



Predicting the electronic and optical properties of nano-materials from first-principles

Jack Deslippe

UC Berkeley

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Outline

- **Motivation**
- GW-BSE method applied to nanosystems.
- Challenges and bottlenecks
- Getting Physics from computation.

Motivation

- Nanomaterials have unique and tunable electronic properties. Promising for future electronic/optical devices.
- Want to predict the electronic, quasiparticle and optical properties of nanomaterials without adjustable parameters

Nano Tunable Properties

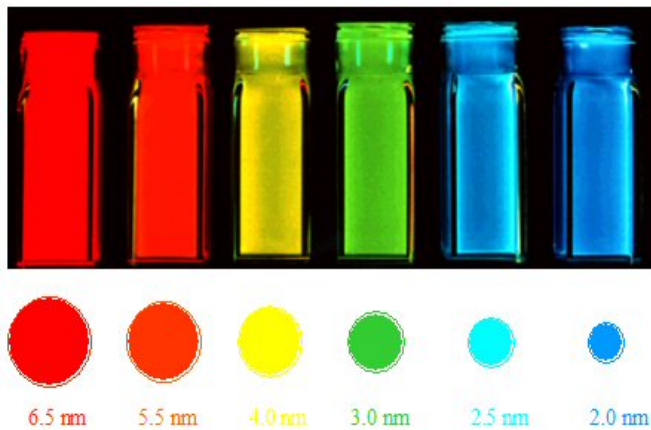
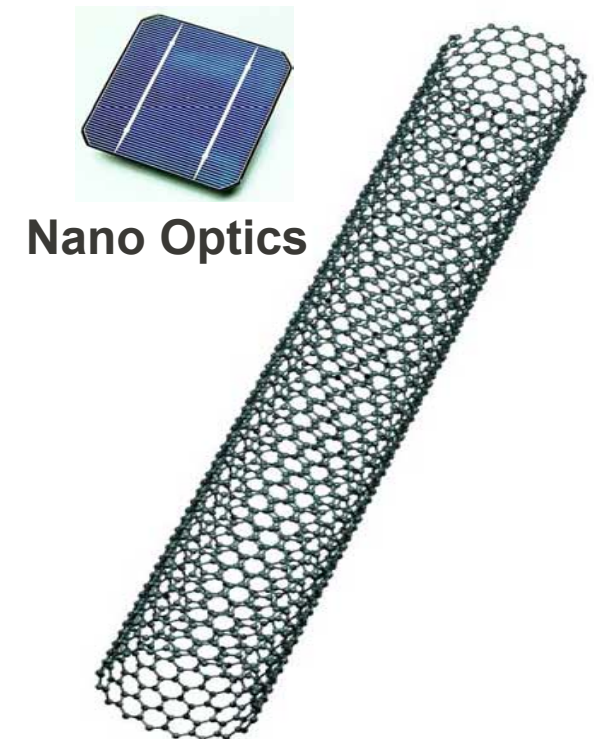
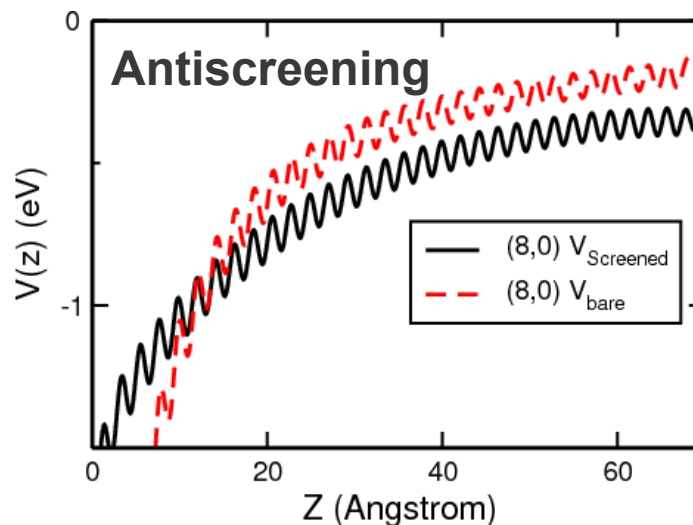
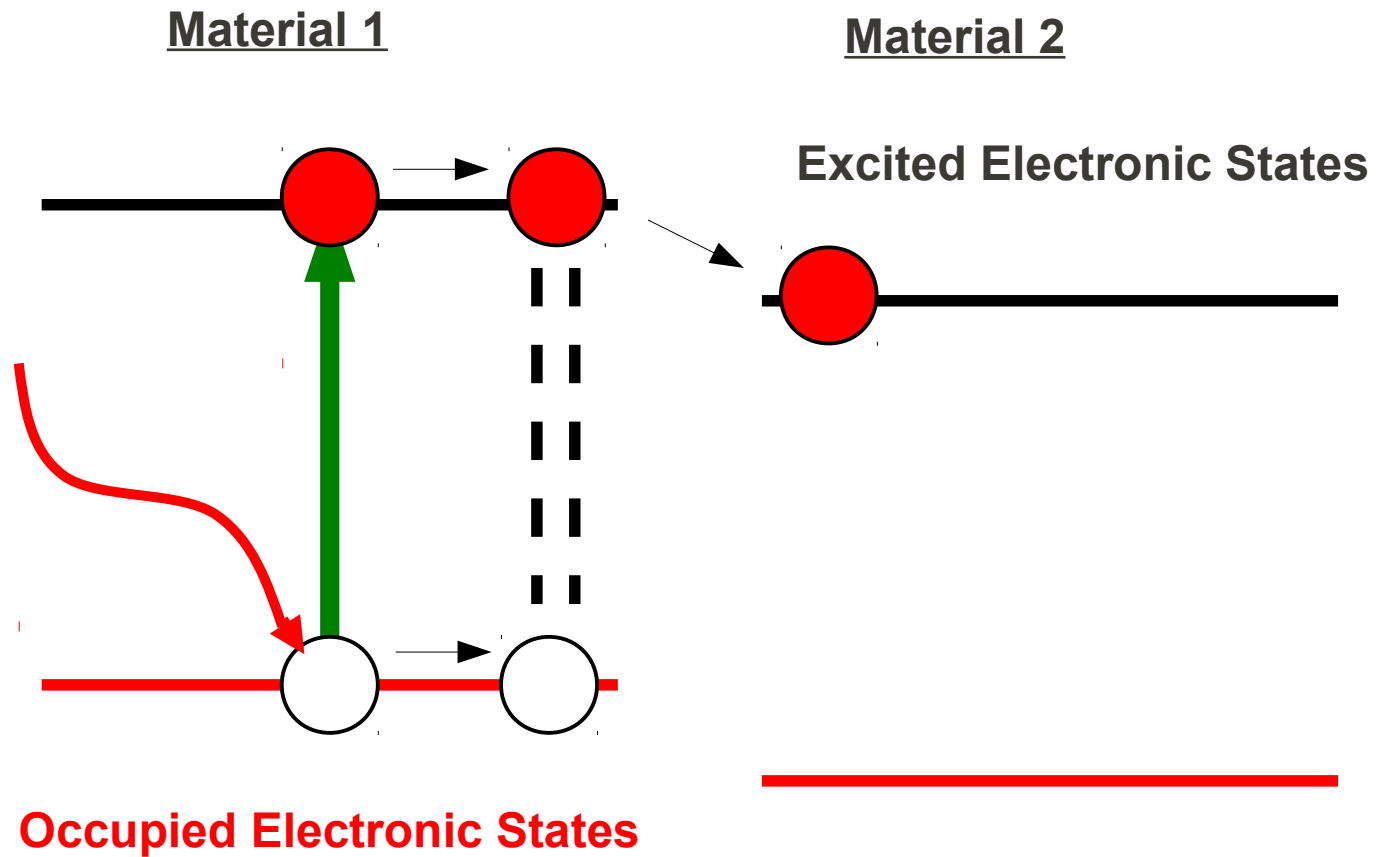


Photo from Vanderbilt Mat. Phys. Group

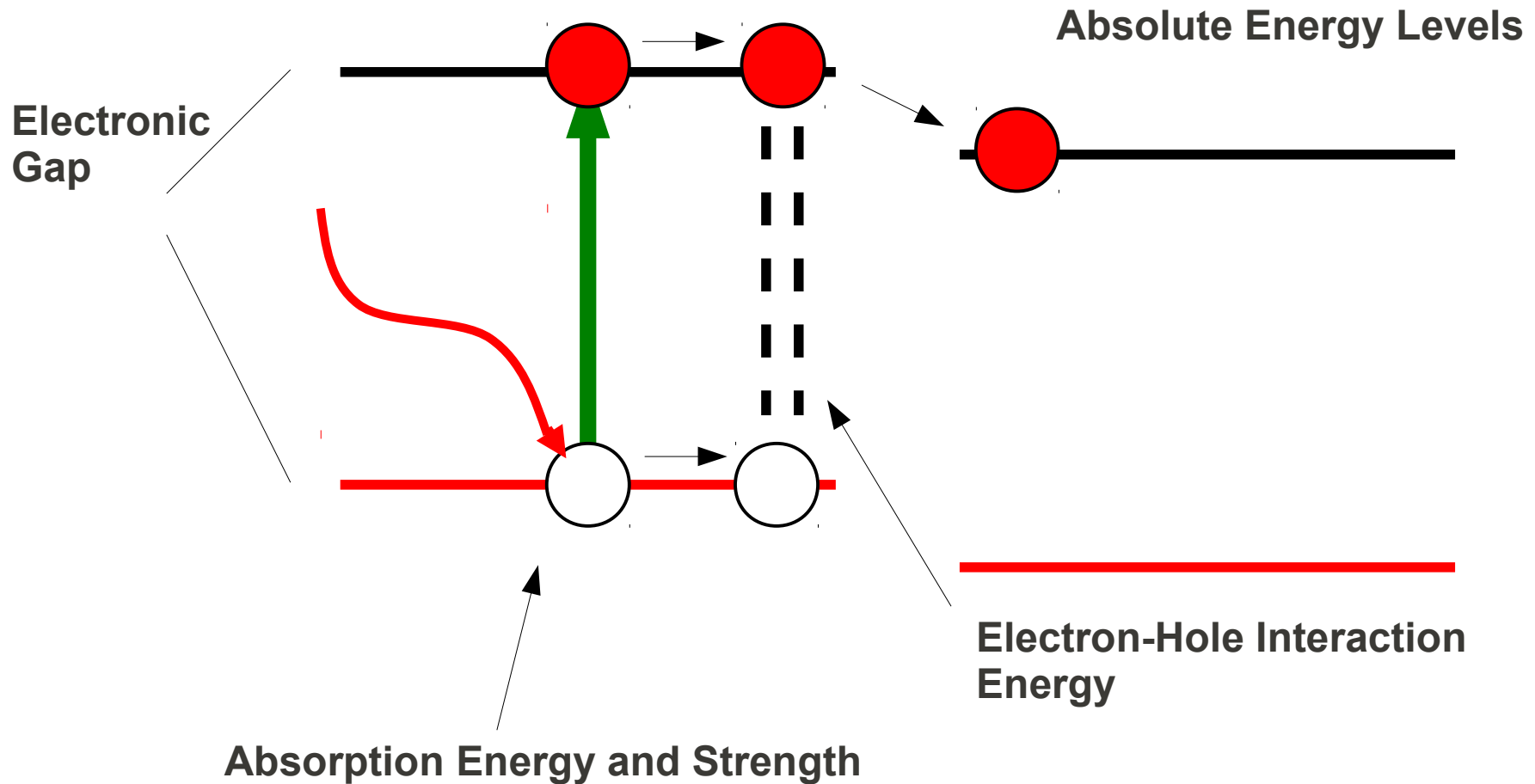
New Physics at Nanoscale:



Photovoltaics – Quantities to Predict



Photovoltaics – Quantities to Predict



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GW-BSE Method Overview

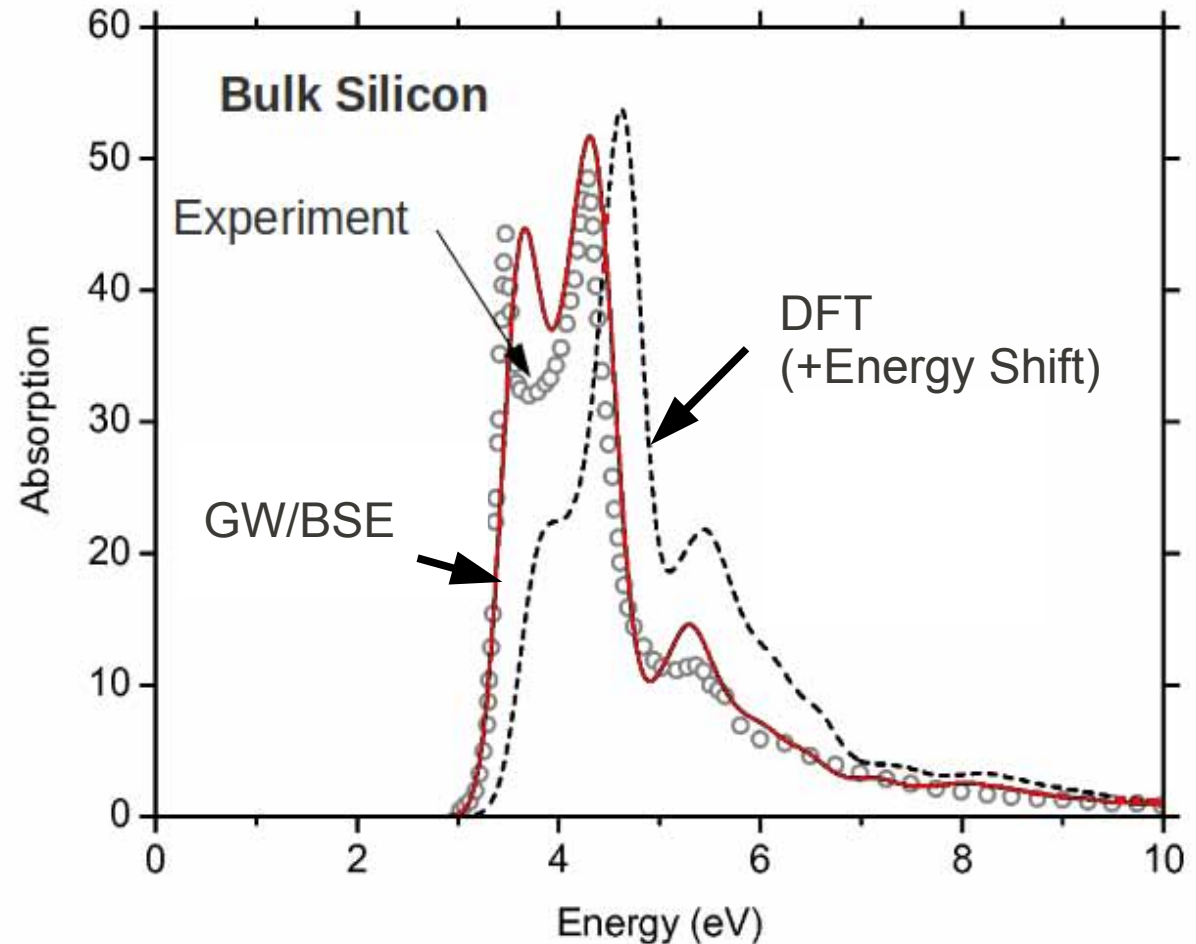
Density Functional Theory:
(Ground State Properties)



GW:
(Quasiparticle Properties)



Bethe-Salpeter Equation
Optical Properties



Expt. G.E. Jellison, M.F. Chisholm, S.M. Gorbatkin, Appl. Phys. Lett. 62, 3348 (1993).

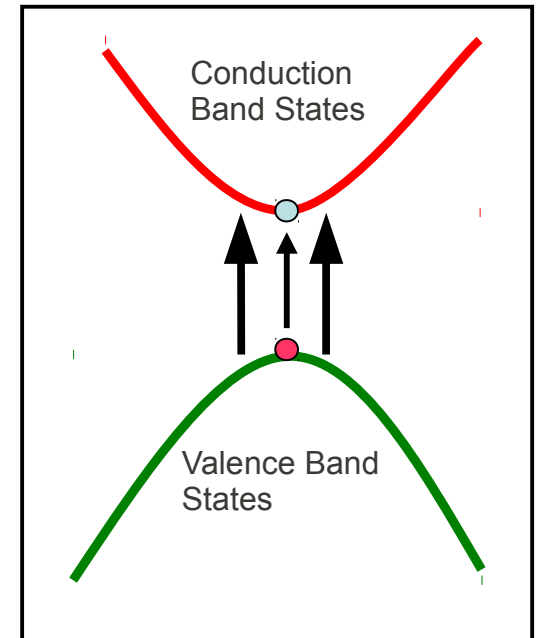
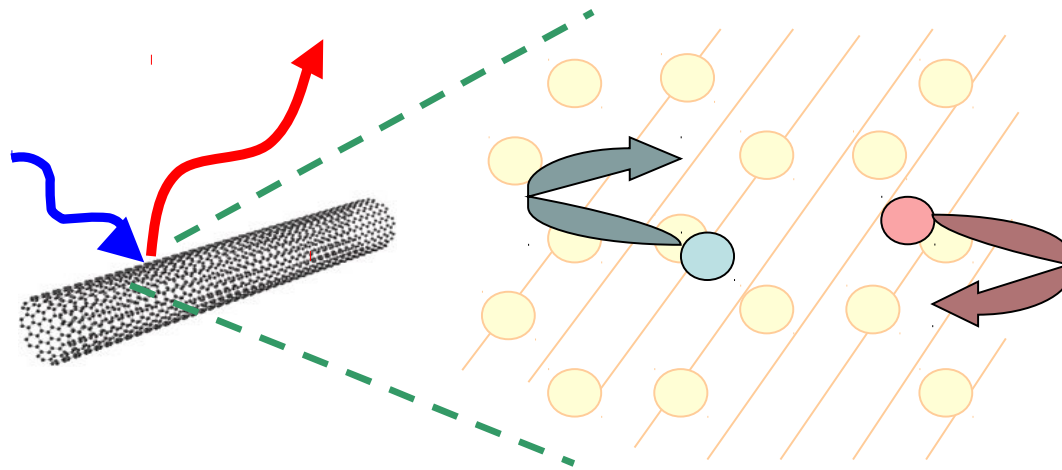
Excitons and the Bethe-Salpeter Equation

$$|N, S\rangle = \sum_v \sum_c^{hole\ elec} A_{vc}^S a_v^+ b_c^+ |N, 0\rangle + \dots$$

$$\left(E_{ck}^{QP} - E_{vk}^{QP}\right) A_{vck}^S + \sum_{k'v'c'} \langle vck | K^{eh} | v'c'k' \rangle A_{v'c'k'}^S = \Omega^S A_{vck}^S$$

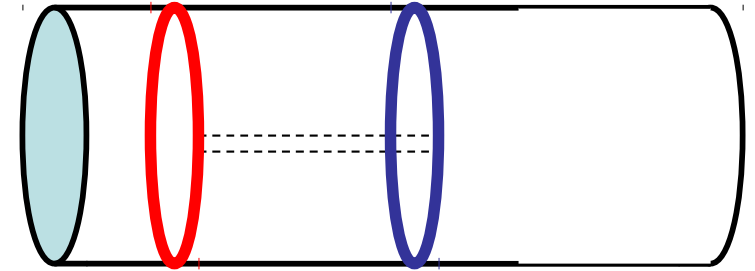
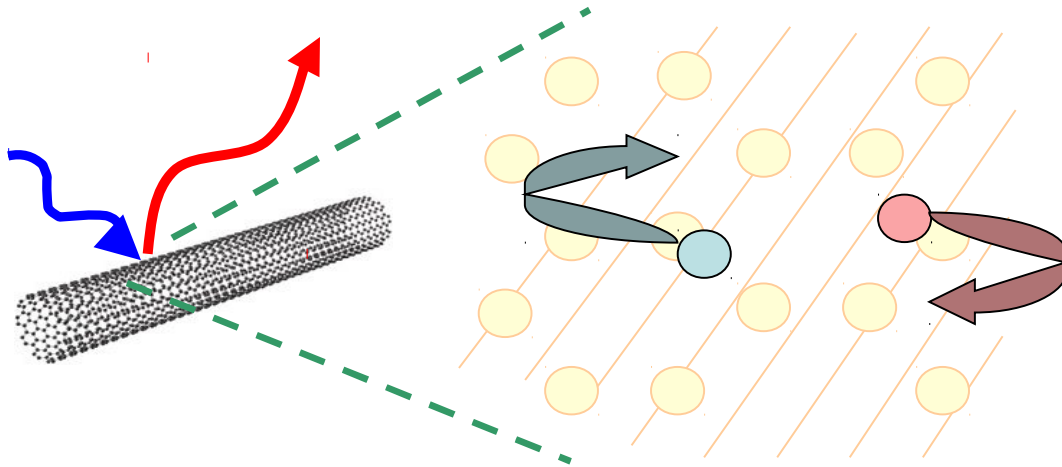


Hydrogenic like – electron-hole interaction Kernel



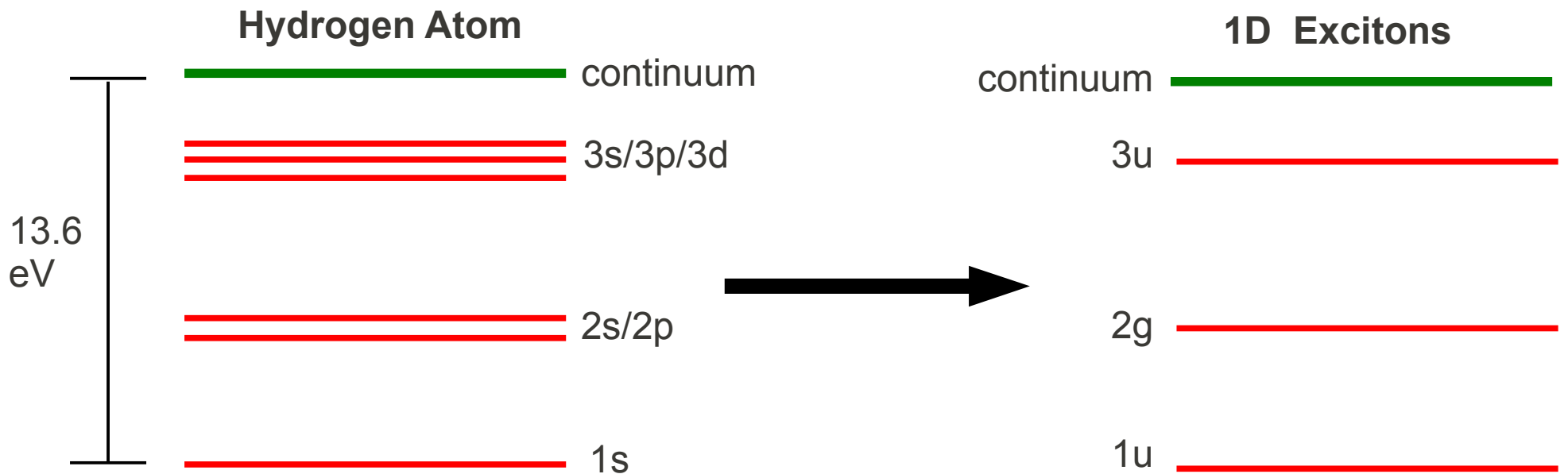
$$\varepsilon_2(\omega) = \frac{16\pi^2 e^2}{\omega^2} \sum_S |\langle N, 0 | e \cdot v | N, S \rangle|^2 \delta(\Omega_S - \hbar\omega)$$

Excitons



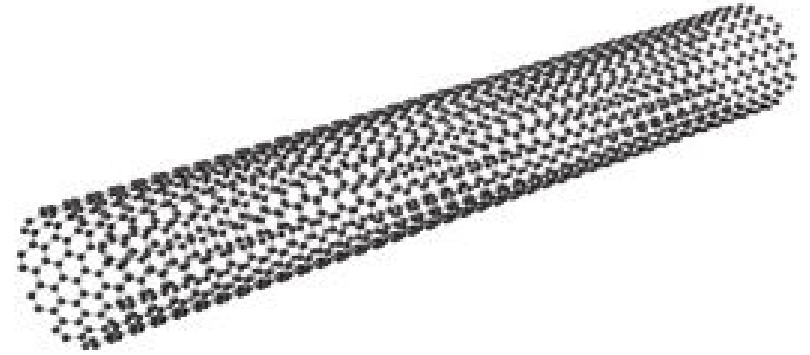
Interact via a Hydrogenic like Coulomb Interaction – but screened:

$$v(\mathbf{r}, \mathbf{r}') = \frac{\epsilon^{-1}(\mathbf{r}, \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

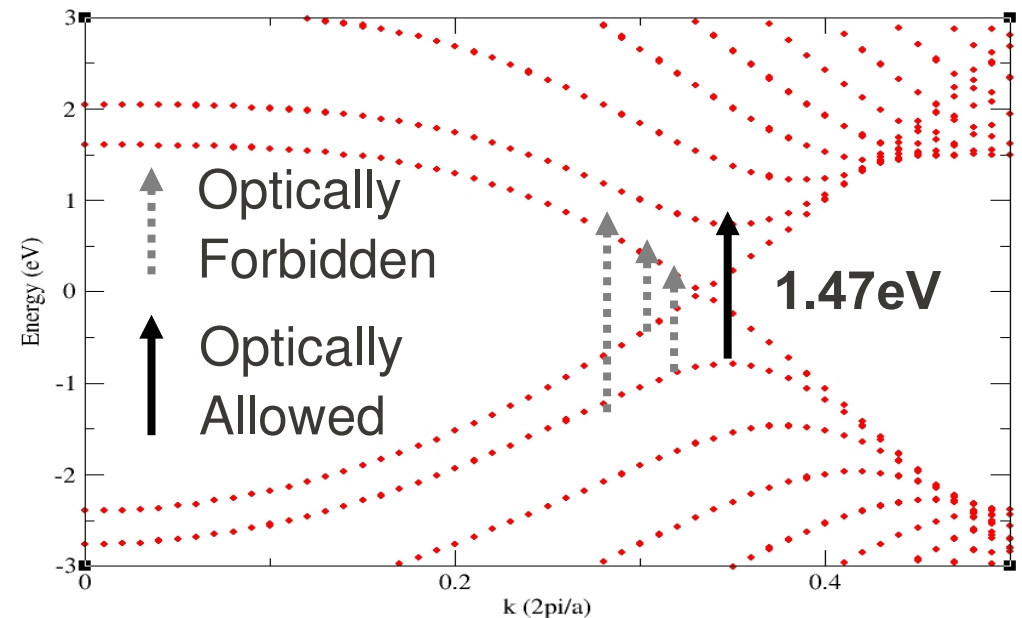


Metallic Nanotubes

- Single Walled Carbon Nanotubes are 1D materials made by rolling up a Graphene sheet.
- Electronic properties can be controlled by physical parameters. (Rolling angle & diameter).
- Axial rotation symmetry commutes with the k-point. Leads to selection rules.

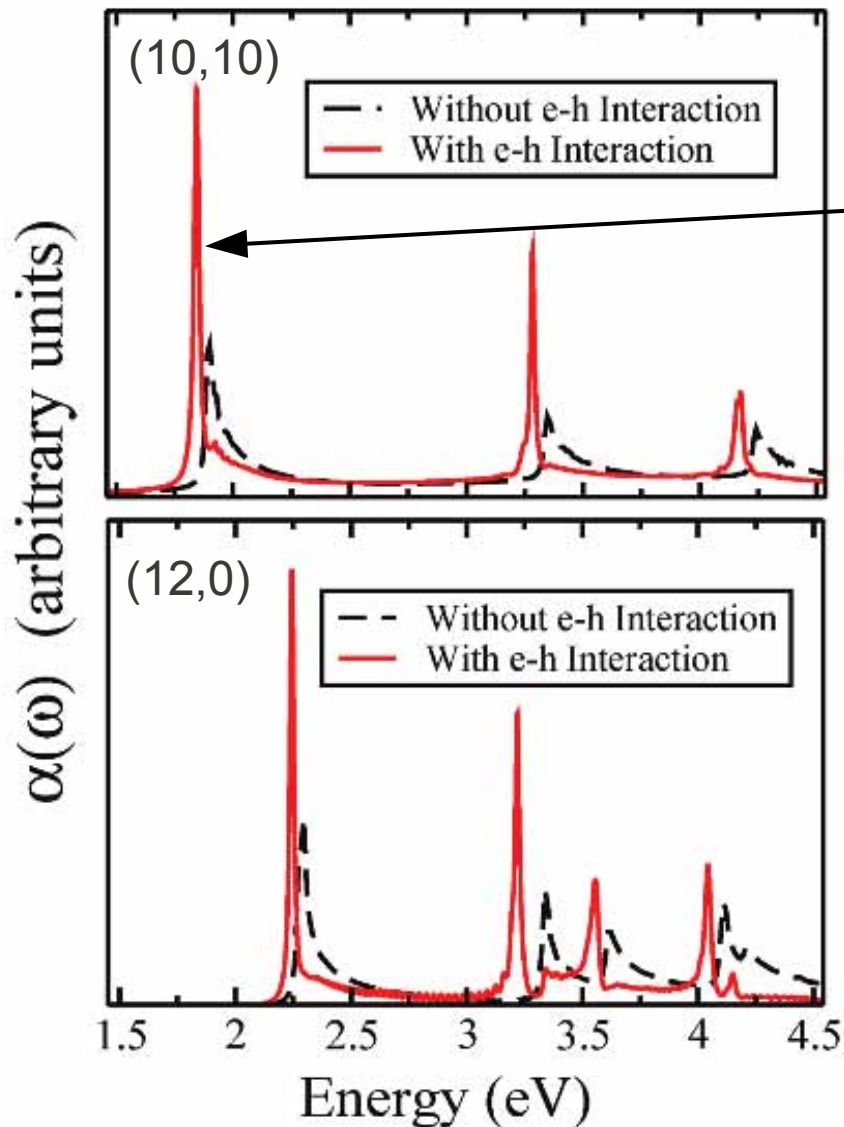


(10-10) SWNT Band Structure



Absorption Spectra of Metallic Tubes

Optical absorption vs. Photon Energy



- Peak from a single eigenvalue.
- Exciton binding energy - **0.06 eV**.
- The onset is calculated to be **1.84 eV**.

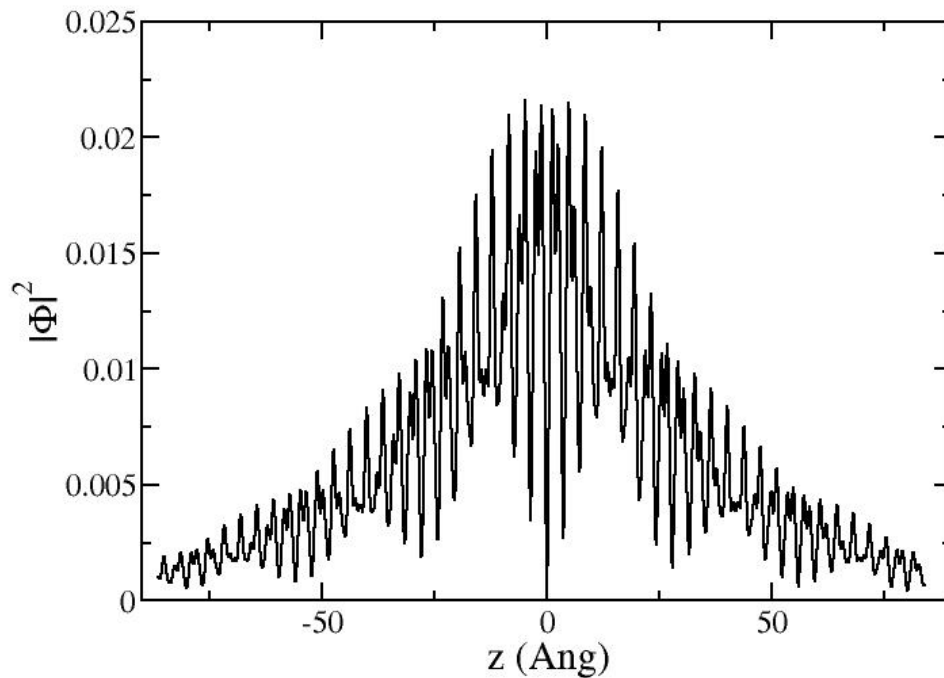
Experimental value*:
1.89 eV

(Experiment) Fantini, C.; Jorio, A.; Souza, M.; Strano, M. S.; Dresselhaus, M. S.; Pimenta, M. A. *Phys. Rev. Lett.* **93**, 147406. (2004)

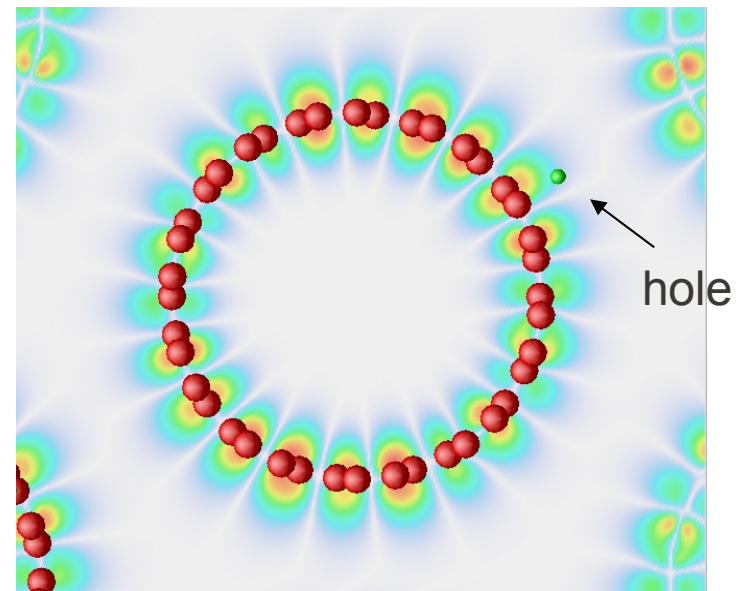
(Theory) J. Deslippe, D. Prendergast, CD Spataru, S.G. Louie, *Nano Lett.* **7** (6) 1626-1630, (2007)

Excitons in Metallic Tubes

Electron Wavefunction vs. Distance From Hole



Radial Distribution of Electron



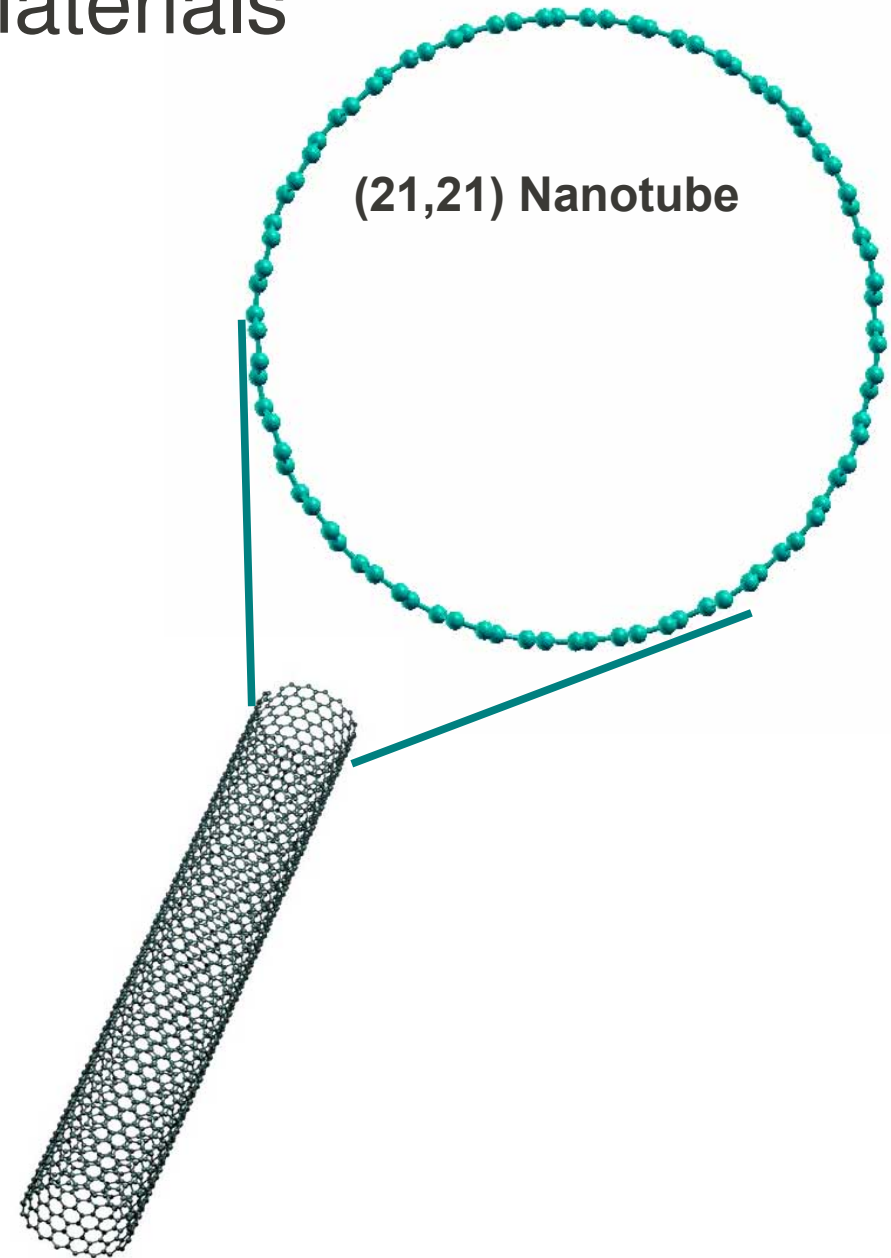
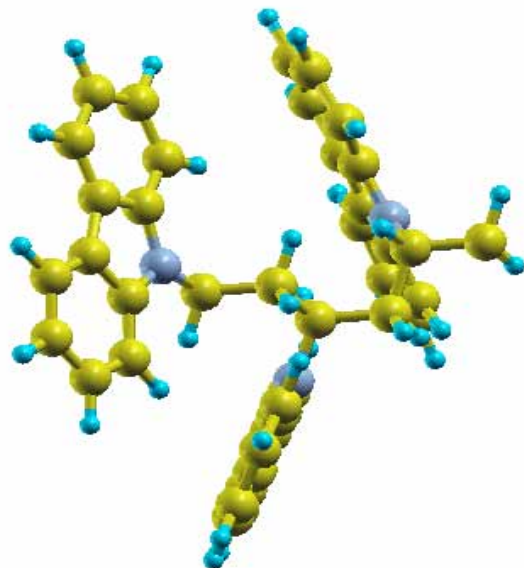
Exciton is localized over 160 Å along tube axis but spans the whole tube in the radial direction.

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Challenges/Opportunities in Applying the Method to Nano-Materials

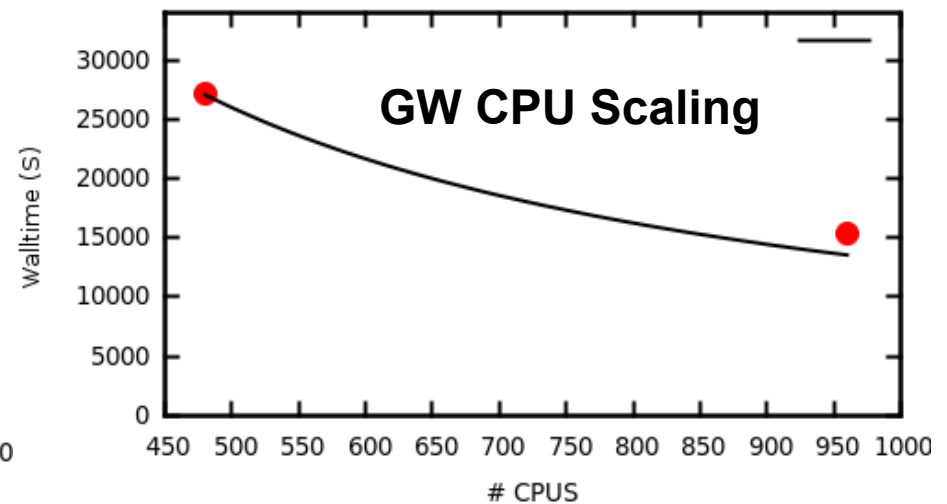
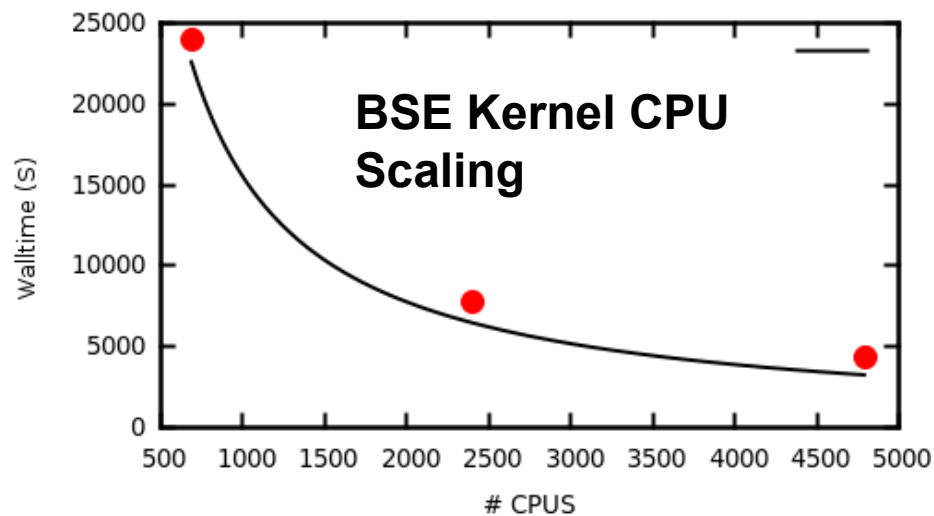
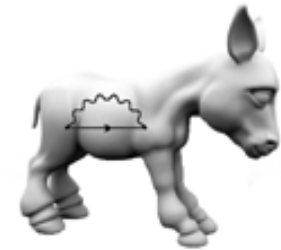
- Size (Atoms, Basis, Bands)
- Interfaces/Vacuum/Absolute Energies
- Very scalable on HPCs



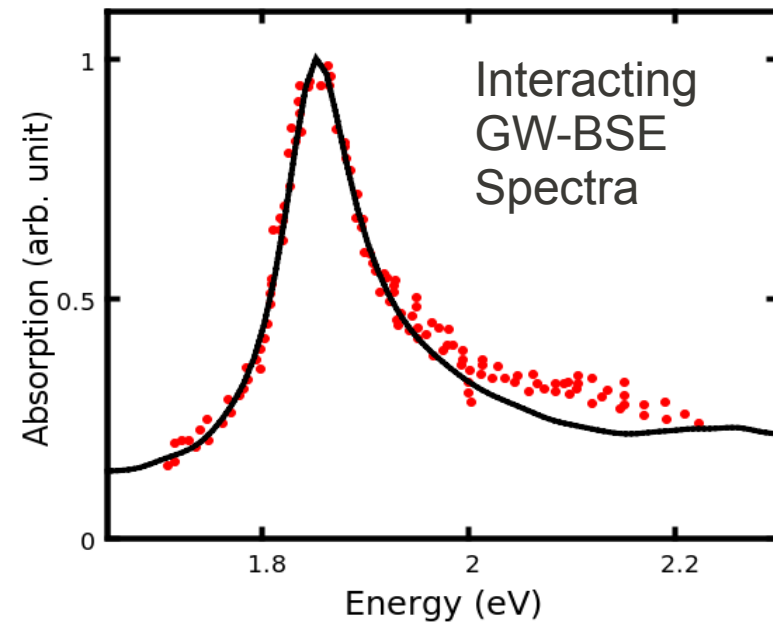
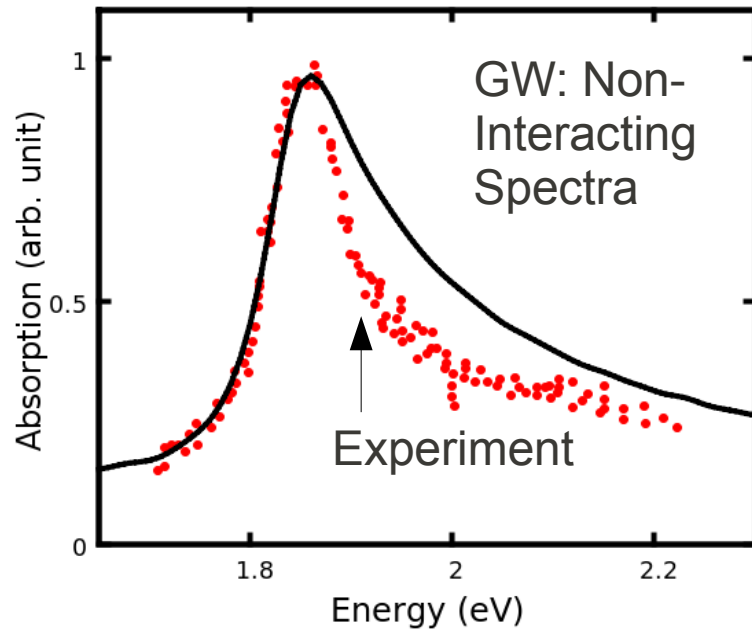
Overcoming Obstacles

- Distribution of ~500GB of memory.
- Distribute work for nearly linear scaling up to 10,000 CPUs
- Optimize bottlenecks.

BerkeleyGW
(COMING SOON)



The Pay-Off

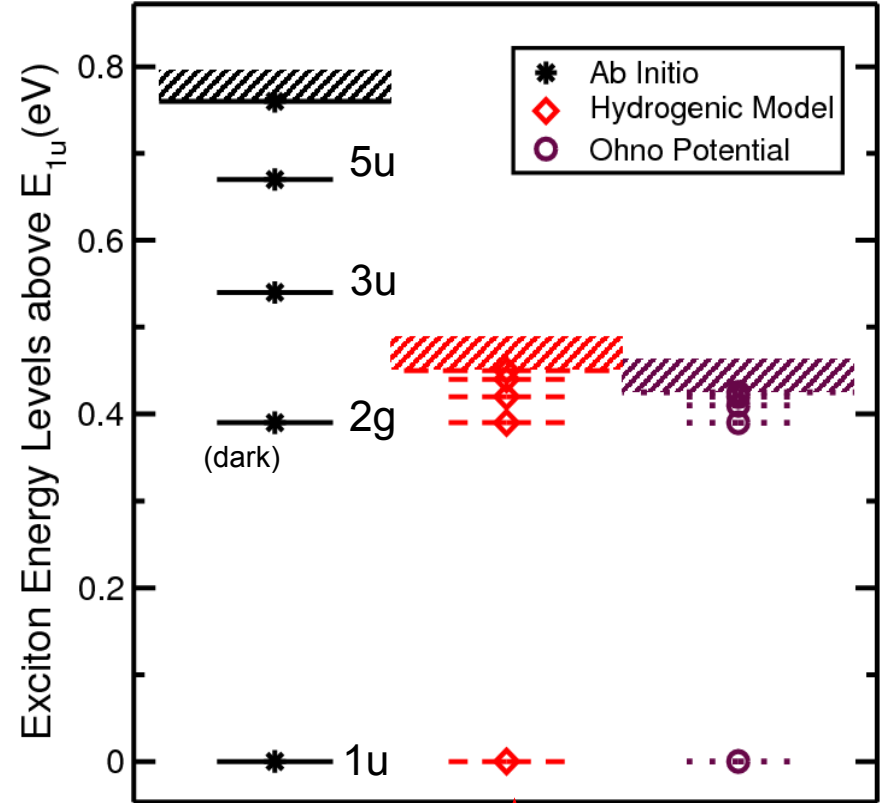
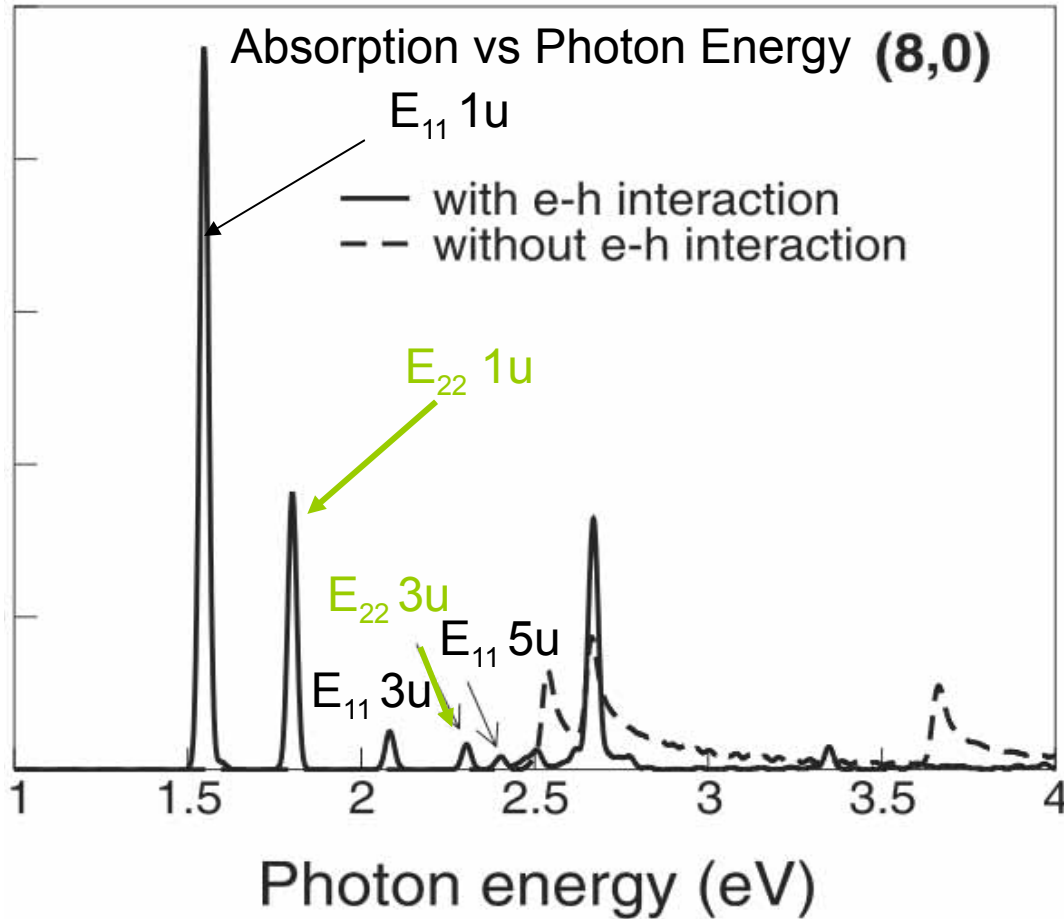


Strong evidence of bound exciton origin of optical absorption spectra.

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Semiconducting Tubes



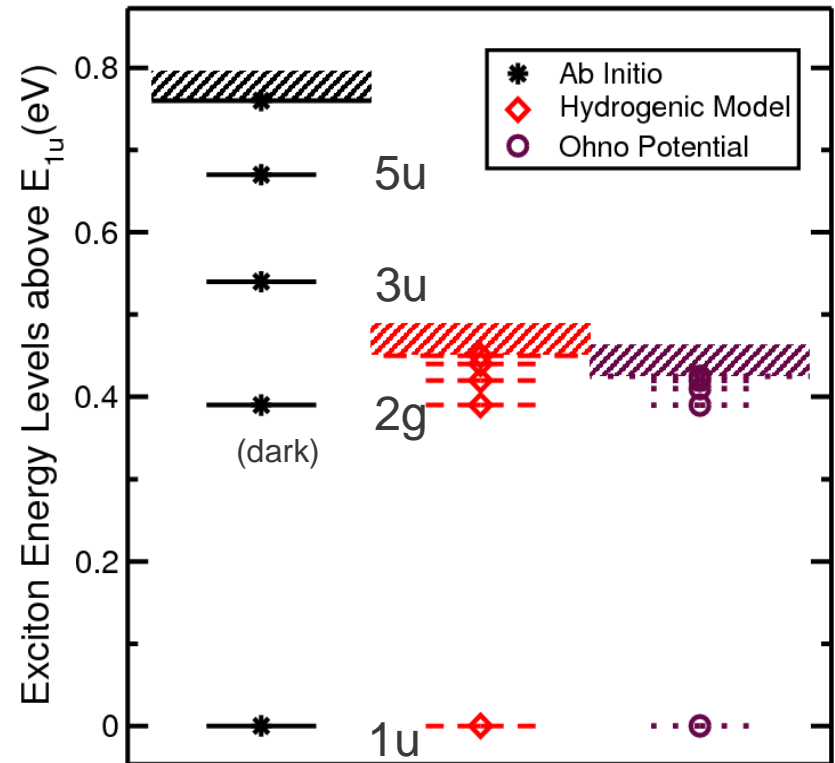
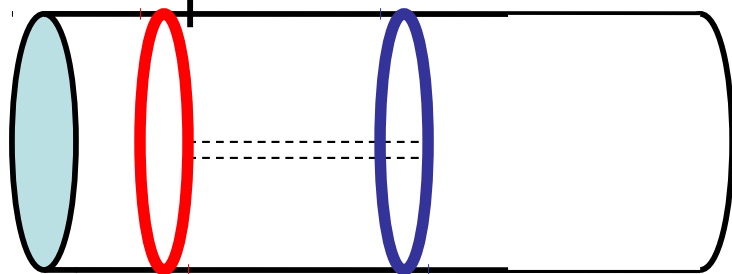
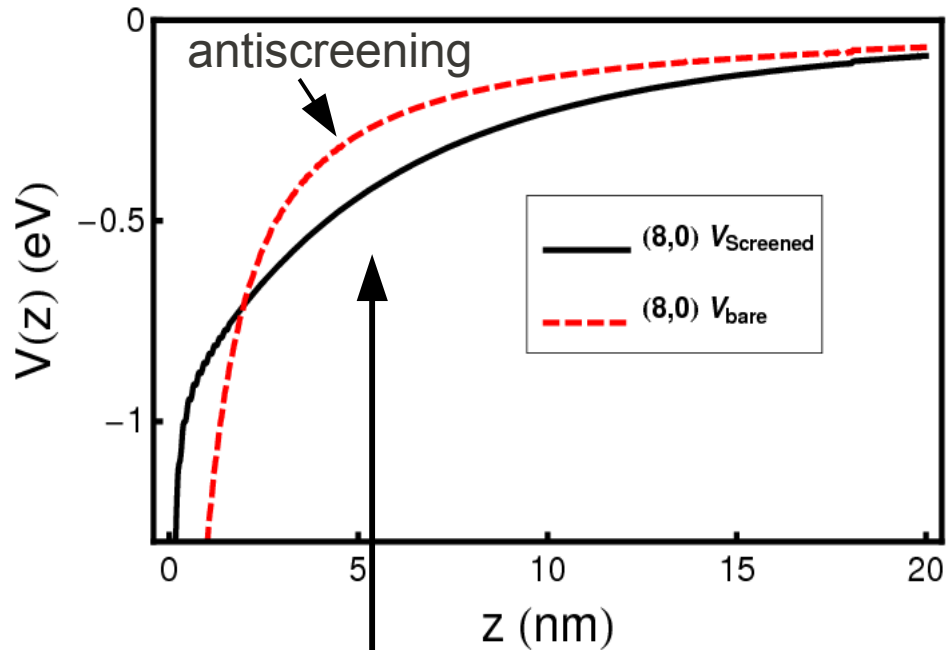
*C.D. Spataru, S. Ismail-Beigi, L.X. Benedict, S.G. Louie.
PRL 077402 (2004)

*J. Deslippe, C.D. Spataru, D. Prendergast, S.G. Louie.
Nano Letters. 7 1626 (2007)

$$V_H(z) = \frac{1}{\epsilon(|z| + z_0)}$$

Simple models have very different excitation spectra than ab initio.

Antiscreening



- Screened electron-hole interaction enhanced for separations greater than tube diameter.
- Increases binding energies for 2g, 3u, ... relative to 1u
- Confirmed by experiment – **J. Lefebvre P. Finnie. Nano Letters 8 1890 (2008).**

*J. Deslippe, M. Dipoppa, D. Prendergast, M. Moutinho, R. Capaz, S.G. Louie Nano Letters. (2009)
- nl802957t

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Li Yang

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Rodrigo Capaz

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Cheol-Hwan Park

Thank you Krell!

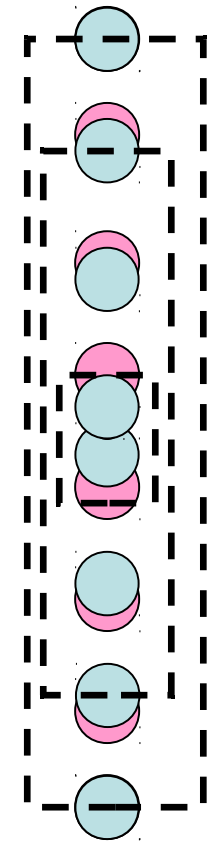
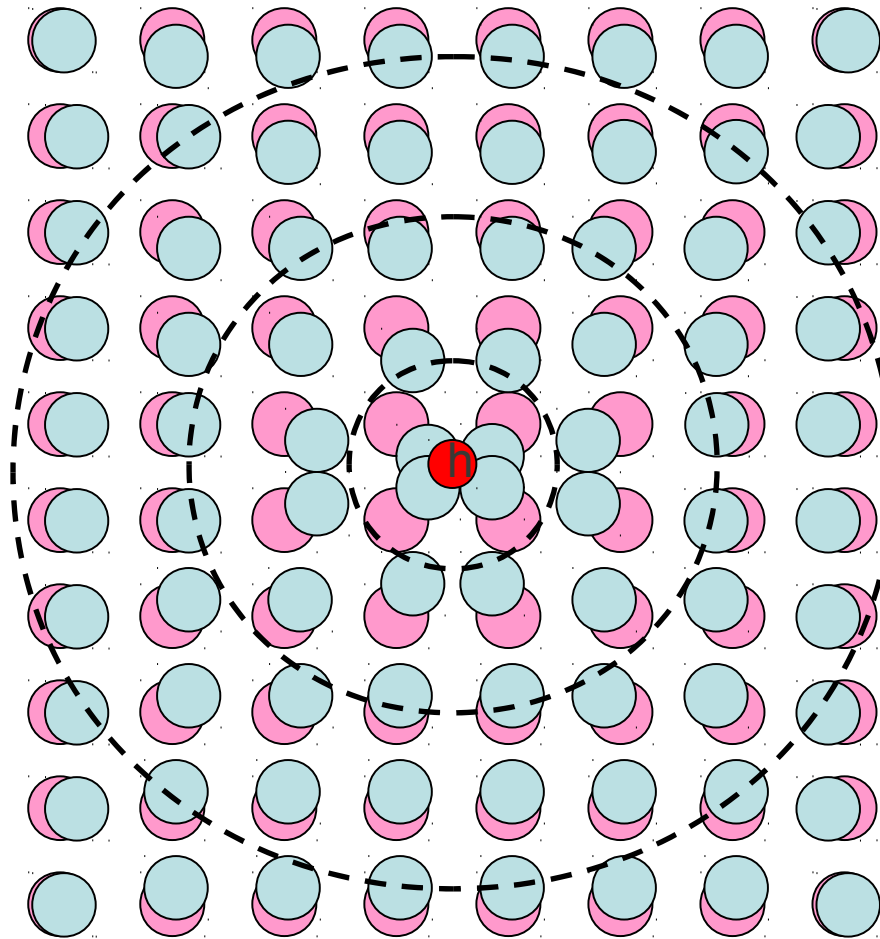
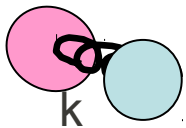


Supplemental Slides

Why Anti-Screening? Part 1

3D – Total induced charge in sphere constant with sphere size.

1D – Total induced charge in pillbox goes to zero.



Why Antiscreening? Part 2

