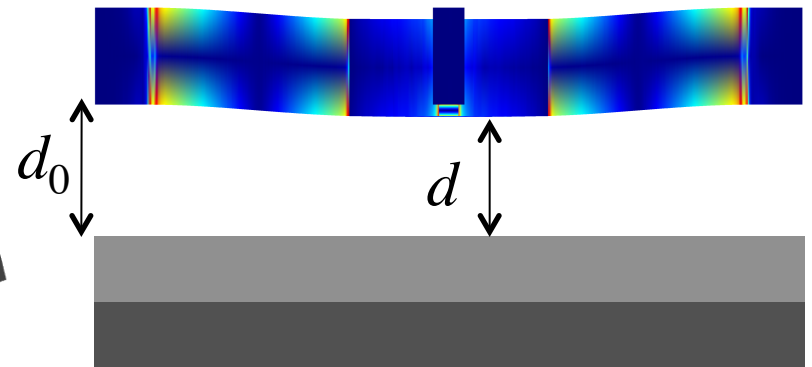
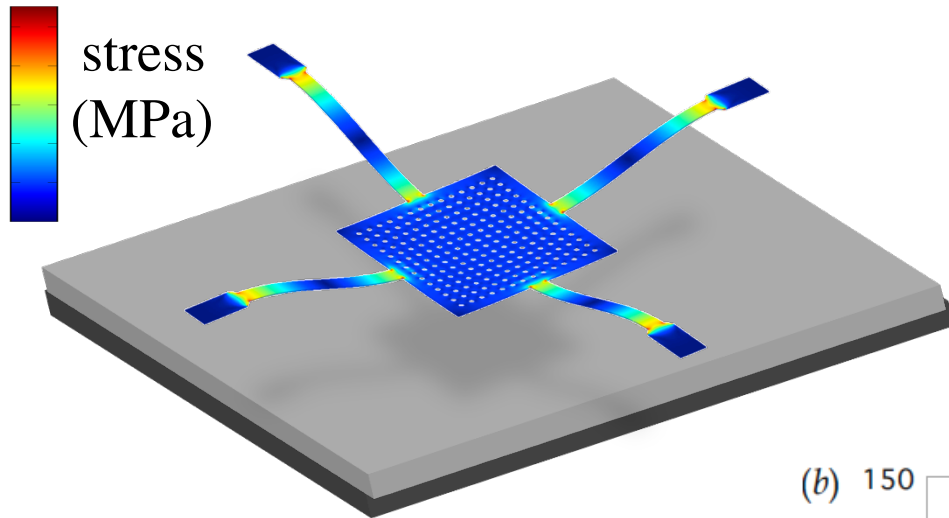
Casimir Optomechanics

[Rodriguez *et. al.* *OE* 19, 2215 (2010)]

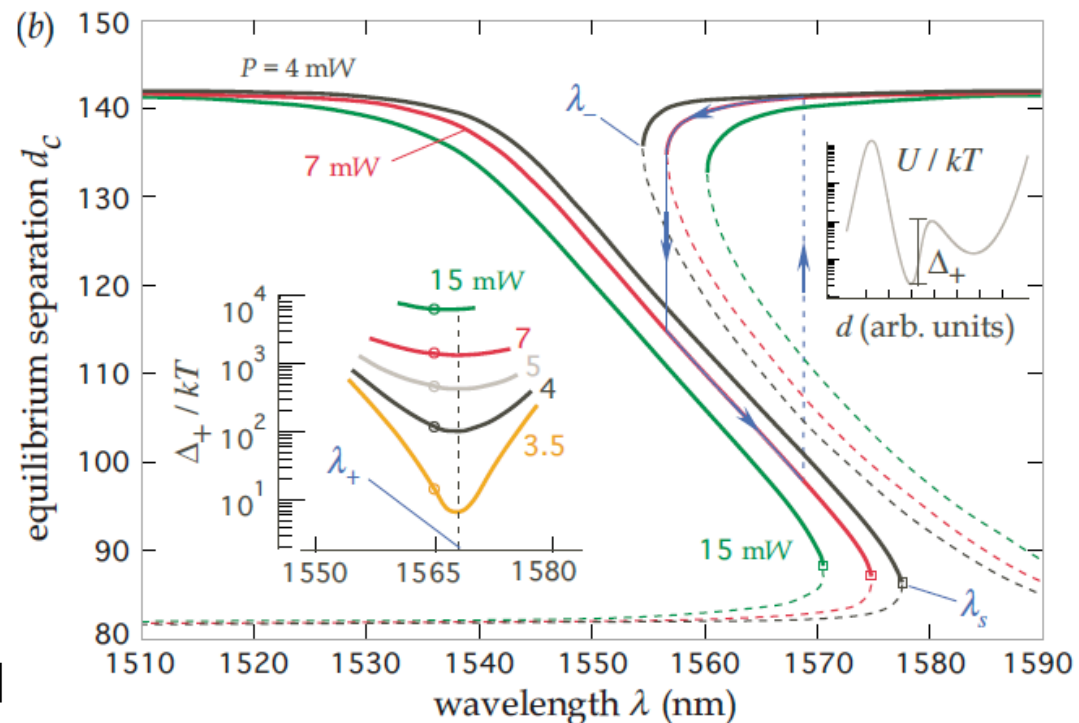
goal: **control and exploit** Casimir force in MEMS devices
using **evanescent optical forces**



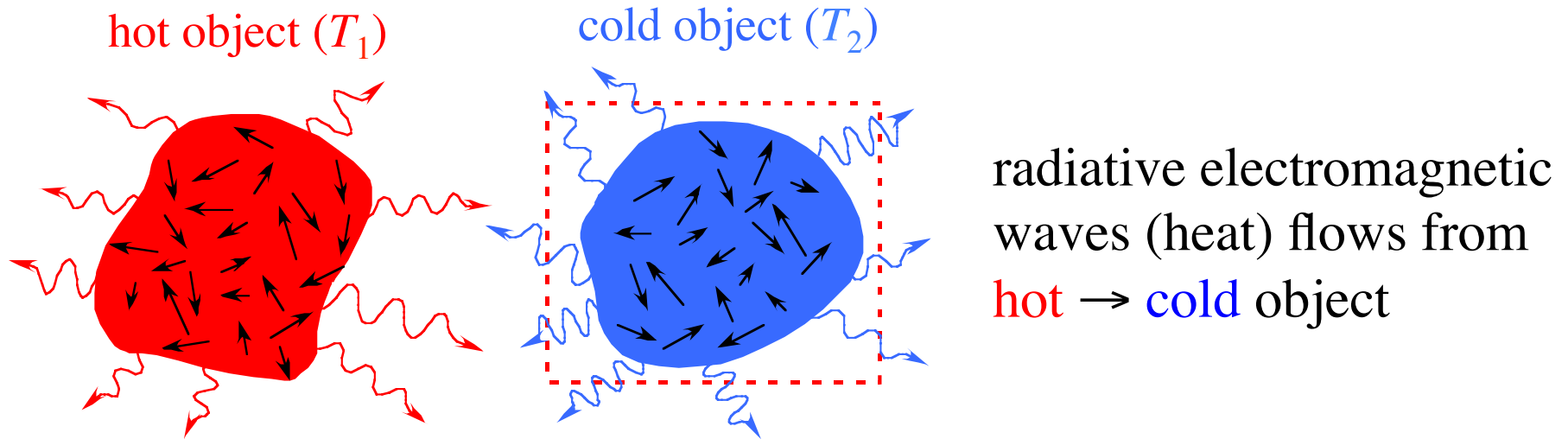
Probing the Casimir force



- tuning equilibrium separation via λ
- multistability behavior
- Casimir force induces unstable equilibrium



Non-equilibrium Heat Transfer

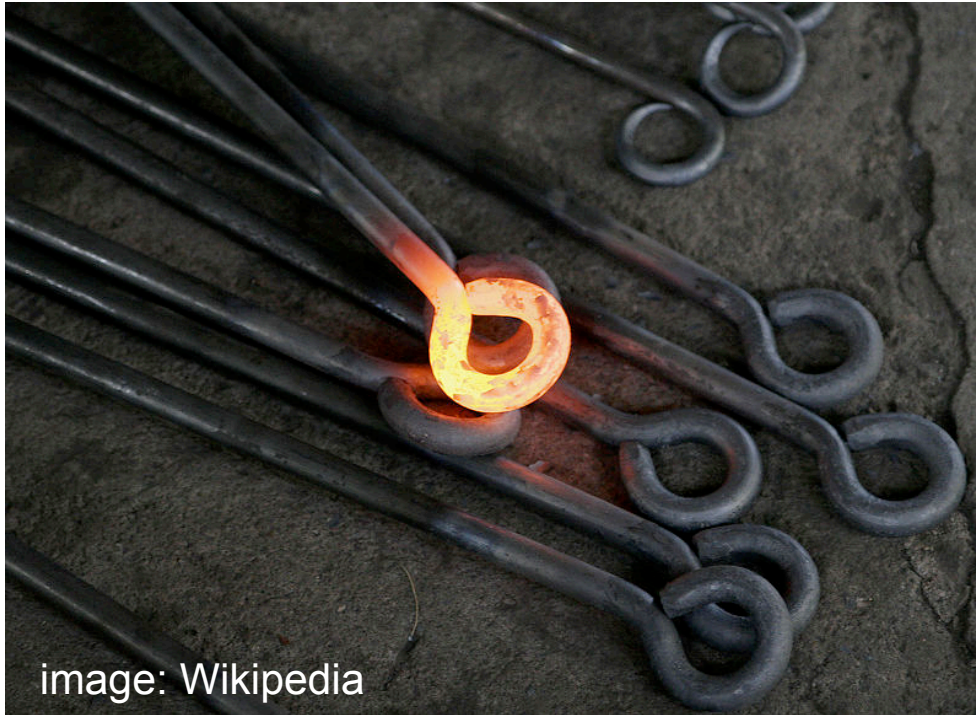


currently, little known about heat transfer beyond **sphere-plate** and **parallel-plate** geometries

as bodies are brought closer, evanescent modes couple...
 \Rightarrow **can exceed blackbody (far-field) heat transfer**

Non-equilibrium Heat Transfer

Far-field: **Black/grey body radiation**



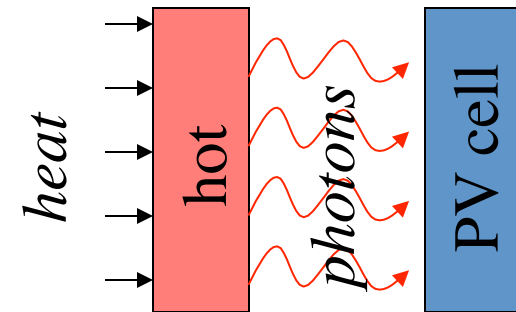
Easy to compute by Kirchhoff's law:
emissivity = absorptivity

< 1 ... limited by black body

... **greatly modified by λ -scale structure**

Near-field (evanescent)
thermal transport can
exceed black-body limit

e.g. for future
thermo-photovoltaic systems?



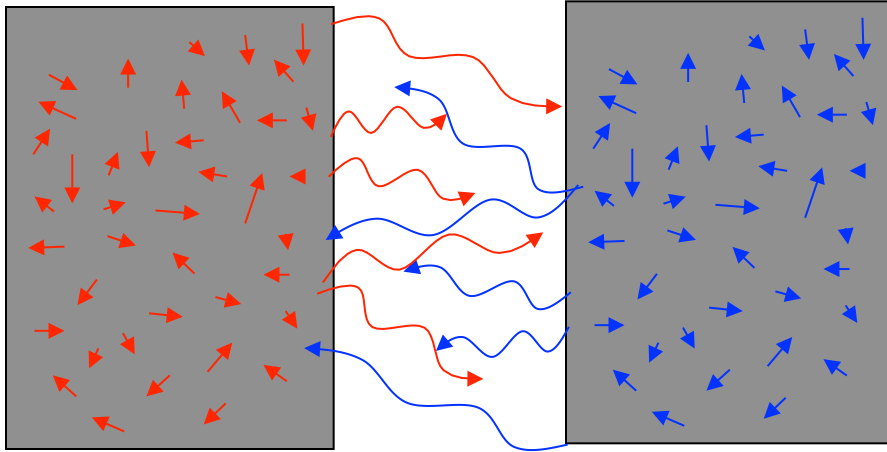
difficulty:

current near-field theory
only for planes/spheres

Stochastic Time Domain Method

hot object (T_1)

cold object (T_2)



Fluctuation-Dissipation Theorem

current-current correlation
at local temperature T

$$\langle J_i(\mathbf{x})J_j(\mathbf{x}') \rangle_\omega = \Theta(\omega, T)\delta(\mathbf{x} - \mathbf{x}')\delta_{ij}$$

Langevin method

Maxwells equations with auxiliary equation for the material response

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}$$

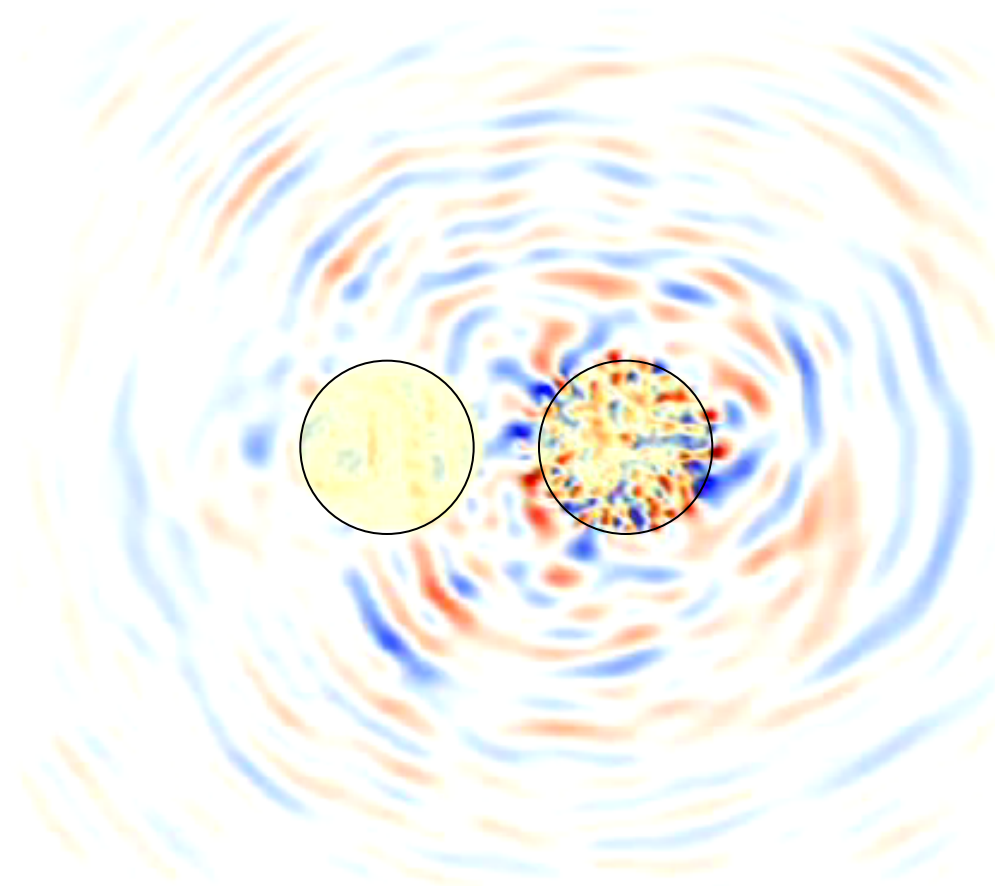
$$\mathbf{D} = \mathbf{E} + \mathbf{P} \quad (\text{polarization/material response})$$

$$\frac{\partial \mathbf{D}}{\partial t} = \nabla \times \mathbf{H} + \mathbf{J}$$

$$\frac{d^2 \mathbf{P}}{dt^2} + \gamma \frac{d\mathbf{P}}{dt} + \omega_0^2 \mathbf{P} = \sigma \mathbf{E} + \mathbf{J}$$

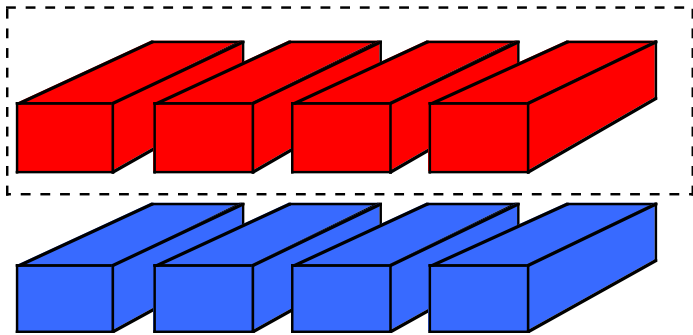
correlated random #s

FDTD example: two cylinders

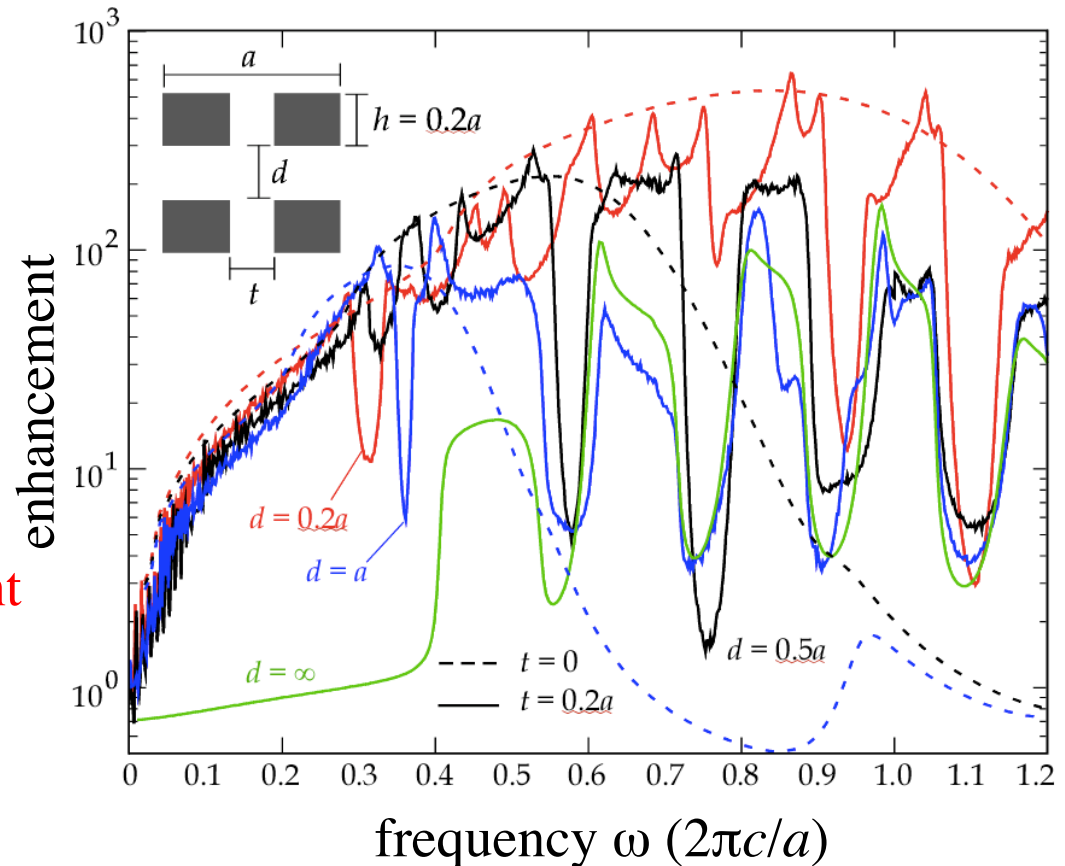


Frequency-Selective Enhancement

patterned PhC slabs

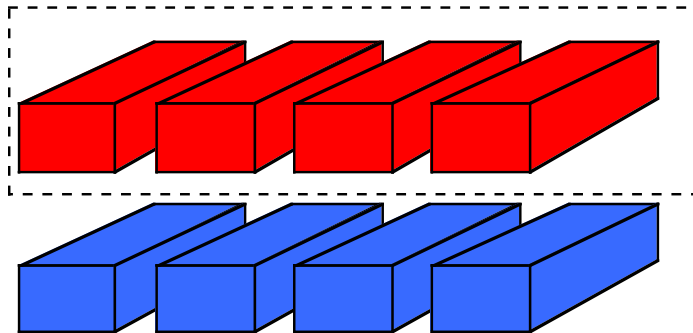


- dramatic near-field **enhancement** over un-patterned slabs at **selective frequencies**
- selectivity important for applications involving **thermophotovoltaic devices**

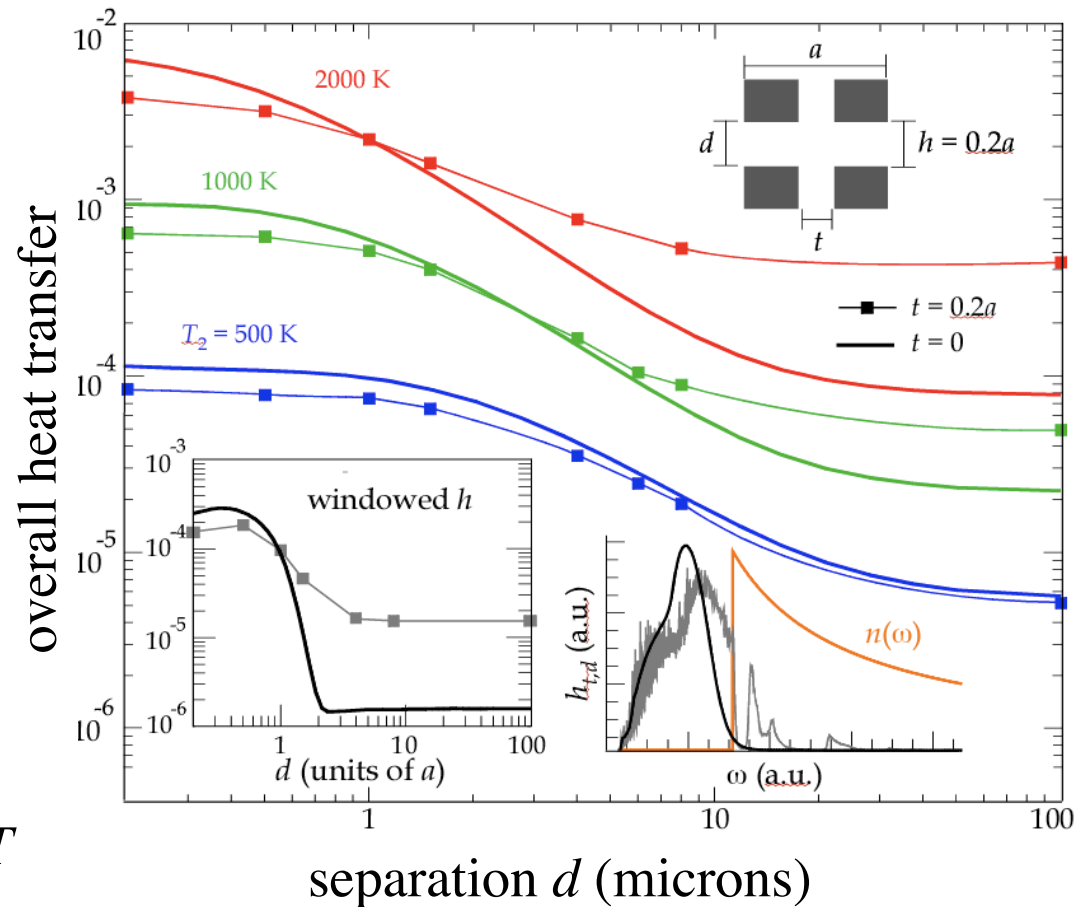


Overall heat transfer

patterned PhC slabs



- overall heat transfer better than un-patterned slabs over wide range of separations
- optimal separation depends on T



Fin

review article: **Rodriguez *et. al.*, “Casimir effect in microstructured geometries”, *Nature Photonics* 5, 211–221 (2011)**

- The Casimir field is **virgin territory** for mathematical modeling & computation
- **Similar opportunities** in **non-equilibrium transport**, **thin-film dewetting**, critical-Casimir effects, ...

Thanks!

